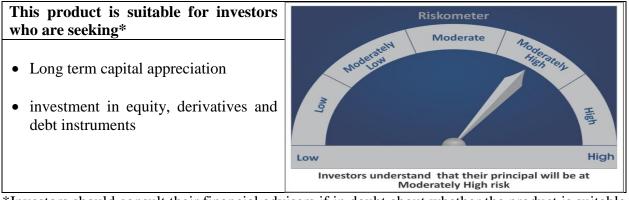


# **KEY INFORMATION MEMORANDUM CUM APPLICATION FORM**

## Motilal Oswal MOSt Focused Dynamic Equity Fund (MOSt Focused Dynamic Equity) (An open ended equity scheme)



\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

## Continuous Offer of Units at NAV based prices

| Name of Mutual Fund      | Motilal Oswal Mutual Fund                                       |  |
|--------------------------|---|--|
| Name of Asset Management | Motilal Oswal Asset Management Company Limited                  |  |
| Company (AMC)            |   |  |
| Name of Trustee Company  | Motilal Oswal Trustee Company Limited                           |  |
| Address                  | Registered and Corporate Office Address:                        |  |
|                          | 10 <sup>th</sup> Floor, Motilal Oswal Tower, Rahimtullah Sayani |  |
|                          | Road, Opposite Parel ST Depot, Prabhadevi, Mumbai               |  |
|                          | - 400025  |  |
| Website                  | www.motilaloswalmf.com and www.mostshares.com                   |  |

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.motilaloswalmf.com and www.mostshares.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated June 30, 2017.

# **INVESTMENT OBJECTIVE**

The investment objective is to generate long term capital appreciation by investing in equity and equity related instruments including equity derivatives as well as debt instruments.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

# ASSET ALLOCATION

The asset allocation pattern of the Scheme would be as follows:

| Instruments   | Indicative Allocations<br>(%of total assets) |         | Risk Profile    |
|---|--|---------|-----------------|
|   | Minimum                                      | Maximum | High/Medium/Low |
| Equity & equity related instruments   | 65   | 100     | High            |
| Equity Derivatives  | 0  | 35      | Low to Medium   |
| Debt Instruments, Money Market<br>Instruments, G-Secs, Cash and Cash at<br>call, etc. | 0  | 35      | Low to Medium   |

Money Market Instruments include CMBs, T-Bills, and Government securities with an unexpired maturity upto one year, CBLOs & Repo/ Reverse Repo.

In the Scheme, the net long only equity exposure shall be a minimum of 30% of the portfolio value. The Scheme may invest in derivative strategies from time to time as permitted by SEBI/RBI. The Scheme may take derivatives position upto 35% of the portfolio value. The Scheme shall not write options or purchase instruments with embedded written options. The cumulative gross exposure through equity, debt and derivative positions should not exceed 100% of the net assets of the scheme.

The Scheme may engage in securities lending upto 20% of total Net Assets of the Scheme and would limit its maximum single party exposure to the extent of 5% of the total net assets at the time of lending.

The Scheme shall not invest in Credit Default Swaps (CDS). The Scheme will not invest in foreign securities, securitized debt, corporate debt repo and corporate reverse repo. The scheme shall not undertake short selling.

## **INVESTMENT STRATEGY**

In the Scheme, the core long only equity exposure shall be a minimum of 30% of the portfolio value. Long only equity exposure means exposure to equity shares alone without a corresponding equity derivative exposure.

The Fund will use Motilal Oswal Value Index (MOVI) as an indicator for the asset allocation between Equities, Arbitrage, Derivatives strategies and Debt. The asset allocation shall be reviewed twice a month and the rebalancing will be conducted on 15th of every month and a day prior to derivative expiry day on the Exchange (if the above days are a non-business day, the previous business day shall be considered for rebalancing). However, there may be additional rebalances at the discretion of the Fund manager. However the rebalancing will always be based on the MOVI levels.

The MOVI helps gauge attractiveness of the equity market. The MOVI is calculated taking into account Price to Earnings (P/E), Price to Book (P/B) and Dividend Yield of the Nifty 50 Index. The MOVI is calculated on 30 Daily Moving Average of the above parameters. A low MOVI level indicates that the market valuation appears to be cheap and one may allocate a higher percentage of their investments to Equity as an asset class. A high MOVI level indicates that the market valuation appears to be expensive and that one may reduce their equity allocation.

| MOVI Levels  |       | Equity<br>Exposure |
|--------------|-------|--------------------|
| Less th      | an 70 | 100%               |
| 70           | <80   | 90%                |
| 80           | <90   | 80%                |
| 90           | <100  | 70%                |
| 100          | <110  | 55%                |
| 110          | <120  | 40%                |
| 120          | <130  | 25%                |
| 130 or above |       | 0%                 |

The equity allocation based on the MOVI levels is as below:

India Index Services & Products Ltd. (IISL) is the calculating agent of NIFTY MOVI. IISL shall calculate, compile, maintain and provide NIFTY MOVI values to Motilal Oswal Asset Management Company Ltd. NIFTY MOVI values will be published on the MOAMC website on a daily basis.

Any change in methodology of MOVI would be construed as change in Investment Strategy and will be carried out by obtaining prior consent of the Board of Trustees of Motilal Oswal Trustee Company Limited, the Trustees to Motilal Oswal Mutual Fund. Hence, it will be construed as a change in fundamental attribute and accordingly, the relevant regulatory provisions will be applicable.

**Equity Investment**: The Fund shall follow an active investment style using bottom-up stock picking based on the 'Buy Right : Sit Tight' investment philosophy. The Fund managers shall identify and invest in shares of businesses run by high quality management & having sustainable and scalable business models thus using QGLP (Quality, Growth, Longevity & Price) as the key evaluation parameters. The businesses should have strong earnings growth prospects and be available at reasonable valuations.

The Fund Portfolio shall comprise of high conviction stock ideas from across marketcapitalization levels/sectors. The portfolio stocks may be potentially concentrated in a few market capitalization levels/sectors which are expected to do well and have lower downside risk

**Debt**: The Fund shall invest in various types of permitted Debt Instruments including Government Securities, Corporate Debt, Other debt instruments and Money Market Instruments of various maturities and ratings with the objective of providing liquidity and achieving optimal returns.

<u>Arbitrage and Derivative Strategies</u>: The Fund shall undertake Cash/Futures Arbitrage to take advantage of the volatile situation in the market. The Fund may use Derivative including Index Futures, Stock Futures, Index Options and Stock Options etc.

| MOVII    | Levels | Equity<br>Exposure as | So     | cheme Allocat | ions |
|----------|--------|-----------------------|--------|---------------|------|
|          |        | per MOVI              | Equity |               |      |
|          |        |                       | 100%   | Less than     |      |
| Less the | an 70  | 100%                  |        | 70            | 100% |
| 70       | <80    | 90%                   | 70     | <80           | 90%  |
| 80       | <90    | 80%                   | 80     | <90           | 80%  |
| 90       | <100   | 70%                   | 90     | <100          | 70%  |
| 100      | <110   | 55%                   | 100    | <110          | 55%  |
| 110      | <120   | 40%                   | 110    | <120          | 40%  |
| 120      | <130   | 25%                   | 120    | <130          | 25%  |
|          |        |                       | 30%    | 130 or        |      |
| 130 or a | above  | 0%                    |        | above         | 0%   |

Following depicts more clarity on MOVI based Scheme allocation.

Scenario 1 - Let's assume the MOVI is at 60 which means it falls in the range of 100% equity allocation. Therefore, the fund manager in the above case will take upto 100% long only equity exposure.

Scenario 2 - Let's assume the MOVI level is at 100 which means it falls in the range of 55% equity allocation. Therefore, the fund manager in the above case will take 55% long only equity exposure and minimum 10% in arbitrage opportunity. The balance upto 35% will be invested in debt instruments.

Scenario 3 - Let's assume the MOVI level is at 120 which means it falls in the range of 25% equity allocation. Therefore, the fund manager in the above case will take 30% long only equity exposure and minimum 35% in arbitrage opportunity. The balance upto 35% will be invested in debt instruments.

Scenario 4 - Let's assume the MOVI level is at 150 which means it falls in the range of 0% equity allocation. Therefore, the fund manager in the above case will take 30% long only equity exposure and minimum 35% in arbitrage opportunity. The balance upto 35% will be invested in debt instruments.

In the periods where the MOVI levels indicates a 100% equity allocation, the exposure of the scheme in equity and equity related instruments will increase upto 100%. However, if the MOVI levels reflect high valuation, the Scheme will restrict its investment in equity to 30% and shall take arbitrage positions to the extent of 35% of the portfolio, therefore resulting into an equity category exposure of 65%. In such a scenario the balance will be invested into debt market instruments.

While making investment decisions, besides other factors, the impact of the prevailing economic environment over the medium to long term prospects of the companies will also be taken into consideration. The AMC will endeavour to meet the investment objective of the Scheme while maintaining a balance between safety, liquidity and return on investments.

# **RISK PROFILE OF THE SCHEME**

Mutual Fund units involve risks including the possible loss of principal. Please read Scheme Information Document (SID) carefully for details on risk factors before investment. Scheme Specific Risk factors are summarized below:

# • Risks associated with investing in Equities

Equity and Equity related instruments on account of its volatile nature are subject to price fluctuations on daily basis. The volatility in the value of the equity and equity related instruments is due to various micro and macroeconomic factors affecting the securities markets. This may have adverse impact on individual securities/sector and consequently on the NAV of Scheme. The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities as in certain cases, settlement periods may be extended significantly by unforeseen circumstances. Similarly, the inability to sell securities held in the Scheme portfolio may result, at times, in potential losses to the Scheme, should there be a subsequently decline in the value of the securities held in the Schemes portfolio. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of the investments. This may impact the ability of the unit holders to redeem their units. In view of this, the Trustee has the right, in its sole discretion to limit redemptions (including suspending redemptions) under certain circumstances.

The Scheme may find itself invested in unlisted securities either by choice or due to external events or corporate actions. This may increase the risk of the portfolio as these unlisted securities are inherently illiquid in nature and carry larger liquidity risk as compared to the listed securities or those that offer other exit options to the investors.

# • Market Risk

The Scheme's NAV will react to stock market movements .The value of investments in the scheme may go down over a short or long period due to fluctuations in Scheme's NAV in response to factors such as performance of companies whose stock comprises the underlying portfolio, economic and political developments, changes in interest rates, inflation and other monetary factors causing movement in prices of underlining investments.

# • Asset Class Risk

The returns from the types of securities in which the Scheme invests may under perform from the various general securities markets or different asset classes. Different types of securities tend to go through cycles of out-performance and under-performance in comparison with the general securities markets.

# • Interest Rate Risk

Changes in interest rates will affect the Scheme's Net Asset Value. The prices of securities usually increase as interest rates decline and usually decrease as interest rates rise. The extent of fall or rise in the prices is guided by duration, which is a function of the existing coupon, days to maturity and increase or decrease in the level of interest rate. The new level of interest rate is determined by the rate at which the government raises new money and/or the price levels at which the market is already dealing in existing securities. Prices of long-term securities generally fluctuate more in response to interest rate changes than short-term securities. The price risk is low in the case of the floating rate or inflation-linked bonds. The price risk does not exist if the investment is made under a repo agreement. Debt markets, especially in developing markets like India, can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.

# • Credit Risk

Credit Risk means that the issuer of a security may default on interest payments or even paying back the principal amount on maturity (i.e. the issuer may be unable to make timely principal and interest payments on the security). Even where no default occurs, the prices of security may go down because the credit rating of an issuer goes down. It must be, however, noted that where the Scheme has invested in Government securities, there is no risk to that extent.

# • Liquidity or Marketability Risk

This refers to the ease at which a security can be sold at or near its true value. The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer. Liquidity risk is characteristic of the Indian fixed income market. Trading Volumes,

settlement periods and transfer procedures may restrict the liquidity of the investments made by the Scheme. Different segments of the Indian financial markets have different settlement periods and such period may be extended significantly by unforeseen circumstances leading to delays in receipt of proceeds from sale of securities. As liquidity of the investments made by the Scheme could, at times, be restricted by trading volumes and settlement periods, the time taken by the Fund for redemption of units may be significant in the event of an inordinately large number of redemption requests or restructuring of the Scheme.

#### • Risk associated with MOVI

The Scheme shall invest a portion of its assets into equity and debt securities based on Motilal Oswal Value Index (MOVI) levels. Hence, the risk associated with the calculation of MOVI and allocations based on MOVI would be applicable to the Scheme. The allocations as per MOVI shall vary due to market conditions. These allocations based on MOVI level may not outperform a fully invested equity portfolio.

## • Right to Limit Redemptions

The Trustee, in the general interest of the Unitholders of the Scheme offered under this SID and keeping in view of unforeseen circumstances/unusual market conditions, may limit the total number of Units which can be redeemed on any Business Day. This could also happen in the event of receipt of inordinately large number of redemption requests or a restructuring of a Scheme portfolio.

# • Risks associated with Investing in Derivatives

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Even a small price movement in the underlying security could have an impact on their value and consequently, on the NAV of the Units of the Scheme. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of the fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

Derivative products are specialized instruments that require investment techniques and risk analysis different from those associated with stocks. The use of a derivative requires an understanding not only of the underlying instrument but of the derivative itself. Derivatives require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that a derivative adds to the portfolio and the ability to forecast price or interest rate movements correctly. There is a possibility that a loss may be sustained by the portfolio as a result of the failure of another party (usually referred to as the "counterparty") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mis-pricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices, illiquidity risk whereby the Scheme may not be able to sell or purchase derivative quickly enough at a fair price. The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

# • Risk associated with Securities Lending

Securities Lending is a lending of securities through an approved intermediary to a borrower under an agreement for a specified period with the condition that the borrower will return equivalent securities of the same type or class at the end of the specified period along with the corporate benefits accruing on the securities borrowed.

In case the Scheme undertakes securities lending as prescribed in the Regulations, it may, at times be exposed to counter party risk and other risks associated with the securities lending. Unitholders of the Scheme should note that there are risks inherent to securities lending, including the risk of failure of the other party, in this case the approved intermediary, to comply with the terms of the agreement entered into between the lender of securities i.e. the Scheme and the approved intermediary. Such failure can result in the possible loss of rights to the collateral put up by the borrower of the securities, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of any corporate benefits accruing to the lender from the securities deposited with the approved intermediary. The Fund may not be able to sell such lent securities and this can lead to temporary illiquidity.

# PLANS AND OPTIONS

The Scheme has two Plans:

- (i) Regular Plan and
- (ii) Direct Plan

Regular Plan is for Investors who purchase/subscribe units in a Scheme through any Distributor (AMFI Registered Distributor/ARN Holder).

Direct Plan is for investors who purchase/subscribe units in a Scheme directly with the Fund and is not routed through a Distributor (AMFI Registered Distributor/ARN Holder).

Each Plan offers the following Options:

(a) Growth Option

(b) Dividend Option (with Payout and Re-investment facility)

Under the Dividend Payout and Re-investment facility: Quarterly Dividend frequency - the Scheme shall endeavor to declare dividend on a quarterly basis.

Annual Dividend frequency - the Scheme shall endeavor to declare dividend on an annual basis

Each Plan offers the following Options: (c) Growth Option

(d) Dividend Option (with Payout and Re-investment facility)

# (a) Growth Option:

Under this Option, dividend will not be declared. Income/profits received/earned on the Scheme's corpus would be accumulated by the Fund as capital accretion & will remain invested in the Scheme and will be reflected in the Net Asset Value (NAV) of Units under this Option.

# (b) Dividend Option:

Under this option, dividends will be declared (subject to deduction of tax at source and statutory levies, if any) at periodic intervals at the discretion of the Trustees, subject to availability of distributable surplus. On payment of dividend, the NAV of the Units under dividend option will fall to the extent of the dividend payout and applicable statutory levies, if any. All the dividend payments shall be in accordance and compliance with SEBI Regulations, as applicable from time to time.

Under the Dividend Payout and Re-investment facility:

- (i) Quarterly Dividend frequency the Scheme shall endeavor to declare dividend on a quarterly basis.
- (ii) Annual Dividend frequency the Scheme shall endeavor to declare dividend on an annual basis

Further, under Dividend Option, the Fund will endeavor to declare dividends from time to time depending on the availability of distributable surplus.

If Dividend payable under the dividend payout option is equal to or less than Rs. 500/-, then it would be compulsorily re-invested in the Option of the Scheme.

The AMC reserves the right to introduce/discontinue further Plans/Options as and when deemed fit.

# **DEFAULT PLAN/OPTION**

Investors subscribing Units under Direct Plan of a Scheme should indicate "Direct Plan" against the Scheme name in the application form. Investors should also mention "Direct" in the ARN column of the application form.

The table showing various scenarios for treatment of application under "Direct/Regular" Plan is as follows:

| Scenario | Broker Code mentioned<br>by the investor | Plan mentioned by the investor | Default Plan to<br>be captured |
|----------|--|--------------------------------|--------------------------------|
| 1        | Not mentioned                            | Not mentioned                  | Direct                         |
| 2        | Not mentioned                            | Direct                         | Direct                         |

| 3 | Not mentioned | Regular       | Direct  |
|---|---------------|---------------|---------|
| 4 | Mentioned     | Direct        | Direct  |
| 5 | Direct        | Not Mentioned | Direct  |
| 6 | Direct        | Regular       | Direct  |
| 7 | Mentioned     | Regular       | Regular |
| 8 | Mentioned     | Not Mentioned | Regular |

In cases of wrong/ invalid/ incomplete ARN code mentioned on the application form, the application will be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load, if applicable.

If the investor does not clearly specify the choice of option at the time of investing, it will be deemed that the investor has opted for Growth option and in case he does not specify payout/re-investment under Dividend option, it will be deemed to be dividend re-investment. In case the frequency is not mentioned under the Dividend option, it will be deemed to be annual dividend.

# APPLICABLE NAV

For subscriptions / purchases / switch- ins for an amount less than Rs. 2,00,000 (Rs. Two lakh only)

For Purchases including switch-ins:

- i. In respect of valid applications received by 3.00 p.m. by the Fund along with a local cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the day on which application is received shall be applicable.
- ii. In respect of valid applications received after 3.00 p.m. by the Fund along with a local cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the following Business Day shall be applicable.
- iii. In respect of valid applications with an outstation cheques or demand drafts not payable at par at the Official Points of Acceptance where the application is received, the closing NAV of day on which the cheque or demand draft is credited shall be applicable.

# For subscriptions / purchases / switch- ins amount equal to or greater than Rs. 2,00,000 (Rs. Two lakh only)

i. In respect of valid applications received for an amount equal to or more than Rs. 2 lakh upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time- the closing NAV of the day shall be applicable.

- ii. In respect of valid applications received for an amount equal to or more than Rs. 2 lakh after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day the closing NAV of the next Business Day shall be applicable.
- iii. Irrespective of the time of receipt of applications for an amount equal to or more than Rs. 2 lakh at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase/ switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day the closing NAV of such subsequent Business Day shall be applicable.

It is clarified that all multiple applications for investment at the Unit holders' PAN and holding pattern level in a Scheme (irrespective of amount or the plan/option/sub-option) received on the same Business Day, will be aggregated to ascertain whether the total amount equals to Rs. 2 lakh or more and to determine the applicable Net Asset Value. Transactions in the name of minor received through guardian will not be aggregated with the transaction in the name of same guardian. The criteria for aggregation of multiple transactions shall be as decided by the AMC at its sole discretion from time to time.

In case funds are received on separate days and are available for utilization on different Business Days before the cut off time, the applicable NAV shall be of the Business Days on which the cleared funds are available for utilization for the respective application amount.

# For Redemption/ Repurchases/Switch out

- i. In respect of valid application accepted at an Official Points of Acceptance as listed in the SAI up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable.
- ii. In respect of valid application accepted at an Official Point of Acceptance after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable.

# Transaction through online facilities/ electronic mode:

The time of transaction done through various online facilities/electronic modes offered by the AMC, for the purpose of determining the applicability of NAV, would be the time when the request of purchase/redemption/switch/SIP/STP of units is received on the servers of AMC/RTA as per terms and conditions of such facilities.

# Transaction through Stock Exchange:

With respect to investors who transact through the stock exchange, Applicable NAV shall be reckoned on the basis of the time stamping as evidenced by confirmation slip given by stock exchange mechanism.

# MINIMUM APPLICATION AND REDEMPTION AMOUNT

## **Minimum Application Amount:**

For Lumpsum: Rs. 5,000/- and in multiples of Re. 1/- thereafter.

# For Systematic Investment Plan (SIP):

Minimum installment amount - Rs. 1,000/- (weekly/fortnightly/monthly frequency), Rs. 2,000/- (quarterly frequency) and Rs .5,000/- (Annually) and in multiples of Re. 1/- thereafter. The dates for the Auto Debit Facility shall be on the 1st, 7th, 14th, 21st and 28th of every month and for Annual SIP, investors can choose any date, as applicable of his / her preference as Annual SIP Debit Date for the year.

In case the SIP date is not specified or in case of ambiguity, the SIP transaction will be processed on 7th of the every month in which application for SIP registration was received and if the end date is not specified, SIP will continue till it receives termination notice from the investor In case, the date fixed happens to be a holiday / non-business day, the same shall be affected on the next business day. No Post Dated cheques would be accepted for SIP.

Minimum Additional Amount: Rs. 1,000/- and in multiples of Re. 1/- thereafter.

**Minimum Redemption Amount:** Rs. 1000/- and in multiples of Re.1/- thereafter or account balance, whichever is lower.

# **DESPATCH OF REPURCHASE (REDEMPTION) REQUEST**

Within 10 working days of the receipt of the redemption request at the authorised centre of the Motilal Oswal Mutual Fund.

# **BENCHMARK INDEX**

CRISIL Balanced Fund – Aggressive Index

# **DIVIDEND POLICY**

The Trustees may declare dividend subject to the availability of distributable surplus calculated in accordance with SEBI (Mutual Funds) Regulations, 1996. The actual declaration of dividend and the frequency of distribution will be entirely at the discretion of the Trustees. There is no assurance or guarantee to Unit holders as to the rate of dividend distribution nor that the dividends will be declared regularly, though it is the intention of the Mutual Fund to make regular dividend distribution under the Dividend Plan. The dividend would be paid to the Unitholders whose names appear in the Register of Unitholders as on the record date.

# NAME AND TENURE OF THE FUND MANAGER

| Name of Fund Manager / Co-<br>Fund Manager | Tenure of the Fund Manager / Co – Fund<br>Manager                                 |
|--|---|
| Mr. Gautam Sinha Roy                       | Gautam is managing the Scheme since November                                      |
| (Fund Manager for Equity<br>Component)     | 22, 2016. The tenure for which he is managing the Scheme is 8 months.             |
|  | Scheme is 8 months.   |
| Mr. Abhiroop Mukherjee                     | Abhiroop is managing the Debt Component of the                                    |
| (Fund Manager for Debt                     | Scheme since inception i.e. September 27, 2016.                                   |
| Component)                                 | The tenure for which he is managing the debt component of the Scheme is 10 month. |
| Mr. Siddharth Bothra                       | Siddharth is co-managing the Scheme since   |
| (Co – Fund Manager for Equity              | November 23, 2016. The tenure for which he is co-                                 |
| Component)                                 | managing the Scheme is 8 months.  |

# NAME OF TRUSTEE COMPANY

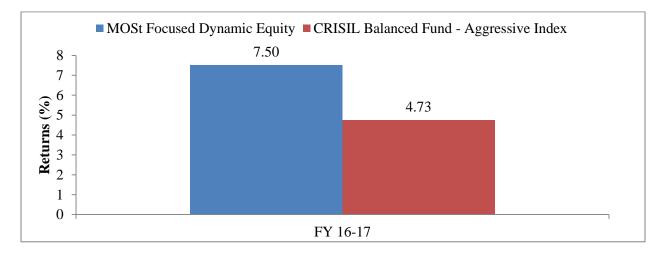
Motilal Oswal Trustee Company Ltd.

# **PERFORMANCE OF THE SCHEME**

Performance of the Scheme as on March 31, 2017:

|                          | Scheme Returns (%)   | Benchmark Returns (%)         |
|--------------------------|----------------------|-------------------------------|
| Compounded Annualised    | MOSt Focused Dynamic | <b>CRISIL Balanced Fund –</b> |
| Returns                  | Equity               | Aggressive Index              |
| Returns since inception* | 7.50                 | 4.73                          |

#### Absolute Returns for last financial year



Note: \*Returns for more than one year are compounded annualized and date of inception is deemed to be date of allotment. Date of Allotment is September 27, 2016. Performance is for Regular Plan Growth option. Different plans have different expense structure. Past performance may or may not be sustained in future

#### ADDITIONAL DISCLOSURES

#### A. Scheme's Portfolio Holdings

The top 10 portfolio holdings of the Scheme as on May 31, 2017 are as follows:

| Sr. No. | Name of Issuer                       | % to Net Assets |
|---------|--------------------------------------|-----------------|
| 1.      | HDFC Bank Limited                    | 7.63            |
| 2.      | Maruti Suzuki India Limited          | 5.62            |
| 3.      | Bharat Petroleum Corporation Limited | 4.34            |
| 4.      | PNB Housing Finance Limited          | 3.98            |
| 5.      | IndusInd Bank Limited                | 3.42            |
| 6.      | Jubilant Life Sciences Limited       | 2.92            |
| 7.      | Eicher Motors Limited                | 2.91            |
| 8.      | Max Financial Services Limited       | 2.24            |
| 9.      | Petronet LNG Limited                 | 1.96            |
| 10.     | Interglobe Aviation Limited          | 1.91            |

#### **B.** Sector Allocation of the Scheme

Sector Allocation as on May 31, 2017 of the Scheme as recommended by AMFI is as follows:

| Sectors  | % Exposure |
|----------|------------|
| CARE AA+ | 12.44      |
| Banks    | 11.05      |

| Finance               | 10.24 |
|-----------------------|-------|
| CRISIL AAA            | 8.62  |
| Auto                  | 8.53  |
| Pharmaceuticals       | 6.47  |
| Petroleum Products    | 5.93  |
| ICRA AA+              | 5.25  |
| CARE AAA              | 2.63  |
| Consumer Non-Durables | 2.41  |
| Gas                   | 1.96  |
| Transportation        | 1.91  |
| Industrial Products   | 1.81  |
| Software              | 1.80  |
| Unrated               | 1.55  |
| CRISIL AA+            | 0.70  |
| Construction Project  | 0.63  |
| Retailing             | 0.20  |
| Cash & Equivalent     | 15.87 |
| Total                 | 100   |

The Mutual Fund will disclose the portfolio of the Scheme as on the last day of the month on AMC's website (<u>www.motilaloswalmf.com</u> and <u>www.mostshares.com</u>) on or before the tenth day of the succeeding month. The Investors will be able to view and download this monthly portfolio from the AMC's website on the following link <u>http://motilaloswalmf.com/downloads/mutual-fund/Month-End-Portfolio</u> and <u>http://www.mostshares.com/downloads/mutualfund/Month-End-Portfolio</u>.

# C. Scheme's Portfolio Turnover Ratio (As on May 31, 2017)

The Portfolio Turnover Ratio of the Scheme, MOSt Focused Dynamic Equity is 1.23.

#### D. Illustration of impact of expense ratio on returns of the Scheme

| Particulars                                     | Amount (Rs.) |
|---|--------------|
|   |              |
| Invested amount (Rs)                            | 10,000       |
| Annualised scheme performance                   | 10%          |
| Net Assets before expenses (Rs)                 | 11,000       |
| Annualised expense ratio*                       | 2.5%         |
| Net Assets after expenses (Rs)                  | 10,725       |
| Returns on invested amount before expenses (Rs) | 1,000        |
| Returns on invested amount after expenses (Rs)  | 725          |
| Returns on invested amount before expenses (%)  | 10.00%       |

| Returns on invested amount after expenses (%) | 7.25% |
|---|-------|
|   |       |

The figures stated above are for illustration purposes only.

## E. Investment Disclosure

The aggregate investment in the Scheme by the following person as on May 31, 2017 is as follows:

| Categories                     | Amount (Rs.) |
|--------------------------------|--------------|
| Directors of AMC               | Nil          |
| Fund Manager of the Scheme     | 562,180.10   |
| Other Key Managerial Personnel | 11,205.00    |
| Sponsor, Group and Associates  | Nil          |

#### **EXPENSES OF THE SCHEME**

#### (1) Load Structure:

| Type of load | Load Chargeable (as %age of NAV) |
|--------------|----------------------------------|
| Entry        | Nil                              |
| Exit         | Nil                              |

#### (2) Recurring Expenses:

These are the fees and expenses for operating the Scheme. These expenses include but are not limited to Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer agents' fees & expenses, marketing and selling costs etc.

The AMC has estimated that the following expenses will be charged to the Scheme, as permitted under Regulation 52 of SEBI (MF) Regulations. For the actual current expenses being charged, the investor should refer to the website of the Fund.

| Particulars  | % p.a. of daily Net<br>Assets |
|--|-------------------------------|
| Investment Management and Advisory Fees                  | Upto 2.50%                    |
| Trustee fee  |                               |
| Audit fees   |                               |
| Custodian fees   |                               |
| Registrar & Transfer Agent Fees                          |                               |
| Marketing & Selling expense including agents' commission |                               |
| Cost related to investor communications                  |                               |

| Cost of fund transfer from location to location                       |            |
|---|------------|
| Cost of providing account statements and dividend/ redemption cheques |            |
| and warrants  |            |
| Costs of statutory Advertisements                                     |            |
| Cost towards investor education & awareness (at least 2 bps)          |            |
| Brokerage & transaction cost over and above 12 bps and 5 bps for cash |            |
| and derivative market trades respectively                             |            |
| Service tax on expenses other than investment management and advisory |            |
| fees  |            |
| Service tax on brokerage and transaction cost                         |            |
| Other Expenses  |            |
| Maximum total expense ratio (TER) permissible under Regulation 52 (6) | Upto 2.50% |
| Additional expenses under regulation 52 (6A) (c)                      | Upto 0.20% |
| Additional expenses for gross new inflows from specified cities under | Upto 0.30% |
| Regulation 52 (6A)(b)   |            |

**Fungibility of expenses**: The expenses towards Investment Management and Advisory Fees under Regulation 52 (2) and the various sub-heads of recurring expenses mentioned under Regulation 52 (4) of SEBI (MF) Regulations are fungible in nature. Thus, there shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52(6A)(c) shall also be incurred towards any of these expense heads.

The purpose of the above table is to assist the investor in understanding the various costs & expenses that the investor in the Scheme will bear directly or indirectly. These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.

The TER of the Direct Plan will be lower to the extent of the distribution expenses/commission which is charged in the Regular Plan.

The recurring expenses of the Scheme (excluding additional expenses under regulation 52(6A)(c) and additional distribution expenses for gross inflows from specified cities), as per SEBI Regulations are as follows:

- (i) On the first Rs. 100 crores of the daily net assets 2.50%
- (ii) On the next Rs. 300 crores of the daily net assets 2.25%
- (iii) On the next Rs. 300 crores of the daily net assets 2.00%
- (iv) On the balance of the assets 1.75%

In accordance with Regulation 52(6A), the following expenses can be charged in addition to the existing total recurring expenses charged under Regulation 52(6):

a. brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 % in case of cash market transactions and 0.05 % in case of derivatives transactions;

Any payment towards brokerage and transaction costs, over and above the said 12 bps and 5 bps for cash market and derivatives transactions respectively, shall be charged to the Scheme within the total recurring expenses limit specified under Regulation 52 of SEBI Regulations. Any expenditure in excess of the said limit will be borne by the AMC/Trustees/Sponsors.

b. additional expenses upto 30 basis points on daily net assets of the scheme, if the new inflows from such cities as specified by SEBI from time to time are at least: (a) 30% of gross new inflows in the scheme or (b) 15% of the average assets under management (year to date) of the scheme, whichever is higher.

In case, the inflows from such cities is less than the higher of (a) or (b) above, such additional expenses on daily net assets of the scheme will be charged on a proportionate basis.

The expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities

The amount incurred as expenses on account of inflows from such cities so charged shall be credited back to the Scheme in case, the said inflows are redeemed within a period of 1 year from the date of investment.

Currently, SEBI has specified that the above additional expenses may be charged for inflows from beyond 'Top 15 cities.' The top 15 cities shall mean top 15 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography – Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.

In addition to expenses under Regulation 52(6) and (6A), AMC may charge service tax on investment and advisory fees, expenses other than investment and advisory fees and brokerage and transaction cost as below:

- 1. Service tax on investment and advisory fees charged to the scheme will be in addition to the maximum limit of TER as prescribed in regulation 52 (6) of the SEBI Regulations.
- 2. Service tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit of TER as per regulation 52 of the SEBI Regulations.
- 3. Service tax on exit load, if any, will be paid out of the exit load proceeds and exit load net of service tax, if any, shall be credited to the scheme.
- 4. Service tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation 52 of the SEBI Regulations.

The Direct Plan shall have a lower expense ratio to the extent of distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under the Direct Plan.

The AMC shall charge the investment management and advisory fees and the total recurring expenses of the Scheme in accordance with the limits prescribed from time to time under the SEBI Regulations. Expenses over and above the prescribed ceiling will be borne by the AMC / Trustee / Sponsor.

The current expense ratios will be updated on the Fund's website, <u>www.motilaloswalmf.com</u> within two working days mentioning the effective date of the change.

Actual expenses for the previous financial year 2016-17:

| Regular Plan | 2.88% |
|--------------|-------|
| Direct Plan  | 1.51% |

# WAIVER OF LOAD FOR DIRECT APPLICATIONS

Not Applicable

# TAX TREATMENT FOR THE INVESTORS (UNITHOLDERS)

Motilal Oswal Mutual Fund is a Mutual Fund registered with SEBI and is governed by the provisions of Section 10(23D) of the Income Tax Act, 1961. Accordingly, any income of a fund set up under a scheme of a SEBI registered mutual fund is exempt from tax. The following information is provided only for general information purposes and is based on the Mutual Fund's understanding of the Tax Laws as of this date of Document. Investors / Unitholders should be aware that the relevant fiscal rules or their explanation may change. There can be no assurance that the tax position or the proposed tax position will remain same. In view of the individual nature of tax benefits, each investor is advised to consult his or her own tax consultant with respect to the specific tax implications arising out of their participation in the Scheme.

|                 | Resident Investors                            | Mutual Fund |  |
|-----------------|---|-------------|--|
| Equity Funds    |   |             |  |
| Tax on Dividend | Nil Nil                                       |             |  |
| Capital Gains   | Capital Gains                                 |             |  |
| Long Term       | Nil Nil                                       |             |  |
| Short Term      | 15% (In addition to the aforesaid tax, Nil    |             |  |
|                 | surcharge and education cess is also payable) |             |  |

Note: Equity Funds will also attract Securities Transaction Tax at applicable rates. Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to their tax advisors.

# DAILY NET ASSET VALUE (NAV) PUBLICATION

The NAV of the Scheme will be declared on all business days and will be published at least in two daily newspapers. The NAV can also be viewed on the website <u>www.motilaloswalmf.com</u> and AMFI website <u>www.amfiindia.com</u>.

# FOR INVESTOR GRIEVANCES PLEASE CONTACT

| Registrar                            | Motilal Oswal Mutual Fund                              |
|--------------------------------------|--|
| Karvy Computershare Pvt. Ltd.        | Mr. Nilesh Chonkar - Investor Relation                 |
| Karvy Plaza, H. No. 8-2-596,         | Officer  |
| Avenue 4, Street No. 1,              | 10 <sup>th</sup> Floor, Motilal Oswal Tower,           |
| Banjara Hills, Hyderabad-500034      | Rahimtullah Sayani Road, Opp. Parel ST Depot,          |
| <b>Tel No.:</b> 040-23320751/752/753 | Prabhadevi, Mumbai – 400 025                           |
| E-mail Id: motilal.karvy@karvy.com   | <b>Tel No.:</b> 1800-200-6626 <b>Fax No.:</b> 022 3089 |
|                                      | 6884   |
|                                      | Email Id: <u>mfservice@motilaloswal.com</u>            |

Investor may also approach the Compliance Officer / CEO of the AMC. The details including, inter-alia, name & address of Compliance Officer & CEO, their e-mail addresses and telephone numbers are displayed at each offices of the AMC.

# **UNITHODLERS INFORMATION**

Under Regulation 36(4) of SEBI (Mutual Funds) Regulations, 1996, the AMC/RTA is required to send consolidated account statement for each calendar month to all the investors in whose folio, transaction has taken place during the month. Further, SEBI vide its circular having ref. no. CIR/MRD/DP/31/2014 dated November 12, 2014, in order to enable a single consolidated view of all the investments of an investor in Mutual Fund and securities held in demat form with Depositories, has required Depositories to generate and dispatch a single consolidated account statement for investors having mutual fund investments and holding demat accounts.

In view of the said requirements, the account statements for transactions in units of the Fund by investors will be dispatched to the investors in following manner:

# I. Investors who do not hold Demat Account

Further, on acceptance of application for subscription, an allotment confirmation specifying the number of Units allotted will be sent by way of e-mail and/or SMS to the applicant's registered e-mail address and/or mobile number within five Business Days from the date of receipt of transaction request from the unit holder(s).

The AMC shall send first account statement for a new folio separately with all details registered in the folio by way of a physical account statement and/or an email to the investor's registered *KIM of Motilal Oswal MOSt Focused Dynamic Equity Fund*  address / e-mail address not later than five business days from the date of receipt of subscription request from the unit holder

Consolidated Account Statements (CAS) based on PAN of the holders, shall be sent by AMC/ RTA to investors not holding demat account, for each calendar month within 10th day of the succeeding month to the investors in whose folios, transactions have taken place during that month.

CAS shall be sent by AMC/RTA every half yearly (September/ March), on or before 10th day of succeeding month, detailing holding at the end of the six month, to all such investors in whose folios there have been no transactions during that period.

CAS sent by AMC/RTA is a statement containing details relating to all financial transactions made by an investor across all mutual funds viz. purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan, bonus etc. (including transaction charges paid to the distributor) and holding at the end of the month.

# II. Investors who hold Demat Account

On acceptance of application for subscription, an allotment confirmation Specifying the number of Units allotted will be sent by way of e-mail and/or SMS to the applicant's registered e-mail address and/or mobile number within five Business Days from the date of receipt of transaction request from the unit holder(s).

Consolidated Account Statements (CAS) based on PAN of the holders, shall be sent by Depositories to investors holding demat account, for each calendar month within 10th day of the succeeding month to the investors in whose folios, transactions have taken place during that month.

CAS shall be sent by Depositories every half yearly (September/March), on or before 10th day of succeeding month, detailing holding at the end of the six month, to all such investors in whose folios and demat accounts there have been no transactions during that period.

In case of demat accounts with nil balance and no transactions in securities and in mutual fund folios, the depository shall send account statement in terms of regulations applicable to the depositories.

CAS sent by Depositories is a statement containing details relating to all financial transactions made by an investor across all mutual funds viz. purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan, bonus etc. (including transaction charges paid to the distributor) and transaction in dematerialised securities across demat accounts of the investors and holding at the end of the month.

**Note:** Investors will have an option not to receive CAS through Depositories. Such Investors will be required to provide negative consent to the Depositories. Investors who have opted not to receive CAS through Depositories will continue to receive CAS from AMC/ the Fund.

Following provisions shall be applicable to CAS sent through AMC/RTA and CAS sent through depositories:

- i. Investors are requested to note that for folios which are not included in the CAS, AMC shall henceforth issue monthly account statement to the unit holders, pursuant to any financial transaction in such folios; the monthly statement will be sent on or before 10th day of succeeding month. Such statements shall be sent in physical form if no email id is provided in the folio.
- ii. The statement sent within the time frame mentioned above is provisional and is subject to realisation of payment instrument and/or verification of documents, including the application form, by the RTA/AMC.
- iii. In the event the folio/demat account has more than one registered holder, the first named Unit holder/Account holder shall receive the CAS (AMC/RTA or Depository). For the purpose of CAS (AMC/RTA or Depository), common investors across mutual funds/depositories shall be identified on the basis of PAN. Consolidation shall be based on the common sequence/order of investors in various folios/demat accounts across mutual funds / demat accounts across depository participants.
- iv. Investors whose folio(s)/demat account(s) are not updated with PAN shall not receive CAS. Investors are therefore requested to ensure that their folio(s)/demat account(s) are updated with PAN.
- v. For Unit Holders who have provided an e-mail address in KYC records, the CAS will be sent by e-mail.
- vi. The Unit Holder may request for a physical account statement by writing to/calling the AMC/RTA. In case of a specific request received from the unit holders, the AMC/RTA shall provide the account statement to the unit holders within 5 business days from the receipt of such request.
- vii. Account Statements shall not be construed as proof of title and are only computer printed statements indicating the details of transactions under the Schemes during the current financial year and giving the closing balance of Units for the information of the Unit Holder.
- viii. Non-transferable Unit Certificates will be sent, if an applicant so desires, within 5 Business Days of the receipt of a request for the certificate. Unit Certificates will not be issued for any fractional Units entitlement.

- ix. Units held, either in the form of Account Statement or Unit Certificates, are nontransferable. The Trustee reserves the right to make the Units transferable at a later date subject to SEBI (MF) Regulations issued from time to time.
- x. In case an investor has multiple accounts across two Depositories, the depository with whom the account has been opened earlier will be the default Depository.

#### For SIP/STP/SWP transactions:

Account Statement for SIP/STP/SWP will be despatched once every quarter ending March, June, September and December within 10 working days of the end of the respective quarter.

A soft copy of the Account Statement shall be mailed to the investors under SIP/STP/SWP to their e-mail address on a monthly basis, if so mandated.

However, the first Account Statement under SIP/STP/SWP shall be issued within 10 working days of the initial investment/transfer.

In case of specific request received from investors, Mutual Funds shall provide the account statement to the investors within 5 working days from the receipt of such request without any charges.

#### **Annual Account Statement:**

The Mutual Fund shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement, The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme. Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

Note: If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), Mutual Fund / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s). The investor shall from time to time intimate the Mutual Fund / its Registrar and Transfer Agents about any changes in the email address.

#### Half yearly Disclosures: Portfolio / Financial Results:

The Mutual Fund shall publish a complete statement of the Scheme portfolio within one month from the close of each half year (i.e. 31st March and 30th September), by way of an advertisement at least, in one national English daily and one regional newspaper in the language of the region where the head office of the Mutual Fund is located. The Mutual Fund may opt to send the portfolio to all Unit holders in lieu of the advertisement (if applicable). The Portfolio Statement will also be displayed on the website of the AMC/Mutual Fund <u>www.motilaloswalmf.com</u> and <u>www.mostshares.com</u> and AMFI i.e. <u>www.amfiindia.com</u>.

The Mutual Fund shall disclose the portfolio of the Scheme as on the last day of the month on AMC's website (<u>http://www.motilaloswalmf.com/downloads/mutual-fund/Month-End-Portfolio</u> and <u>http://www.mostshares.com/downloads/mutualfund/Month-End-Portfolio</u>) on or before the tenth day of the succeeding month. The Investors will be able to view and download this monthly portfolio from the AMC's website.

## Half yearly Disclosures: Financial Results

The Mutual Fund shall within one month from the close of each half year, that is on 31<sup>st</sup> March and on 30<sup>th</sup> September, host a soft copy of its unaudited financial results on its website. The mutual fund shall publish an advertisement disclosing the hosting of such financial results on their website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

## Annual Report

The Scheme wise Annual Report or an abridged summary thereof shall be mailed/e-mailed to all the Unitholders of the Scheme, not later than four months from the date of closure of relevant financial year i.e. March 31 each year.

The scheme wise Annual Report or an abridged summary thereof shall be sent (i) by e-mail to the Unitholders whose e-mail address is available with the Fund and (ii) in physical copy to the Unitholders whose e-mail address is not available with the Fund and/or those Unitholders who have opted/requested for the same.

Further, the annual report will be available for inspection at the registered office of the AMC and copy shall be made available on specific request. Also, the Scheme wise annual report and an abridged summary thereof shall be displayed on our website <u>www.motilaloswalmf.com</u> and <u>www.mostshares.com</u> and on AMFI's website <u>www.amfiindia.com</u> and the link of the same will be displayed prominently on the website of the Fund.

#### **Product Dashboard**

In accordance with SEBI Circular no. SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016, the AMC has designed and developed the dashboard on their website wherein the investor can access information with regards to scheme's AUM, investment objective, expense ratios, portfolio details and past performance of all the schemes.

# SPECIAL PRODUCTS AVAILABLE

# I. Systematic Investment Plan (SIP)

During Continuous Offer, a Unit holder may enrol for Systematic Investment Plan (SIP) and choose to invest specified sums in the Scheme on periodic basis by giving a single instruction.

SIP allows investors to invest a fixed amount of Rupees on specific dates on periodic basis by purchasing Units of the Scheme at the Purchase Price prevailing at such time.

The terms and conditions for investing in SIP are as follows:

| Minimum amount per SIP installment under | Rs. 1,000/- and multiple of Re. 1/-  |
|--|--|
| Weekly/Fortnightly/Monthly SIP           | thereafter.  |
| Minimum amount per SIP installment under | Rs. 2,000/- and multiple of Re. 1/-  |
| Quarterly SIP                            | thereafter.  |
| Minimum amount per SIP installment under | Rs. 5,000/- and multiple of Re. 1/-  |
| Annual SIP                               | thereafter.  |
| No. of SIP Installments                  |  |
| a) Minimum                               | Six installments   |
|  | (Weekly/Fortnightly/Monthly)   |
|  | Three installments (quarterly)   |
|  | One installment (Annually)   |
| b) Maximum                               | No Limit   |
| Periodicity                              | Weekly, Fortnightly, Monthly, Quarterly  |
|  | and Annually   |
| Dates available for SIP Facility         | $1^{\text{st}}$ , $7^{\text{th}}$ , $14^{\text{th}}$ , $21^{\text{st}}$ or $28^{\text{th}}$ of every month.  |
|  | In case, the date fixed happens to be a<br>holiday / non-business day, the same shall<br>be affected on the next business day. No<br>Post Dated cheques would be accepted for<br>SIP.<br>In case of Annual SIP, Investors can choose<br>any date, as applicable, of his / her<br>preference as Annual SIP Debit Date for the |
|  | year. In case the chosen date falls on a Non-<br>Business Day, then the SIP will be<br>processed on the immediate next Business<br>Day. In case the SIP date is not specified or<br>in case of ambiguity, the SIP transaction<br>will be processed on 7th of the subsequent<br>month in which application for SIP            |

|                                 | registration was received. In case the end<br>date is not specified, SIP will continue till it<br>receives termination notice from the<br>investor. |
|---------------------------------|---|
| Applicable NAV and Cut-off time | Applicable NAV and cut-off time as prescribed under the Regulation shall be applicable  |

The Trustee/AMC reserves the right to change/modify the terms and conditions of the SIP.

# **II.** Systematic Transfer Plan (STP)

During Continuous Offer, a Unit holder may enrol for Systematic Transfer Plan (STP) and choose to switch from this Scheme to another Option or Scheme (other than Exchange Traded Funds) of the Mutual Fund, which is available for investment at that time.

This facility enables Unit holders to transfer fixed amount periodically from their Unit holdings in the Scheme (Transferor Scheme) to the other schemes (Transferee Scheme) of the Mutual Fund.

The terms and conditions for investing in STP are as follows:

| Minimum amount per STP installment   | Rs. 1,000/- and multiple of              |
|--------------------------------------|--|
| under Weekly/Fortnightly/Monthly STP | Re. 1/- thereafter.                      |
| Minimum amount per STP installment   | Rs. 2,000/- and multiple of              |
| under Quarterly STP                  | Re. 1/- thereafter.                      |
| No. of STP Installments              |  |
| a) Minimum                           | Six installments                         |
|                                      | (Weekly/Fortnightly/Monthly)             |
|                                      | Three installments (Quarterly)           |
| b) Maximum                           | No Limit                                 |
| Periodicity                          | Weekly/Fortnightly/Monthly/Quarterly     |
| Dates available for STP Facility     | 1st, 7th, 14th, 21st or 28th of every    |
|                                      | Month/Quarter.                           |
| Applicable NAV and Cut-off time      | Applicable NAV and cut-off time as       |
|                                      | prescribed under the Regulation shall be |
|                                      | applicable                               |

The Trustee/AMC reserves the right to change/modify the terms and conditions of the STP.

# **III. Systematic Withdrawal Plan (SWP):**

Investors can use the SWP facility for regular inflows. Withdrawals can be made by informing the AMC or Registrar of the specified withdrawal dates and minimum amount as per the table

below. The amount will be converted into units at the applicable repurchase price on that date and will be subtracted from the units with the unit holder. The AMC may close a unit holder's account if the balance falls below the specified minimum amount for the scheme. Unit holders may change the amount indicated in the SWP, subject to the minimum amount specified. The SWP may be terminated on written notice from the unit holder and it will terminate automatically when all the units of the unit holder are liquidated or withdrawn from the account.

| Minimum amount per SWP installment   | Rs. 1,000/- and multiple of              |
|--------------------------------------|--|
| under Weekly/Fortnightly/Monthly STP | Re. 1/- thereafter.                      |
| Minimum amount per SWP installment   | Rs. 2,000/- and multiple of              |
| under Quarterly STP                  | Re. 1/- thereafter.                      |
| No. of STP Installments              |  |
| a) Minimum                           | Six installments                         |
|                                      | (Weekly/Fortnightly/Monthly)             |
|                                      | Three installments (Quarterly)           |
| b) Maximum                           | No Limit                                 |
| Periodicity                          | Weekly/Fortnightly/Monthly/Quarterly     |
| Dates available for STP Facility     | 1st, 7th, 14th, 21st or 28th of every    |
|                                      | Month/Quarter.                           |
| Applicable NAV and Cut-off time      | Applicable NAV and cut-off time as       |
|                                      | prescribed under the Regulation shall be |
|                                      | applicable                               |

The features of Systematic Withdrawal Plan (SWP) are as under:

The Trustee/AMC reserves the right to change/modify the terms and conditions of the SIP.

#### IV. Motilal Oswal Value Index (MOVI) Pack Plan:

Motilal Oswal Value Index (MOVI) Pack Plan is a Systematic Transfer Plan (STP) from select debt/liquid scheme into select equity scheme that enables allocation between debt and equity asset classes. It allows Unit holders holding units in non-demat form to take advantage of fluctuations in equity market valuations and not just market levels based on MOVI. Under this facility, Investors may opt to transfer amounts from Motilal Oswal MOSt Ultra Short Term Bond Fund (referred to as Transferor Scheme) to Motilal Oswal MOSt Focused 25 Fund (MOSt Focused 25), Motilal Oswal MOSt Focused Midcap 30 Fund (MOSt Focused Midcap 30), Motilal Oswal MOSt Focused Multicap 35 Fund (MOSt Focused Multicap 35) and Motilal Oswal MOSt Focused Dynamic Equity Fund (MOSt Focused Dynamic Equity), (referred to as Transferee Scheme) with allocations based on MOVI levels. The transfers will be enabled in the reverse as well.

The Salient features of the Plan are as under:

1. Motilal Oswal Value Index (MOVI) helps gauge equity market. The Index is calculated

taking into account Price to Earnings, Price to Book and Dividend Yield of the Nifty 50 Index.

A low MOVI level indicates that the market valuation appears to be cheap and one may allocate a higher percentage of their investments to Equity as an asset class. A high MOVI level indicates that the market valuation appears to be expensive and that one may reduce their equity allocation.

- 2. India Index Services & Products Ltd. (IISL) is the calculating agent of NIFTY MOVI. IISL shall calculate, compile, maintain and provide NIFTY MOVI values to Motilal Oswal Asset Management Company Ltd. NIFTY MOVI values will be published on the MOAMC website on a daily basis.
- 3. Transfer: This transfer is made from the Transferor Scheme to the Transferee Scheme, on the date of allocation based on NIFTY MOVI levels.

Reverse Transfer: This transfer is made from the Transferee Scheme to the Transferor Scheme, when appreciation in the market value of the Transferee Scheme results in a breach of the prescribed allocation level as determined by NIFTY MOVI.

- 4. This Plan presently offers two enrolment options:
  - a. One Time Investment
  - b. Systematic Transfer Plan (STP) with a minimum of 6 installments.
- 5. Minimum amount under this Plan is as follows:

| Options             | Minimum Amount of Transfer (Rs.)                  |
|---------------------|---|
| One Time Investment | Rs. 5000/- and in multiples of Re. 1/- thereafter |
| STP                 | Rs. 1000/- and in multiples of Re. 1/- thereafter |

6. The date of allocation will be the 15<sup>th</sup> of every month. In case the date of allocation falls on a Non-Business Day or falls during a book closure period, the immediate following Business Day will be considered as the date of allocation.

**Note:** Under 'One Time Investment' enrolment option, in addition to the 15<sup>th</sup> of every month, allocation will occur on the business day succeeding the date of enrolment into the MOVI Pack Plan.

Under 'STP' enrolment option, if the Unit holder has an existing Systematic Investment Plan (SIP) in the Transferor scheme where the date of SIP falls on a date later than or on  $15^{\text{th}}$  of every month, then allocation for that amount will occur on  $15^{\text{th}}$  of the subsequent month.

7. On the date of allocation, the prescribed percentage of the amount will be transferred in the Transferee Scheme at the closing NAV of the same day i.e. date of allocation.

- 8. The AMC will require upto 7 calendar days from the date of submission of valid enrolment forms to register the Investor under this Plan. Therefore, in the intervening period i.e. date of submission of enrolment form and date of registration, if the date of allocation is crossed, then the same will be considered at the next date of allocation.
- 9. The amount transferred under this Plan from the Transferor Scheme to Transferee Scheme shall be effective by redeeming units of Transferor Scheme at applicable NAV, (subject to the applicable exit load, if any) and subscribing to the units of the Transferee Scheme at applicable NAV on the date of allocation.
- 10. Unit holders can discontinue the Plan at any time by providing a written request which shall be made effective within 7 calendar days of the date of receipt of the said request.
- 11. This Plan will be automatically terminated if all units are liquidated or withdrawn or pledged or upon receipt of intimation of death of the unit holder.
- 12. Unit holders should note that investor details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number.
- 13. Any change in methodology of MOVI would only be carried out by obtaining prior consent of the Board of Trustees of Motilal Oswal Trustee Company Limited, the Trustees to Motilal Oswal Mutual Fund.
- 14. Given the two way movement of investments between funds, liquidity at times may be restricted by trading volumes, settlement periods, and inordinately large number of redemption requests or restructuring of the Scheme. This may impact the performance of the underlying scheme.
- 15. Investors may approach/consult their tax consultants in regard to the treatment of the transfer of units from the tax point of view.
- 16. Investors are required to refer to the terms and conditions mentioned in the enrolment form.
- 17. The Trustee/AMC reserves the right to change/modify the terms and conditions of the MOVI Pack Plan or withdraw this facility.

This facility will also be available for transfer into any other equity scheme of Motilal Oswal Mutual Fund that may be launched in future.

## V. Motilal Oswal Focused Cash Flow Plan (MOF - CP)

MOF – CP enables investor to withdraw a regular sum from his investments in the eligible Schemes of Motilal Oswal Mutual Fund at fixed percentage of original investments at a predefined frequency irrespective of the movement in market value of the investments and would be subject to the availability of account balance of the investor.

#### The Salient features of the MOF – CP are as under:

- 1. MOF CP offers an investor the advantage of withdrawing a fixed percentage from his or her investments at the specified date for a designated tenure period at a predefined frequency i.e. monthly, quarterly and annually.
- MOF CP is offered under Motilal Oswal MOSt Focused 25 Fund, Motilal Oswal MOSt Focused Midcap 30 Fund, Motilal Oswal MOSt Focused Multicap 35 Fund and Motilal Oswal MOSt Focused Dynamic Fund (eligible Schemes).
- 3. It is applicable for lump sum investments only. The payout will be calculated on the basis of each lump sum investment.
- 4. It presently offers two options:
  - a. MOF CP @ 7.5% p.a. of original cost of investment. The payouts for monthly and quarterly frequency would be at the rate of 0.6045% and 1.8245% respectively.
  - b. MOF CP @ 10% p.a. of original cost of investment. The payouts for monthly and quarterly frequency would be at the rate of 0.7974% and 2.4114% respectively.

#### **Illustration:**

#### For calculation of MOF – CP @ 7.5% p.a. and 10% p.a. for Quarterly frequency:

|                                 | At 7.5%     | At 10%      |
|---------------------------------|-------------|-------------|
| Particulars                     | p.a.        | p.a.        |
| Investment Date (First Lump sum |             |             |
| Investment)                     | 01-Apr-17   | 01-Apr-17   |
| Cost of Investment              | 1,00,000.00 | 1,00,000.00 |
| NAV at the time of investment   | 10.3789     | 10.3789     |
| Units Allotted                  | 9,634.9324  | 9,634.9324  |
| First Cashflow Date             | 01-Apr-18   | 01-Apr-18   |
| NAV                             | 11.8648     | 11.8648     |
| Amount to be Redeemed           | 7,500.00    | 10,000.00   |
| Units Redeemed                  | 632.1219    | 842.8292    |
| Balance Units                   | 9,002.811   | 8,792.1032  |
| Second Cashflow Date            | 01-July-18  | 01-July-18  |

| NAV                   | 11.6121    | 11.6121    |
|-----------------------|------------|------------|
| Amount to be Redeemed | 1,824.5    | 2411.4     |
| Units Redeemed        | 157.1206   | 207.6627   |
| Balance Units         | 8,845.6904 | 8,548.4405 |

- 5. The first payout will be processed only after completion of one year period from date of investment and thereafter at the desired frequency opted by the investor.
- 6. Dates available for MOF CP:

| Monthly & Quarterly<br>Frequency | 1st, 7th, 14th, 21st or 28th |
|----------------------------------|------------------------------|
| Annual Frequency                 | Any day of the year          |

- 7. In case of partial redemptions, the payouts will further happen on the original investment cost and not the balance investment.
- 8. In case of the account balance available under the folio is less than the desired payout amount, the redemption will be processed for the available amount in the folio and the folio would be closed.
- 9. The AMC will require 7 calendar days from the date of submission of valid enrolment form to register the Investor under MOF CP. Therefore, in the intervening period i.e. date of submission of enrolment form and date of registration, if the date of payout is crossed, then the same will be considered at the next date of payout.
- 10. Investors can discontinue with this facility at any time by providing a valid form which shall be made effective within 7 calendar days of the date of receipt of the said request. Therefore, in the intervening period i.e. date of submission of form and date of registration, if the date of payout is crossed, then the same will be considered at the next date of payout.
- 11. This facility will be automatically terminated if all units are liquidated or withdrawn or pledged or upon receipt of intimation of death of the investor.
- 12. Investors are required to refer to the terms and conditions mentioned in the form.
- 13. The Trustee/AMC reserves the right to change/modify the terms and conditions of the MOF CP or withdraw of this facility.

# DIFFERENTIATION BETWEEN EXISTING SCHEMES OF MOTILAL OSWAL MUTUAL FUND

The following table shows the differentiation of the Scheme with the existing equity Schemes of Motilal Oswal Mutual Fund:

| Name of the<br>Scheme  | Investment<br>Objective   | Asset Allocation  | Product<br>Differentiation  | Asset Under<br>Management<br>(Rs. In<br>Crores)<br>(As on May<br>21, 2017) | Number of<br>Folio's<br>(As on May<br>31, 2017) |
|--|---|---|---|--|---|
| Motilal<br>Oswal MOSt<br>Shares M50<br>ETF (MOSt<br>Shares M50)                    | The Scheme<br>seeks investment<br>return that<br>corresponds<br>(before fees and<br>expenses)<br>generally to the<br>performance of<br>the Nifty 50<br>Index<br>(Underlying<br>Index), subject to<br>tracking error.<br>However, there<br>can be no<br>assurance or<br>guarantee that the<br>investment<br>objective of the<br>Scheme would be<br>achieved. | The Scheme<br>would invest at<br>least 95% in the<br>securities<br>constituting Nifty<br>50 Index and the<br>balance in debt<br>and money market<br>instruments and<br>cash at call.      | MOSt Shares<br>M50 is an open<br>ended exchange<br>traded fund<br>which invests in<br>securities<br>constituting Nifty<br>50 Index. | <u>31, 2017)</u><br>21.21  | 3,608   |
| Motilal<br>Oswal MOSt<br>Shares<br>Midcap 100<br>ETF (MOSt<br>Shares<br>Midcap100) | 1   | The Scheme<br>would invest at<br>least 95% in the<br>securities<br>constituting Nifty<br>Free Float Midcap<br>100 Index and the<br>balance in debt<br>and money market<br>instruments and | MOStSharesMidcap100is anopen endedIndexexchangetradedfundwhichinvestsinsecuritiesconstitutingconstitutingNiftyFreeFloatMidcap100    | 27.31  | 3,724   |

|                           | (Underlying                        | cash at call.                  | Index in the same                  |        |        |
|---------------------------|------------------------------------|--------------------------------|------------------------------------|--------|--------|
|                           | Index), subject to tracking error. |                                | proportion as in the Index.        |        |        |
|                           | However, there                     |                                |                                    |        |        |
|                           | can be no assurance or             |                                |                                    |        |        |
|                           | guarantee that the                 |                                |                                    |        |        |
|                           | investment                         |                                |                                    |        |        |
|                           | objective of the                   |                                |                                    |        |        |
|                           | Scheme would be achieved.          |                                |                                    |        |        |
| Motilal                   | The Scheme                         | The Scheme                     | The Scheme will                    | 74.59  | 3,295  |
| Oswal MOSt                | seeks investment                   | would invest at                | invest in the                      |        |        |
| Shares                    | return that                        | least 95% in the               | securities which                   |        |        |
| NASDAQ-                   | corresponds                        | securities                     | are constituents                   |        |        |
| 100 ETF<br>(MOSt Shares   | (before fees and expenses)         | constituting<br>NASDAQ-100     | of NASDAQ-100<br>Index in the same |        |        |
| NASDAQ                    | generally to the                   | Index and the                  | proportion as in                   |        |        |
| 100)                      | performance of                     | balance in                     | the Index.                         |        |        |
|                           | the NASDAQ-                        | Overseas Debt and              |                                    |        |        |
|                           | 100 Index,                         | Money market                   |                                    |        |        |
|                           | subject to                         | instruments and                |                                    |        |        |
|                           | tracking error.                    | cash at call,<br>mutual fund   |                                    |        |        |
|                           | However, there                     | schemes or                     |                                    |        |        |
|                           | can be no                          | exchange traded                |                                    |        |        |
|                           | assurance or                       | funds based on                 |                                    |        |        |
|                           | guarantee that the                 | NASDAQ-100                     |                                    |        |        |
|                           | investment                         | Index.                         |                                    |        |        |
|                           | objective of the Scheme would be   |                                |                                    |        |        |
|                           | achieved.                          |                                |                                    |        |        |
| Motilal                   | The investment                     | The Scheme                     | The Scheme is an                   | 571.06 | 28,889 |
| Oswal MOSt                | objective of the                   | would invest at                | open ended                         |        |        |
| Focused 25                | Scheme is to                       | least 90%                      | equity scheme                      |        |        |
| Fund (MOSt<br>Focused 25) | achieve long term capital          | in Equity and equity related   |                                    |        |        |
| 1 OCUSEU 23)              | appreciation by                    | equity related instruments and |                                    |        |        |
|                           | investing in upto                  | balance in Debt                | ·                                  |        |        |
|                           | 25 companies                       | and Money                      | _                                  |        |        |
|                           | with long term                     | Market                         | appreciation by                    |        |        |
|                           | sustainable                        | Instruments, G-                | investing in upto                  |        |        |
|                           | competitive                        | Secs, Bonds                    | 25 companies                       |        |        |

|             | advantage and      |                   | with long term     |        |       |
|-------------|--------------------|-------------------|--------------------|--------|-------|
|             | growth potential.  |                   | sustainable        |        |       |
|             |                    |                   | competitive        |        |       |
|             | However, there     |                   | advantage and      |        |       |
|             | can be no          |                   | growth potential.  |        |       |
|             | assurance or       |                   | The asset          |        |       |
|             | guarantee that the |                   | allocation of the  |        |       |
|             | investment         |                   | Scheme is          |        |       |
|             | objective of the   |                   | investing upto     |        |       |
|             | Scheme would be    |                   | 65% in equity      |        |       |
|             | achieved.          |                   | and equity related |        |       |
|             | deme ved.          |                   | instruments from   |        |       |
|             |                    |                   | Top 100 listed     |        |       |
|             |                    |                   | -                  |        |       |
|             |                    |                   | companies by       |        |       |
|             |                    |                   | market             |        |       |
|             |                    |                   | capitalization and |        |       |
|             |                    |                   | upto 25% in        |        |       |
|             |                    |                   | equity and equity  |        |       |
|             |                    |                   | related            |        |       |
|             |                    |                   | instruments of the |        |       |
|             |                    |                   | next 50            |        |       |
|             |                    |                   | companies by       |        |       |
|             |                    |                   | market             |        |       |
|             |                    |                   | capitalization and |        |       |
|             |                    |                   | the balance upto   |        |       |
|             |                    |                   | 10% in debt,       |        |       |
|             |                    |                   | money market       |        |       |
|             |                    |                   | instruments, G-    |        |       |
|             |                    |                   | secs, Bonds, cash  |        |       |
|             |                    |                   | and cash           |        |       |
|             |                    |                   | equivalents, etc.  |        |       |
| Motilal     | The investment     | The Scheme        | -                  | 606 97 | 9.949 |
| Motilal     | The investment     |                   | The Scheme is an   | 606.82 | 7,747 |
| Oswal MOSt  | objective of the   |                   | 1                  |        |       |
| Ultra Short | Scheme is to       | Debt Instruments  | Scheme which       |        |       |
| Term Bond   | generate optimal   | including         | will invests in    |        |       |
| Fund (MOSt  | returns consistent |                   | debt instruments   |        |       |
| Ultra Short | with moderate      | Securities,       | and money          |        |       |
| Term Bond   | levels of risk and | 1                 | market             |        |       |
| Fund)       | liquidity by       | Other debt        | instruments with   |        |       |
|             | investing in debt  | instruments, Term | average maturity   |        |       |
|             | securities and     | Deposits and      | less than or equal |        |       |
|             | money market       | Money Market      | to 12 months.      |        |       |
|             | securities.        | Instruments with  |                    |        |       |
|             |                    | average maturity  |                    |        |       |
|             |                    | in trage matality |                    |        |       |

| []         | TT                 | 1                         |                           |         | ]      |
|------------|--------------------|---------------------------|---------------------------|---------|--------|
|            | However, there     | less than equal to        |                           |         |        |
|            | can be no          | 12 months                 |                           |         |        |
|            | assurance or       |                           |                           |         |        |
|            | guarantee that the |                           |                           |         |        |
|            | investment         |                           |                           |         |        |
|            | objective of the   |                           |                           |         |        |
|            | Scheme would be    |                           |                           |         |        |
|            | achieved.          |                           |                           |         |        |
| Motilal    | The investment     | The Scheme                | The Scheme is an          | 1333.99 | 52,327 |
| Oswal MOSt | objective of the   | would invest at           | open ended                |         |        |
| Focused    | Scheme is to       | least 65-100%             | equity scheme             |         |        |
| Midcap 30  | achieve long term  | in Equity and             | with investment           |         |        |
| Fund (MOSt | capital            | equity related            | objective to              |         |        |
| Focused    | appreciation by    |                           | achieve long term         |         |        |
| Midcap 30) | investing in a     | selected between          | capital                   |         |        |
| indeap 50) | maximum of 30      | Top 101 <sup>st</sup> and |                           |         |        |
|            | quality mid-cap    | 200 <sup>th</sup> listed  | investing in 65-          |         |        |
|            | companies having   | companies by              | 100% in Equity            |         |        |
|            | long-term          | market                    | and equity related        |         |        |
|            | U                  |                           |                           |         |        |
|            | competitive        | capitalization and        |                           |         |        |
|            | advantages and     | -                         | selected between          |         |        |
|            | potential for      | Equity and equity         | Top 101 <sup>st</sup> and |         |        |
|            | growth.            | related                   | 200 <sup>th</sup> listed  |         |        |
|            | TT .1              | instruments*              | companies by              |         |        |
|            | However, there     | beyond the Top            | market                    |         |        |
|            | can be no          | 200 <sup>th</sup> listed  | capitalization an         |         |        |
|            | assurance or       | company and with          | _                         |         |        |
|            | guarantee that the | market                    | Equity and equity         |         |        |
|            | investment         | capitalization not        |                           |         |        |
|            | objective of the   | lower than the            | instruments*              |         |        |
|            | Scheme would be    | INR 600 crores            | beyond the Top            |         |        |
|            | achieved.          | and balance               | 200 <sup>th</sup> listed  |         |        |
|            |                    | 10% in Debt,              | company and               |         |        |
|            |                    | Money Market              | with market               |         |        |
|            |                    | Instruments, G-           | capitalization not        |         |        |
|            |                    | Sec, Bonds, Cash          | -                         |         |        |
|            |                    | and cash                  | INR 600 crores            |         |        |
|            |                    | equivalents, etc.         | and balance               |         |        |
|            |                    | *subject to overall       |                           |         |        |
|            |                    | limit of 30               | Money Market              |         |        |
|            |                    | companies                 | Instruments, G-           |         |        |
|            |                    | L                         | Sec, Bonds, Cash          |         |        |
|            |                    |                           | and cash                  |         |        |
|            |                    |                           | equivalents, etc.         |         |        |
|            |                    |                           | equivalento, etc.         |         |        |

KIM of Motilal Oswal MOSt Focused Dynamic Equity Fund

|  |   |   | *subject to<br>overall limit of 30<br>companies   |         |         |
|--|---|---|---|---------|---------|
| Motilal<br>Oswal MOSt<br>Focused<br>Multicap 35<br>Fund (MOSt<br>Focused<br>Multicap 35) | The investment<br>objective of the<br>Scheme is to<br>achieve long term<br>capital<br>appreciation by<br>primarily<br>investing in a<br>maximum of 35<br>equity & equity<br>related<br>instruments<br>across sectors and<br>market-<br>capitalization<br>levels.  | invest 65% to<br>100% in Equity<br>and equity related<br>instruments and<br>balance up to 35%<br>in debt  | open ended<br>diversified equity<br>scheme which<br>with an objective<br>to achieve long<br>term capital<br>appreciation by<br>investing in<br>securities across<br>sectors and<br>market | 6798.22 | 193,585 |
| Motilal<br>Oswal MOSt<br>Focused Long<br>Term Fund<br>(MOSt<br>Focused Long<br>Term)     | The investment<br>objective of the<br>scheme is to<br>generate long<br>term capital<br>appreciation from<br>a diversified<br>portfolio of<br>predominantly<br>equity and equity<br>related<br>instruments.<br>However, there<br>can be no<br>assurance or<br>guarantee that the<br>investment<br>objective of the<br>Scheme would be<br>achieved. | The scheme would<br>invest 80% to<br>100% in Equity<br>and equity related<br>instruments and<br>balance up to 20%<br>in debt<br>instruments,<br>Money Market<br>Instruments, G-<br>Secs, Cash and<br>cash at call, etc. | openendedequitylinkedsavingschemewith a 3 year lockinwithanobjectivetogeneratelongtermcapitalappreciation.  | 491.95  | 55,901  |

KIM of Motilal Oswal MOSt Focused Dynamic Equity Fund

| Motilal<br>Oswal MOSt | The investment objective is to | The scheme would invest 65% to | The scheme shall change its | 770.67 | 36,971 |
|-----------------------|--------------------------------|--------------------------------|-----------------------------|--------|--------|
| Focused               | generate long                  | 100%                           | allocation                  |        |        |
| Dynamic               | term capital                   | in Equity and                  | between                     |        |        |
| Equity Fund           | -                              | equity                         | equity,                     |        |        |
| (MOSt                 | investing in                   |                                | derivatives                 |        |        |
| Focused               | equity and equity              | including equity               | and debt, based             |        |        |
| Dynamic               | related                        | derivatives and                | on                          |        |        |
| Equity)               | instruments                    | balance up to 35%              | MOVI.                       |        |        |
|                       | including equity               | in Debt                        |                             |        |        |
|                       | derivatives as                 | Instruments,                   |                             |        |        |
|                       | well as debt                   | Money                          |                             |        |        |
|                       | instruments.                   | Market                         |                             |        |        |
|                       |                                | Instruments,                   |                             |        |        |
|                       |                                | G-Secs, Cash and               |                             |        |        |
|                       | However, there                 | cash at call, etc.             |                             |        |        |
|                       | can be no                      |                                |                             |        |        |
|                       | assurance or                   |                                |                             |        |        |
|                       | guarantee that the             |                                |                             |        |        |
|                       | investment                     |                                |                             |        |        |
|                       | objective of the               |                                |                             |        |        |
|                       | Scheme would be                |                                |                             |        |        |
|                       | achieved.                      |                                |                             |        |        |
|                       |                                |                                |                             |        |        |

This KIM is dated June 30, 2017

# MOTILAL OSWAL MUTUAL FUND

# a) Official Point of Acceptance of Transactions

| Hyderabad | 201, Lumbini Amrutha Chambers, Near Nagarjuna Circle, Road 3, Banjara Hills, Hyderabad - 500 082                |
|-----------|---|
| Mumbai    | 10 <sup>th</sup> Floor, Motilal Oswal Tower, Rahimtullah Sayani Road, Opp. Parel ST Depot, Prabhadevi, Mumbai - |
|           | 400025  |
| Mumbai -  | 2nd Floor, Queens mansion, Behind Khadi Bhandar, A K Nayak Marg, Fort, Mumbai- 400001                           |
| Fort      |   |
| Pune      | Millennium Towers, 5 Floor, Sr. No 885/1/A, Bhandarkar Road, Above Cosmos Bank, Shivaji Nagar, Pune             |
|           | 411004  |
| Ahmedabad | 403, Third Eye Building, Panchwati Cross Roads, Opp. Centre Point, C. G. Road, Ahmedabad-380006                 |
| Delhi     | 801-815, 8th Floor, Tolstoy House, Tolstoy Marg, Connaught Place, New Delhi - 110001                            |
| Chennai   | NO: 121/46, First Floor, Dr. Radhakrishnan Salai, Above "Arvind Store', Opposite to CITI Center,                |
|           | Mylapore , Chennai – 600004   |
| Bangalore | Unit No.S-806, 8th floor, South Block, Manipal Centre, Dickenson Road, Bangalore- 560 042                       |
| Kolkata   | 3rd Floor, Constantia Building, 11, Dr. U. N. Bramachari Street, Kolkata - 700 017                              |
| Surat     | Office No. 2006, Mezzanine Floor, 21st Century Business Center, Near Udhna Char Rasta, Ring Road,               |
|           | Surat-395002  |

# b) Investor Service Center

| Jaipur     | 301 & 304, 3rd Floor, Luhadia tower ,Ashok Marg, C Scheme, Jaipur - 302001             |
|------------|--|
| Lucknow    | 710, 7th Floor, Ratan Square, 20-A, Vidhan Sabha Marg, Lucknow, Uttar Pradesh - 226001 |
| Chandigarh | SCO 86 First Floor, Sector - 38C, Chandigarh - 160036(U.T)                             |
| Cochin     | 41/418E ,4th Floor, Chicago plaza, Rajaji Road, Cochin, Kerala -682035                 |
| Coimbatore | Old No. 171, New No. 60, Subramaniam Road, R.S. Puram, Coimbatore-641002               |

# KARVY COMPUTERSHARE PRIVATE LIMITED (Official Collection Centres)

| Agartala   | Bhagalpur   | Durgapur    | Jalpaiguri | Mathura     | Pudukottai  | Solapur        |
|------------|-------------|-------------|------------|-------------|-------------|----------------|
| Agra       | Bharuch     | Eluru       | Jammu      | Meerut      | Pune        | Sonepat        |
| Ahmedabad  | Bhatinda    | Erode       | Jamnagar   | Mehsana     | Raipur      | Sri Ganganagar |
| Ajmer      | Bhavnagar   | Faridabad   | Jamshedpur | Mirzapur    | Rajahmundry | Srikakulam     |
| Akola      | Bhilai      | Ferozpur    | Jaunpur    | Moga        | Rajapalaym  | Sultanpur      |
| Aligarh    | Bhilwara    | Gandhidham  | Jhansi     | Moradabad   | Rajkot      | Surat          |
| Allahabad  | Bhopal      | Gandhinagar | Jodhpur    | Morena      | -           | Thanjavur      |
| Alleppy    | Bhubaneswar | Gaya        | Junagadh   | Mumbai      | Ratlam      | Thodupuzha     |
| Alwar      | Bikaner     | Ghaziabad   | Kannur     | Muzaffarpur | Renukoot    | Tirunelveli    |
| Amaravathi | Bilaspur    | Ghazipur    | Kanpur     | Mysore      | Rewa        | Tirupathi      |
| Ambala     | Bokaro      | Gonda       | Karaikudi  | Nadiad      | Rohtak      | Tirupur        |
| Amritsar   | Burdwan     | Gorakhpur   | Karimnagar | Nagerkoil   | Roorkee     | Tiruvalla      |
| Anand      | Calicut     | Gulbarga    | Karnal     | Nagpur      | Rourkela    | Trichur        |
| Ananthapur | Chandigarh  | Guntur      | Karur      | Namakkal    | Sagar       | Trichy         |
| Ankleshwar | Chandrapur  | Gurgaon     | Kharagpur  | Nanded      | Saharanpur  | Trivandrum     |
| Asansol    | Chennai     | Guwahati    | Kolhapur   | Nasik       | Salem       | Tuticorin      |
| Aurangabad | Chinsura    | Gwalior     | Kolkata    | Navsari     | Sambalpur   | Udaipur        |

KIM of Motilal Oswal MOSt Focused Dynamic Equity Fund

| Azamgarh        | Cochin     | Haldwani   | Kollam     | Nellore     | Satna       | Ujjain        |
|-----------------|------------|------------|------------|-------------|-------------|---------------|
| Balasore        | Coimbatore | Haridwar   | Korba      | New Delhi   | Shaktinagar | Valsad        |
| Bangalore       | Cuttack    | Hassan     | Kota       | Nizamabad   | Shillong    | Vapi          |
| Bankura         | Darbhanga  | Hissar     | Kottayam   | Noida       | Shimla      | Varanasi      |
| Bareilly        | Davangere  | Hoshiarpur | Kurnool    | Palghat     | Shimoga     | Vellore       |
| Barhampore (Wb) | Dehradun   | Hubli      | Lucknow    | Panipat     | Shivpuri    | Vijayanagaram |
| Baroda          | Deoria     | Hyderabad  | Ludhiana   | Panjim      | Sikar       | Vijayawada    |
| Begusarai       | Dewas      | Indore     | Madurai    | Pathankot   | Silchar     | Visakhapatnam |
| Belgaum         | Dhanbad    | Jabalpur   | Malappuram | Patiala     | Siliguri    | Warangal      |
| Bellary         | Dharwad    | Jaipur     | Malda      | Patna       | Sitapur     | Yamuna Nagar  |
| Berhampur(Or)   | Dhule      | Jalandhar  | Mangalore  | Pollachi    | Sivakasi    | Ranchi        |
| Betul           | Dindigul   | Jalgaon    | Margoa     | Pondicherry | Solan       | -             |

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# MF UTILITIES INDIA PRIVATE LIMITED (OFFICIAL COLLECTION CENTRES)

Please visit <u>www.mfuindia.com</u> for Point of Services ("POS") locations of MF Utilities India Private Limited ("MFU") which are Official Points of Acceptance (OPAs) for ongoing transactions.

| MOTILAL OSWAL  |                      | ormation<br>lous Offe   |                      |             |                  |                 |            |               | pplic      | atior       | n For                 |         | Applica<br><sup>-</sup> orm -           | ation No<br>1       | Э.                   |                                   |               |                     |               |
|--|----------------------|-------------------------|----------------------|-------------|------------------|-----------------|------------|---------------|------------|-------------|-----------------------|---------|---|---------------------|----------------------|-----------------------------------|---------------|---------------------|---------------|
| Distributor ARN / RIA#   | Distri               | ibutor Nam              | е                    | Sub         | -Distrik         | outor A         | RN/RI      | A# I          | nternal    | Sub-B       | roker                 | /Emp    | loyee                                   | Code                |                      |                                   | EUIN          |                     |               |
| ARN/RIA  |                      |                         |                      |             | AF               | RN              |            |               |            |             |                       |         |   |                     |                      |                                   |               |                     |               |
| #By mentioning RIA code, I/We authorize you to shar<br>Investors applying under Direct Plan m<br>Upfront commission shall be paid direc  | ust mention "I       | Direct" in ARN          | Column               |             |                  |                 |            |               |            |             | ous fact              | ors inc | luding                                  | the ser             | vice r               | endered                           | by the        | distri              | butor.        |
| "/We hereby confirm that the EUIN box has been into<br>without any interaction or advice by the employee/rela<br>broker or notwithstanding the advice of in-appropri<br>manager/sales person of the distributor/sub broker." | ationship manager/sa | les person of the abov  | ve distributor/su    | ib III3     | t / Sole<br>Guar | Applica<br>dian | nt /       | Se            | cond App   | olicant     |                       | Tř      | ird App                                 | plicant             |                      | Po                                | wer of<br>Hol |                     | ney           |
| TRANSACTION CHARGES FOR APP<br>or more and your Distributor has opted to receive Tra<br>Units will be issued against the balance amount inve   | nsaction Charges, t  |                         |                      |             |                  |                 |            |               |            |             | <sup>),000</sup>   pe |         | scriptio                                | arges fo<br>n ₹ 10, |                      | Exi                               | •             |                     | - ₹100<br>150 |
| 1 EXISTING INVESTOR'S DETAIL   | LS (Please fill yo   | our Folio No., Name     | , Section 2,7,       | 10 &12)     |                  |                 |            |               |            |             |                       |         |   |                     |                      |                                   |               |                     |               |
| Folio No.  |                      | Name                    | FI                   | R S         | Т                |                 |            |               | M          | [           | DD                    | L       | Е                                       |                     |                      | L                                 | A             | S 1                 | Γ             |
| 2 FIRST APPLICANT'S DETAILS  | (Non-individual i    | nvestor please fill i   | in FATCA, CRS        | & UBO De    | claration i      | n Section       | 10B, 11 &  | 12)           |            |             |                       |         |   |                     |                      |                                   | Mr.           | Ms.                 | M/s           |
| Name F I R S T   |                      |                         |                      | M           |                  | D D             | LE         |               |            |             |                       |         |   |                     |                      | L                                 | А             | S 1                 | Γ             |
| Father's Name     F     I     R     S     T  |                      |                         |                      | M           |                  | D D             | L          |               |            |             |                       |         |   |                     |                      | L                                 | A             | S 1                 | ſ             |
| PAN /PEKRN**   |                      |                         | CIN                  |             |                  |                 |            |               |            |             |                       |         |   |                     |                      |                                   |               |                     |               |
| Date of Birth / Incorporation D  | M M Y                | Y Y Y                   | Place of E           | Birth / Inc | orporatio        | n               | Country    | / of Birth    | / Incorpoi | ration      | Nationa               | lity 🗆  | Indian                                  |                     |                      | thers (F                          | leas          | e Sp                | ecify)        |
| City of Incorporation  |                      |                         |                      |             |                  | ]               |            |               |            |             |                       |         |   |                     |                      |                                   |               |                     |               |
| For Investments "On behalf of Minor"   | Birth C              | ertificate 🗌 S          | chool Certi          | ficate      | Passp            | ort 🗌           | Others     | Spe           | cify       | Guardia     | an name               | ed belo | w is                                    | Fath                | er                   | Mother                            | C 🗌 C         | ourt Aj             | opointed      |
| (Refer Instruction 1d)<br>Name of the Guardian (In case of minor)  | / Contact ners       | on for non indiv        | <i>i</i> iduals / Po | ∆ holder    | name             |                 |            |               |            | Guardia     | n / PoA               | PAN     |   |                     |                      |                                   |               |                     |               |
|  |                      |                         |                      | M           | _                | D D             | LE         |               |            |             |                       |         |   |                     |                      |                                   | A             | S 1                 |               |
| Tax Residence Address (for KYC Address   | ) 🗌 Residentia       | I 🗌 Registere           | d office 🗌           |             |                  |                 |            |               |            |             |                       |         |   |                     |                      |                                   | ~             | 0                   |               |
| Correspondence Address   |                      |                         |                      |             |                  |                 |            |               |            |             |                       |         |   |                     |                      |                                   |               |                     |               |
|  |                      |                         |                      |             |                  |                 |            |               |            |             |                       |         |   |                     |                      |                                   |               |                     |               |
| City   |                      |                         |                      | State       |                  |                 |            |               |            |             |                       |         |   | Pin (               | Code                 |                                   |               |                     |               |
| Overseas address   |                      |                         |                      |             |                  | Mandat          | ory inca   | se of NF      | ľs         |             |                       |         |   |                     |                      |                                   |               |                     |               |
| Email ID   |                      |                         |                      |             |                  |                 | Mobil      | e             |            |             |                       |         |   |                     | Tel.                 |                                   |               |                     |               |
| Email ID & Mobile No. are essential to<br>** Please mention PAN/PEKRN(PAN Exen<br>3 KYC Details (Mandatory)  |                      |                         | -                    | andatory    |                  |                 |            |               |            |             |                       |         |   |                     |                      |                                   |               |                     |               |
| Status Partnership Firm HU   | IF Privat            | te Limited Com          | pany                 | Public      | l imited         | Compa           | nv ⊡ I     | isted C       | ompany     | So          | cietv                 |         | P/BOI                                   |                     | Frust I              | l Liquid                          | ator          |                     |               |
|  |                      |                         |                      | _           |                  |                 |            |               |            |             | _                     |         |   |                     |                      | artnersh                          |               | Trust               | ÷             |
| Artificial Juridical Person  |                      | ent Individual          |                      | oprietor    |                  | Minor           |            | FII/ F        |            |             | PI                    |         |   |                     | -                    |                                   |               |                     | L             |
|  | -                    |                         | Govt. Boo            |             | Ba               |                 |            |               | Establis   |             |                       | NF      |   |                     | Other                |                                   | Spec          |                     |               |
| Occupation Pvt. Sector Service P   | ublic Sector         | Gov. Service            | Housewi              | fe 🗌 De     | fence            | Profes          | sional     | Retired       | Busi       | ness_/      | Agricult              | ure     | Stude                                   | nt 🗌 F              | orex D               | ealer                             | Others        | Sp                  | ecify         |
| Gross Annual<br>Income 0R<br>Net-worth*<br>in ₹<br>Not older   |                      | on D D M                | CR<br>M Y Y          |             |                  | networth        | itory for  | as<br>Non-inc | ividuals)  | R>10        |                       | 1       | Foreign E<br>Gaming /<br>(casinos, bett | -                   | / Money<br>g / Lotte | <b>any of tl</b><br>Changer<br>ry | ie follov     | ving:<br>Yes<br>Yes | No            |
| than one year  |                      |                         |                      |             | o / T            | / \\//= = 1     |            | ier inform    |            |             | <u> </u>              | Delet   |   | NI                  | + ^                  | able                              |               |                     |               |
| Politically Exposed Person (PEP) State JOINT APPLICANT'S DETAILS   | ,                    | i i or autriorised sig  | natories/Prom        | oters/ Karl | a/ ITUSTEE       | 7 WITOIE TIP    | ne pirecto | 15)           | lan        | יי ייבר     | 🔄 I am                | neiate  | u iu PEP                                |                     | r whhiic             | auie                              |               |                     |               |
| SECOND APPLICANT'S DETAI   | LS                   | ( II)                   |                      |             |                  |                 |            |               |            |             |                       |         |   |                     |                      |                                   | Mr.           | Ms.                 | M/s           |
| Mode of Holding     Joint     Anyone       Name     F     I     R     S     T  | e or Survivor (D     | etault)                 |                      | M           |                  | D D             | LE         |               |            |             |                       |         |   |                     |                      | L                                 | A             | S -                 | Γ             |
| ACKNOWLEDGMENT SLIP Rec  | eived subject to re  | alisation, verification | on and conditi       | ons, an ap  | plication f      | or purchas      | e of Units | as mentio     | ned in the | application | n form.               | Applic  | ation N                                 |                     |                      |                                   |               |                     | %-            |
| From   |                      |                         |                      |             |                  |                 |            |               |            |             |                       |         | ]                                       |                     |                      |                                   |               |                     |               |
| Cheque no. D   | ate                  | Amou                    | int                  |             |                  |                 | ;          | Scheme        |            |             |                       |         |   |                     | Star                 | np & Si                           | onatur        |                     |               |

| Father's Name   | R      | S       | T                |          | ļ       |          |        |          |              |                      |         |         | M      |         | D        | D      |                            | L        | E                             |        |         |         |              |                                |       |       |       |  |      |               |        |            |          | L              | A             | A S              | T    |                 |
|---|--------|---------|------------------|----------|---------|----------|--------|----------|--------------|----------------------|---------|---------|--------|---------|----------|--------|----------------------------|----------|-------------------------------|--------|---------|---------|--------------|--------------------------------|-------|-------|-------|--|------|---------------|--------|------------|----------|----------------|---------------|------------------|------|-----------------|
| PAN /PEKRN**  |        |         |                  |          |         |          |        |          | Er           | nail IC              |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       | M     | lobil | le   |      |               |        |            |          |                |               |                  |      |                 |
| Email ID & Mobile No. are ess   |        |         | ole us to        | o com    | munica  |          |        |          |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                | ٦.    |       |       | –  |      |               | _      |            |          |                |               |                  |      |                 |
| Date of Birth   | Μ      | M       | YY               | Y        | Y       | Place    | e of B | Birth    |              |                      |         |         |        |         | Count    | ry of  | Birth                      | h        |                               |        |         |         |              |                                |       | Vatio | onali | ity _  | _In  | dian          |        | US         | 0        | hers           | ( <u>PI e</u> | e a s e          | Sp   | ecif            |
| Occupation Dvt. Sec   | tor S  | ervice  | PI               | ublic    | Secto   | r        | Gov.   | Servi    | ce           | Hou                  | sewi    | fe      | Defe   | ence    | P        | rofes  | ssio                       | nal      | Reti                          | ired   |         | Busi    | ines         | S                              | Ag    | ricul | lture | e 🗌 :  | Stuc | dent          | F      | orex       | Deal     | er             | ] Oth         | iers _           | Spec | ify             |
| Gross Annual<br>Income OR Net-<br>worth* in ₹<br>Not older than<br>one year | <1L    |         | -5L<br>netwoi    |          | -10L    | 1(       |        | as       | 25L-<br>on [ | 1CR<br>D D           | M       |         | Y      | Y       |          |        |                            | _        | <b>itical</b><br>I am P       |        | xpo     |         |              | r <b>son</b><br>n Rel          |       | ,     |       | us   |      | Not           | Appli  | icable     | !        |                |               |                  |      |                 |
|   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
| THIRD APPLIC  | ANT    | 'S DE   | TAIL             | S        |         |          |        |          |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                | ] Mr          | : 🗆 I            | Ns.  | M/s             |
| Name F I  | R      | S       | Т                |          |         |          |        |          |              |                      |         |         | M      |         | D        | D      | )                          | L        | E                             |        |         |         |              |                                |       |       |       |  |      |               |        |            |          | L              | ļ             | A S              | Т    |                 |
| Father's Name F   | R      | S       | Т                |          |         |          |        |          |              |                      |         |         | M      |         | D        | D      | )                          | L        | E                             |        |         |         |              |                                |       |       |       |  |      |               |        |            |          | L              | ļ             | A S              | Т    |                 |
| PAN /PEKRN**  |        |         |                  |          |         |          |        |          | Er           | nail IE              | о Г     |         | -<br>  | -       |          |        |                            |          |                               |        |         |         |              |                                |       | M     | lobil | le   |      |               |        |            |          |                | T             |                  |      |                 |
| Email ID & Mobile No. are ess   | ential | to enal | ole us to        | o com    | munica  | te bett  | er wit | h you    |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                | _             |                  |      | _               |
| Date of Birth D D   | M      | M       | Y Y              | Y        | Y       | Place    | e of B | Birth    |              |                      |         |         |        |         | Count    | ry of  | Birth                      | h        |                               |        |         |         |              |                                | ſ     | Vatio | onali | ity 🗌  | In   | dian          |        | US         | 0        | hers           |               |                  | Sp   | ecif            |
| Occupation  Pvt. Sec  | tor S  | ervice  |                  | ublic    | Sect    | or       | Gov.   | Servi    | се           | Нои                  | isewi   | fe      | Def    |         |          |        |                            |          | Ret                           | ired   | 1       | Bus     | ine          | ss                             | Aq    | ricu  | lture | e  | Stud | dent          | Ē      | orex       | Deal     | er             | Oth           | iers             |      | tifv            |
|   | <1L    |         | -5L              |          |         | 10       |        |          | 25L-         |                      | >       |         |        |         |          |        |                            |          | tically                       |        | _       |         |              | _                              |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
| Income OR Net-<br>worth* in ₹   |        |         | netwoi           |          |         |          |        | as       | Г            | DD                   |         | M       | Y      | Y       |          |        |                            |          | am PE                         | •      | JUSE    | _       |              | 1 (Fi<br>1 Rela                | '     |       |       |  |      | Not           | Applic | cable      |          |                |               |                  |      |                 |
| Not older than one year   |        |         |                  | A        | ny oth  | er info  | rmatio | on       |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
| **Please mention PAN/PE   | KRN    | (PAN E  | xempt            | ted K    | YC Re   | erenc    | e Nu   | mber)    | as it        | is ma                | ndato   | ory     |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
| 5 DEMAT ACCOU   |        | DFTA    | II S             | (M       | andato  | ry, only | if you | ı requir | re unit      | ts in the<br>ount sh | e dem   | at forr | n. Ple | ase fil | l in all | detai  | ils, el:                   | se the   | applic                        | ation  | n is li | iable 1 | to be        | e rejec                        | ted)  |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
|   |        |         | ory Pa           |          |         |          |        | n dema   | at acc       | ount sn              | iali be | CONSI   | aerea  | •       |          |        |                            |          |                               |        |         |         | _            |                                | _     |       | _     |  | _    |               |        |            |          |                | _             |                  |      | _               |
| DP ID   |        |         |                  |          |         |          |        |          |              |                      | 7       |         |        |         |          | Ren    | nefic                      | iarv     | ∿c No                         | 0      |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
|   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          | 2011   |                            | i ci j i |                               | 0.     |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
| 6 EMAIL COMMU   | JNIC   | ATIO    | N                |          |         |          |        |          |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
| All communications wil  | l be : | sent b  | y defa           | ault to  | o the I | egist    | ered   | E-ma     | il id ,      | / Mob                | ile N   | o. In   | case   | you     | wish     | to r   | ecei                       | ve pl    | nysica                        | al co  | omn     | nuni    | cati         | on p                           | leas  | se ,  | /[    |  |      |               |        |            |          |                |               |                  |      |                 |
| 7 INVESTMENT 8  | A PA   | YME     | NT DE            | TAI      | LS      |          |        |          |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      |                 |
| Payment Type (Please )  |        | Noi     | n - Thi          | ird pa   | arty pa | aymer    | nt     |          |              |                      |         | ] Th    | ird p  | arty    | payn     | nent   | (Plea                      | ase fill | the Thi                       | ird Pa | Party I | Paym    | ent          | Decla                          | ratio | n For | m)    |  |      |               |        |            |          |                |               |                  |      |                 |
| Scheme  |        |         | tilal O          |          |         |          |        | -        |              |                      |         | ł       |        |         |          |        |                            |          | ocuse                         |        |         |         |              |                                | ł     |       |       |  |      |               |        |            |          | 25 F           |               |                  |      |                 |
|   |        | Mo      | tilal O          | swal     | MOS     | Focu     | sed    | Long     | Term         | i Fund               | ł       |         |        | Mot     | ilal C   | swa    | I MC                       | DSt F    | ocuse                         | ed IV  | /lidc   | 1       | -            |                                | ,     |       |       |  |      |               |        |            |          |                |               | Bond             |      |                 |
| Plan and Option   |        |         | gular<br>ect (De | efault I | Plan)   |          | Opti   | on       | 6            | Growth               | 1 (Def  | ault O  | ption) |         | _        | v - P  | -                          |          | Default                       | t Onti | tion)   |         | • •          |                                |       |       |       |  |      | efault        |        |            | Dyn      | amic           | Equ           | iity Fu          | na   |                 |
|   |        |         | ( -              |          |         |          |        |          |              |                      |         |         |        | (N      |          |        |                            |          | ong Te                        |        | 1011)   |         |              |                                |       |       |       |  |      |               |        |            |          |                |               | I Fund           |      |                 |
|   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              | Daily<br>Appli                 |       |       |       |  |      | _ Fo<br>Payou |        |            |          | Mo             | JIILIII       | ly _             | QL   | uartely         |
| LUMPSUM INVE  | STN    | IENT    |                  | 0        | R       |          | ZE     | RO B     | ALAI         | NCE                  |         |         | 0      | R       |          |        |                            |          | STEM                          |        |         |         | STI          | VIEN                           | ΤP    | LAN   | I / N | /ICR   | 0 S  | SIP-E         | CS (   | please     | e fill E | CS De          | bit Fc        | orm-2)           |      |                 |
| Payment Mode:   |        | Cheq    | ue               |          | DD      |          | RTG    | S        |              | ] NEF                | Т       |         | Fund   | ls Tra  | ansfe    | r      |                            |          | i <mark>IP Ins</mark><br>ount |        | nent    | t       |              |                                |       |       |       |  |      |               |        |            |          |                | $\top$        |                  |      |                 |
| Amount (₹) (i)  |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        |                            |          | eque /                        | . ,    | No.     |         |              |                                |       |       | +     |  |      |               |        | Date       | D        | D              | IV            | 1 M              | Y    | Y               |
| DD charges (₹) (ii)   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        | PLAN                       |          | wn or                         |        |         |         |              |                                |       | 1     |       |  |      | Bank          |        |            |          | 1              |               |                  |      | <u> </u>        |
| Total Amt. (₹) (i)+(ii)   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        | MENT I                     | Sub      | sequ                          | ent    | SIP     | Inst    | alm          | ent /                          | Amc   | ount  | (₹)   |  |      |               |        |            |          |                | T             |                  |      | T               |
| Instrument No.  |        |         |                  |          |         |          |        | Da       | te           | D                    | D       | Μ       | M      | Y       | Y        |        | IVEST                      |          |                               |        |         |         |              |                                |       |       |       | In   | wor  | ds            |        |            |          |                |               |                  |      |                 |
| Bank Name   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        | ATIC IN                    | Wee      | -                             |        |         |         |              | <sup>st</sup> , 7 <sup>t</sup> |       |       |       |  |      |               |        |            |          | *              |               |                  |      |                 |
| Bank A/c No.  |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        | SYSTEMATIC INVESTMENT PLAN |          | night<br>hthly                | iy     |         | _       | ] 1'<br>] 1' | <sup>st</sup> -14              | 11    | [     |       | 7 <sup>th</sup> -2 <sup>-</sup><br>7 <sup>th</sup> (D€ |      | ılt)          |        | □ 1<br>□ 1 |          | 8 <sup>m</sup> |               | 21 <sup>st</sup> | [    | 28 <sup>t</sup> |
| Branch Name & City  |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        | SY                         |          | rtely                         |        |         |         | 1            |                                |       | [     |       | 7 <sup>th</sup> (De                                    |      | '             |        |            |          |                |               | 21 <sup>st</sup> |      | 28 <sup>t</sup> |
| Account Type:   |        | Curr    | ent              |          | Savii   | igs      | [      | Ν        | IRO          | [                    |         | NRE     |        |         | FCN      | 1      |                            | SIP      | Perio                         | d Fr   | rom     |         | M            | M                              | Y     |       | Y     | To   |      | Per           | petu   | al         |          | other          | N             | M                | Y    | Y               |
| [   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        |                            |          |                               |        |         |         |              |                                |       |       |       |  |      |               |        |            |          |                |               |                  |      | >               |
|   |        |         |                  |          |         |          |        |          |              |                      |         |         |        |         |          |        |                            |          | t Man<br>Oswal                |        |         |         |              |                                |       |       |       | ad   |      |               |        |            |          |                |               |                  |      |                 |
|   |        |         |                  |          |         | N        |        | TIL      | .AI          | . 0                  | SW      | AL      |        | 0       | )ppos    | site P | Parel                      | I ST [   | Depot<br>motila               | t, Pra | abh     | adev    | /i, N        | luml                           | oai · | - 40  | 002   | 5  | 10-6 | 202           |        |            |          |                |               |                  |      |                 |
|   |        |         |                  |          |         | IVI      | atual  | and      |              |                      |         |         |        |         |          |        |                            |          | ilalos                        |        |         |         | 1. 10        | лı fî                          | υσΙ   | ۹U.,  | 100   | 0-20   | 0-0  | ,UZU          |        |            |          |                |               |                  |      |                 |

| 8 BANK DETAILS (M   | /landatory  | ) Redem  | ption / Di   | vidend /Re   | fund p  | payouts   | s will be   | e credited  | into t  | his bar   | nk acco  | unt in c  | ase it i  | s in the   | curre   | ent list   | of bar                               | ıks v            | /ith w   | hom N   | Notilal             | Oswa     | l Mut                         | tual Fu             | nd h   | nas Dire                      | ect Cr   | edit faci                             | lity.            |       |
|---|---|--|--|--|---|---|---|---|---|---|--|---|---|--|---|--|--------------------------------------|------------------|--|---|---------------------|----------|-------------------------------|---------------------|--------|-------------------------------|----------|---------------------------------------|------------------|-------|
| Bank Name   |   |  |  |  |   |   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  |   |                     |          |                               |                     |        |                               |          |                                       |                  | ٦     |
| Bank A/c No.  |   |  |  |  |   |   |   |   | 1   | 1   |  |   | Туре  |  | Curre   | ent 🗌  | Sav                                  | ings             | 3  | NRO   |                     | IRE [    | F                             | CNR                 |        | Other                         | s        | Sp                                    | ecify            | ٦     |
| Branch Name   |   |  |  |  |   |   |   |   | +   |   | City   |   |   |  |   |  |                                      |                  |  |   |                     |          |                               | Pi                  |        |                               |          |                                       |                  | ٦     |
| IFSC Code (11 digit)*   |   |  |  |  |   | +   |   |   | MICR  | Code  | e (9 di  | nit)*   | +   |  | +   | +  | <u> </u>                             |                  |  | +   | *                   | lentio   | ned                           |                     |        | heque                         | leaf     |                                       |                  |       |
| I / We understand that the instruction<br>account with / without assigning any<br>reserves the right to issue a demand<br>If however the unit holders wish to ree   | / reason the<br>draft / payal<br>ceive a chec   | ereof, or if<br>ole at par c<br>jue (instea  | the transac<br>cheque in ca<br>id of a direc   | tion is delay<br>ise it is not po<br>t credit into tl  | ed or no<br>ossible t   | ot effect<br>to make  | ed at all<br>payment  | I Fund, and<br>or credited<br>by Direct C                     | such ir<br>into the<br>ash/NE   | structio<br>wrong<br>FT/ECS.  | ns will b<br>account   | adequa  |   |  |   |  |                                      |                  |  |   | <br>idend /         | refund   | proce                         | eds. In c           | case   | the bank                      | ( does i |                                       |                  |       |
| 9 NOMINATION DE   | TAILS (   | Refer Ins  | struction 9  | 9)   |   |   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  |   |                     |          |                               | -                   |        |                               |          |                                       | 1                |       |
| Name<br>(Date of Birth if nomir   | iee is mi   | nor)   |  |  |   |   |   |   | Ad  | dress   |  |   |   |  |   |  |                                      |                  |  |   | dian N<br>minee     |          |                               | ) (                 | (Gi    | Signat<br>lardiar<br>linee is | n in ca  |                                       | Allocati<br>%    | on    |
|   |   |  |  |  |   |   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  |   |                     |          |                               |                     |        |                               |          |                                       |                  | _     |
| Unit Holder's Signature<br>If you do not wish to nominate sign he   | ere.  | Firs   | st / Sole<br>Guar  | Applicant<br>dian  | /   |   |   | S   | econd   | Appli   | cant   |   |   |  | TI  | hird A   | pplica                               | ant              |  |   |                     | Р        | owe                           | r of At             | ttor   | ney Ho                        | older    |                                       | 100%             |       |
| 10 FATCA- CRS Decla<br>10A Declaration for Ind  |   | and Suj  | ppleme   | ntary Inf  | orma  | ation   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  |   |                     |          |                               |                     |        |                               |          |                                       |                  |       |
| Are you a tax resident (i.e.,<br>If 'No' please proceed for the<br>If'YES', please fill for ALL c   | he signa  | ture of  | declarat   | ion  |   |   | -   |   |   |   | Yes  | No  |   | a Citiz  | zen / I   | Resid  | ent / (                              | Gree             | n Car  | d Hol   | lder / <sup>·</sup> | Tax R    | esid                          | ent in              | the    | respe                         | ective   | countr                                | ies <sup>#</sup> |       |
|   | Co  | untry o  | f Tax R  | esidency   | 1   | -   |   | entifica<br>nctiona   |   |   |  |   |   | l <b>dentii</b><br>N or ot   |   |  |                                      | cify)            |  |   |                     |          |                               |                     |        |                               |          | ck (√)<br>below                       | )                |       |
| First Applicant   |   |  |  |  |   |   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  | Re  | ason                |          | A                             |                     |        | В                             |          | C                                     |                  | _     |
| Second Applicant  |   |  |  |  |   |   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  | Re  | ason                |          | A                             |                     |        | B                             |          | C                                     |                  |       |
| Third Applicant<br>Reason A: The country wh<br>the respective country of ta   |   |  |  |  |   |   |   |   |   |   |  |   |   |  |   |  | asor                                 | 1 B:             | No T   |   | ason<br>quirec      | . (Se    | lect                          |                     | eas    | B<br>Dn Onl                   | y if ti  | _ <b>C</b><br>he auth                 | orities of       | <br>f |
| <sup>#</sup> Please attach additional sl  |   |  |  |  |   |   |   | ,   |   |   | <i>.</i> .   |   |   |  |   |  |                                      |                  |  |   |                     |          |                               |                     |        |                               |          |                                       |                  |       |
|   |   |  |  |  |   |   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  |   |                     |          |                               |                     |        |                               |          |                                       |                  |       |
| <b>10B Declaration for Non</b>  | -Individ  | lual / L   | .egal Er   |  |   |   |   |   |   |   |  |   |   |  |   |  |                                      |                  |  |   |                     |          |                               |                     |        |                               |          |                                       |                  |       |
| 1. Is "Entity" a tax resident of any  | country ot  |  |  |  | ) (If yes   | , please  |   | ountry/ies  |   |   | ·  |   | tax purp  | poses an   | d the as  | ssociat  | d Tax IE                             |                  |  |   | otion               | Tur      | <b>o</b> /T                   |                     | 0      |                               |          | if.                                   |                  |       |
| 1. Is "Entity" a tax resident of any  |   |  |  |  | ) (If yes   | , please  |   | country/ies<br><b>Tax Ide</b>                                 |   |   | ·  |   | tax purp  | poses an   | d the as  | ssociat  | d Tax IE                             |                  |  |   | atior               | тур      | <b>e</b> (T                   | TN or               | Oth    | ner, ple                      | ease     | specify                               | )                |       |
| 1. Is "Entity" a tax resident of any  | country ot  |  |  |  | ) (If yes   | , please  |   | ,   |   |   | ·  |   | tax purp  | poses an   | d the as  | ssociat  | d Tax IC                             |                  |  |   | atior               | Тур      | <b>e</b> (T                   | 'IN or              | Oth    | ner, pla                      | ease     | specify                               | )                |       |
| 1. Is "Entity" a tax resident of any  | country ot  |  |  |  | ) (If yes,  | , please  |   | ,   |   |   | ·  |   | tax purp  | poses an   | d the as  | ssociat  | d Tax IE                             |                  |  |   | atior               | тур      | e (T                          | ÎN or               | Oth    | ner, pla                      | ease     | specify                               | )                |       |
| 1. Is "Entity" a tax resident of any  | country of<br>Intry<br>is not avai<br>ent is not av<br>rporation /  | her than li<br>lable, kind<br>railable, pl<br>Tax resid  | ndia '<br>Ily provide<br>lease provi   | Yes No   | al equiv<br>y Identi  | valent .<br>ification<br><b>a Speci</b>   | numbe<br>fied U.S   | Tax Ide   | entifi<br>Entity I  | <b>catio</b>  | n Nun  | nber <sup>%</sup>   | GIIN, et  | с.   | d the as  | ssociat  | d Tax II                             |                  |  |   | atior               | Тур      | e (1                          | IN or               | Oth    | ner, pla                      | ease     | specify                               | )                |       |
| I. Is "Entity" a tax resident of any     Cou     "     In case Tax Identification Number In case TIN or its functional equivale In case the Entity's Country of Inco  | country ot<br>intry<br>is not avail<br>ent is not avai<br>rporation /<br>n code for L   | her than li<br>lable, kind<br>railable, pi<br>Tax resid<br>J.S. perso  | ndia '<br>Ily provide<br>lease provi<br><b>lence is U.</b><br>nns of FATC  | Yes No   | al equiv<br>y Identi<br><b>r is not</b><br>ns & De  | valent .<br>ification<br><b>a Speci</b><br>efinition  | numbe<br>fied U.S   | Tax Ide   | entifi<br>Entity I  | <b>catio</b>  | n Nun  | nber <sup>%</sup>   | GIIN, et  | с.   | d the as  | ssociat  | d Tax IC                             |                  |  |   | atior               | і Тур    | e (1                          | IN or               | Oth    | ner, pla                      | 2856     | specify                               | )                |       |
| I. Is "Entity" a tax resident of any     Col     "     "     In case Tax Identification Number In case TIN or its functional equival In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  | country ot<br>intry<br>is not avail<br>ent is not avai<br>rporation /<br>n code for L   | her than li<br>lable, kind<br>railable, pi<br>Tax resid<br>J.S. perso  | Ily provide<br>lease provide<br>lease provide<br>leace is U.<br>bons of FATC<br>iONS OR  | Yes No   | al equiv<br>y Identi<br>r is not<br>ns & Do<br>eport  | valent.<br>ification<br><b>a Speci</b><br>efinition<br>:ing N                                       | numbe<br>fied U.S<br>is Non-I<br>FES)   | Tax Ide   | Entity I<br>mentio  | dentific<br>n Entity  | n Nun  | nber <sup>%</sup>   | GIIN, et  | с.   | d the as  |  | d Tax II                             |                  |  |   | ation               | ı Typ    | e (1                          | TIN or              | Oth    | ner, ple                      |          | specify                               | )                |       |
| I. Is "Entity" a tax resident of any COL     S     In case Tax Identification Number In case TIN or its functional equivale In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio Part A (to be filled by Fil   | country ot<br>intry<br>is not avail<br>ent is not avai<br>rporation /<br>n code for L   | her than li<br>lable, kind<br>railable, pi<br>Tax resid<br>J.S. perso  | ndia<br>Ily provide<br>lease provi<br>lence is U.<br>ons of FATC<br>iONS OF<br>G   | Yes Nu<br>Yes Nu<br>its functiona<br>de Compan<br>S. but Entity<br>A instructio<br>Direct R  | al equiv<br>y Identi<br>y is not<br>ns & Do<br>eport<br>rmed  | valent.<br>ification<br>a Speci<br>efinition<br>ting N<br>iary Id                                   | numbe<br>fied U.S<br>Is Non-I<br>FES)   | Tax Ide   | Entity I<br>mentio  | dentific<br>n Entity  | n Nun<br>ation Nu<br>'s exem   | nber <sup>%</sup>   | GIIN, et  | C.   |   |  |                                      |                  | Ider   |   |                     |          |                               | TIN or              | Oth    | ner, pl4                      |          | specify                               | )                |       |
| 1. Is "Entity" a tax resident of any     Cou     "     In case Tax Identification Number In case TIX or its functional equival In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  Part A (to be filled by Fil  1. We are a,   | country ot<br>intry<br>is not avail<br>ent is not avai<br>rporation /<br>n code for L   | her than li<br>lable, kind<br>railable, pi<br>Tax resid<br>J.S. perso  | ndia'<br>Illy provide<br>lease | Yes Nu<br>its function<br>de Compan<br>S. but Entity<br>A instructio<br>Direct R   | al equiv<br>y Identi<br>ns & Do<br>eport<br>rmed  | valent .<br>ification<br><b>a Speci</b><br>efinition<br>ting N<br>iary Id<br>ve a GIIN              | numbe<br>fied U.S<br>Is Non-I<br>FES)<br>lentific   | Tax Ide   | Entity I<br>mentio  | dentific<br>n Entity  | n Nun<br>ation Nu<br>'s exem   | nber <sup>%</sup>   | GIIN, et  | C.   |   |  |                                      |                  | Ider   |   |                     |          |                               | IN or               | Oth    |                               |          | specify                               | )                |       |
| 1. Is "Entity" a tax resident of any     Cou     "     "     In case Tax Identification Number In case TIN or its functional equivale In case TIN or its functional equivale In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  Part A (to be filled by Fi      1. We are a,     Financial institution  | r is not avail<br>ent is not avail<br>ent is not avail<br>rporation /<br>n code for U<br>nancial  | her than li<br>lable, kind<br>railable, pi<br>Tax resid<br>J.S. perso<br>Institut  | ndia'<br>Illy provide<br>lease | Yes Nu<br>its function<br>de Compan<br>S. but Entity<br>A instructio<br>Direct R<br>lobal Inte<br>te: If you do<br>ame of sp   | al equiv<br>y Identi<br>ns & Do<br>eport<br>rmed<br>not hav   | valent .<br>ification<br>a <b>Speci</b><br>efinition<br>ting N<br>iary Id<br>iary Id<br>oring e     | numbe<br>fied U.S.<br>is Non-I<br>FES)<br>lentific<br>I but you<br>ntity                                | Tax Ide   | Entity I<br>mentio  | dentific<br>n Entity<br>r (GIIN<br>anothe   | ation Nur<br>ation Nu<br>''s exem  | mber or<br>ption co   | GIIN, et<br>de here   | .c.  | sor's G   |  | e and i                              |                  | te your  | spons   | or's nar            | ne belo  |                               |                     |        |                               |          | specify                               | )                |       |
| 1. Is "Entity" a tax resident of any     Cou     "     "     In case Tax Identification Number In case TiN or its functional equival In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  Part A (to be filled by Fi      1. We are a,     Financial institution         or         Direct reporting NFE  | is not avail<br>intry<br>is not avail<br>int is n | her than li<br>lable, kind<br>railable, pi<br>Tax resid<br>J.S. perso<br>Institut  | ndia'<br>Illy provide<br>lease | Yes Not<br>its function:<br>de Compan<br>S. but Entity<br>A instructio<br>Direct R<br>lobal Inte<br>te: If you do  | al equiv<br>y Identi<br>ns & Do<br>eport<br>rmed<br>not hav   | valent .<br>ification<br>a <b>Speci</b><br>efinition<br>ting N<br>iary Id<br>iary Id<br>oring e     | numbe<br>fied U.S.<br>is Non-I<br>FES)<br>lentific<br>I but you<br>ntity                                | Tax Ide   | Entity I<br>mentio  | dentific<br>n Entity<br>r (GIIN<br>anothe   | ation Nur<br>ation Nu<br>''s exem  | mber or<br>ption co   | GIIN, et<br>de here   | .c.  | sor's G   |  | e and i                              |                  | te your  | spons   |                     | ne belo  |                               |                     |        |                               |          | specify                               |                  |       |
| I. Is "Entity" a tax resident of any     Cou     "     In case Tax Identification Number In case TIN or its functional equivale In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  Part A (to be filled by Fi      I. We are a,     Financial institution         or         Direct reporting NFE         (please tick as appropriate)         GIIN not available (please         If the entity is a financial institut   | in try<br>in try<br>is not avail<br>ent is not avail<br>ent is not avail<br>rporation /<br>n code for L<br>nancial  | her than I<br>able, kind<br>railable, p<br>Tax resid<br>J.S. perso<br>Institut   | ndia '<br>Ily provide<br>lease provi<br>lence is U.<br>ions of FATC<br>ions or<br>G<br>Nc<br>N   | Yes Nutritional Network Networ | al equiv<br>y Identi<br>ri s not<br>eport<br>rmed<br>not hav<br>consc   | valent.<br>ification<br>a speci<br>finition<br>ting N<br>iary Id<br>iary Id<br>iary Id<br>iary Id   | numbe<br>fied U.S<br>s Non-I<br>FES)<br>Hentific<br>I but you<br>intity                                 | r or Global<br>Person,<br>ndividual.<br>are spons<br>required | Entity I<br>mentio  | dentific<br>n Entity<br>r (GIIN<br>anothe   | ation Nun ation Nu at | mber or<br>ption co   | GIIN, et<br>de here   | .c.  | sor's G   |  | e and i                              |                  | te your  | spons   | or's nar            | ne belo  |                               |                     |        |                               |          | specify                               |                  |       |
| 1. Is "Entity" a tax resident of any     Cou     Cou     "     In case Tax Identification Number In case TiN or its functional equival In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  Part A (to be filled by Fi  1. We are a, Financial institution     or Direct reporting NFE     (please tick as appropriate)     GIIN not available (please     If the entity is a financial institut Part B (please fill any or   | ris not avail<br>ent is no    | her than I<br>able, kind<br>vailable, pi<br>Tax resid<br>J.S. perso<br>Institut<br>plicable)<br>propria  | ndia<br>ily provide<br>lease provi<br>lence is U.<br>ions of FATC<br>ions or<br>G<br>No<br>N   | Yes Nuterion Nuterior Nuterion Nuteriore  | al equiv<br>y Identi<br>i is not<br>eport<br>rmed<br>not have   | ralent.<br>ification<br>a <b>speci</b><br>efinition<br>iary Id<br>ve a GIIN<br>pring e<br>Es oth    | numbe<br>fied U.S.<br>fis Non-I<br>FES)<br>lentific<br>l but you<br>ntity<br>] Not                      | Tax Ide   | Imbe<br>Imbe<br>Intity I<br>Imbe<br>Into ap   | catio<br>dentific<br>n Entity<br>r (GIIN<br>a anothe<br>poply fo  | ation Nun ation Nu at | mber or<br>ption co<br>lease pr   | GIIN, et<br>de here   | c.<br>   | sor's Gl  | IIN abov   | e and i                              | ndica            | te your  | spons   | or's nar            |          | ow                            |                     | Dati   | ng Fl                         |          | specify                               |                  |       |
| I. Is "Entity" a tax resident of any     Cou     "     In case Tax Identification Number In case TIN or its functional equivale In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  Part A (to be filled by Fi      I. We are a,     Financial institution         or         Direct reporting NFE         (please tick as appropriate)         GIIN not available (please         If the entity is a financial institut   | r is not avail<br>ent is not avail<br>rporation /<br>n code for U<br>nancial<br>entick as ap<br>rtion,<br>ne as ap<br>cly trade   | her than I<br>lable, kind<br>railable, pi<br>Tax resid<br>I.S. perso<br>Institut<br>plicable)<br>propria   | ndia<br>ily provide<br>lease provi<br>lence is U.<br>ions of FATC<br>ions or<br>G<br>No<br>No<br>No<br>Ate "to b<br>wany (tha  | Yes Nuter its function de Compan<br>S. but Entity<br>A instruction<br>Direct R<br>lobal Inte<br>the: If you do<br>ame of sp<br>Applie<br>e filled b<br>t is, a cou   | al equiv<br>y Identi<br>i is not<br>eport<br>rmed<br>not have   | ralent.<br>ification<br>a <b>speci</b><br>efinition<br>iary Id<br>ve a GIIN<br>pring e              | numbe<br>fied U.S.<br>fis Non-I<br>FES)<br>lentific<br>l but you<br>ntity<br>] Not                      | Tax Ide   | Imbe<br>Imbe<br>Intity I<br>Imbe<br>Into ap   | catio<br>dentific<br>n Entity<br>r (GIIN<br>anothe<br>pply fo<br>poortin<br>arly                          | ation Nun ation Nu at | nber <sup>%</sup><br>mber or<br>ption co<br>lease pr<br>lease sp<br>s")<br>Yes  | GIIN, et<br>de here<br>vide yo<br>ecify 2   | .c.  | s sub   | IIN abov   | e and i                              | ndica            | te your  | spons   | or's nar            |          | ow                            |                     | Dati   | ng Fl                         |          | specify                               |                  |       |
| 1. Is "Entity" a tax resident of any     Cou     Cou     "     In case Tax Identification Number In case TIN or its functional equivale In case TIN or its functional equivale In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio Part A (to be filled by Fi 1. We are a,     Financial institution         or         Direct reporting NFE         (please tick as appropriate)     GIIN not available (please         If the entity is a financial institut Part B (please fill any or     1. 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Is the Entity a related   | is not avail<br>ent is not avail<br>ent is not avail<br>rporation /<br>n code for L<br>nancial<br>e tick as ap<br>rtion,<br>ne as ap<br>cly trade<br>ished se<br>d entity of  | her than I<br>able, kind<br>railable, pi<br>Tax resid<br>J.S. perso<br>Institut<br>plicable)<br>propria<br>d comp<br>curities                                      | ndia<br>Ily provide<br>lease provi<br>lence is U.<br>ions of FATC<br>ions or<br>G<br>No<br>N<br>ate "to b<br>pany (that<br>market;<br>plicly trace   | Yes Nutritional Nutrition Nutrito Nutrition Nutrition Nutrition Nutrition Nutrition Nutrition Nu | al equiv<br>y Identit<br>r is not<br>eport<br>rmed<br>not hav<br>ponsc<br>ed for<br>y NF<br>mpan                  | ralent .<br>ification<br>a Speci<br>efinition<br>iary Id<br>ve a GIIN<br>bring e<br>Es ott<br>y who | numbe<br>fied U.S<br>Is Non-I<br>FES)<br>lentific<br>I but you<br>ntity<br>] Not<br>ner that<br>use sha | Tax Ide   | Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber | catio<br>dentific<br>n Entity<br>r (GIIN<br>r anothe<br>pply fo<br>portin<br>arly<br>N                    | ation Nun ation Nu at | nber <sup>%</sup><br>mber or<br>ption co<br>lease pr<br>lease sp<br>s")<br>Yes<br>Nar<br>Yes<br>Nar<br>Yes                                  | GIIN, et de here  | c.<br>2 digit:<br>((f yes, p)<br>((f yes, p))<br>((f yes, p)<br>((f yes, p))<br>((f yes))<br>((f yes, p))<br>((   | sor's Gi<br>s s sub<br>lease s<br>excha<br>lease s<br>comp                        | IIN abor   | e and i<br>gory                      | ndica<br>e stock | te your  | spons   | or's nar<br>tained  | ne bela  | ow                            | regulard            | Don wi | ded)                          | stock i  |                                       | y traded)        |       |
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Is the Entity a related   | r is not avail<br>ent is not avail<br>rporation /<br>n code for L<br>nancial<br>entick as ap<br>rtion,<br>ne as ap<br>cly trade<br>ished se<br>d entity c<br>on an es   | her than I<br>lable, kind<br>railable, pi<br>Tax resid<br>Institut<br>plicable)<br>propria<br>d comp<br>curities   | ndia<br>ily provide<br>lease provi<br>lence is U.<br>ons of FATC<br>ions or<br>G<br>No<br>Ate "to b<br>pany (that<br>market)<br>plicly trace   | Yes Nuterion Nuterior Nuterion Nuterior Nuteriore Nuterior Nuterior Nuterior Nuterior Nuterior Nuterior Nuterio | al equiv<br>y Identit<br>r is not<br>eport<br>rmed<br>not hav<br>ponsc<br>ed for<br>y NF<br>mpan                  | ralent .<br>ification<br>a Speci<br>efinition<br>iary Id<br>ve a GIIN<br>bring e<br>Es ott<br>y who | numbe<br>fied U.S<br>Is Non-I<br>FES)<br>lentific<br>I but you<br>ntity<br>] Not<br>ner that<br>use sha | Tax Ide   | Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber | catio<br>dentific<br>n Entity<br>r (GIIN<br>anothe<br>pply fo<br>poply fo<br>poply fo<br>N<br>s N         | ation Nun ation Nu at | nber <sup>%</sup><br>mber or<br>ption co<br>lease pr<br>lease sp<br>s")<br>Yes<br>Nar<br>Yes<br>Nar<br>Yes                                  | GIIN, et al a construction of states  | c.<br>c.<br>c.<br>c.<br>c.<br>c.<br>c.<br>c.<br>c.<br>c.   | sor's Gl<br>sor's Gl<br>s s sub<br>lease s<br>comp<br>Dn                          | IIN abor   | e and i<br>gory                      | ndica<br>e stock | te your  | spons   | or's nar<br>tained  | ne bela  | ow                            | regulard            | Don wi | ded)                          | stock i  |                                       | y traded)        |       |
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| 1. Is "Entity" a tax resident of any Cou  | ris not avai<br>ent is not avai<br>ent is not avai<br>ent is not avai<br>rporation /<br>n code for U<br>nancial<br>e tick as ap<br>rtion,<br>ne as ap<br>cly trade<br>ished se<br>d entity c<br>on an es  | her than I<br>lable, kind<br>railable, pi<br>Tax resid<br>Institut<br>plicable)<br>propria<br>d comp<br>curities   | ndia<br>ily provide<br>lease provi<br>lence is U.<br>ons of FATC<br>ions or<br>G<br>No<br>Ate "to b<br>pany (that<br>market)<br>plicly trace   | Yes Nuterion Nuterior Nuterion Nuterior Nuteriore Nuterior Nuterior Nuterior Nuterior Nuterior Nuterior Nuterio | al equiv<br>y Identi<br>r is not<br>eport<br>rmed<br>not hav<br>ponsc<br>ed for<br>y NF<br>mpan                   | ralent .<br>ification<br>a Speci<br>efinition<br>iary Id<br>ve a GIIN<br>bring e<br>Es ott<br>y who | numbe<br>fied U.S<br>Is Non-I<br>FES)<br>lentific<br>I but you<br>ntity<br>] Not<br>ner that<br>use sha | Tax Ide   | Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber<br>Imber | catio<br>dentific<br>n Entity<br>r (GIIN<br>anothe<br>poply fo<br>poply fo<br>poortin<br>arly<br>N<br>s N | ation Nun<br>ation Nun<br>s's exem<br>)<br>r entity, p<br>g NFE<br>o<br>0  | nber <sup>%</sup><br>mber or<br>ption co<br>lease pr<br>lease sp<br>lease sp<br>s")<br>Yes<br>Nar<br>Yes<br>Nar<br>Nat<br>Nat<br>Nar<br>Yes | GIIN, et<br>de here<br>cify :<br>cecify   | c.<br>uur spons<br>2 digit<br>((f yes, p)<br>((f yes, p)<br>((f yes, p)<br>((f yes, p)<br>((f yes, p)<br>(f yes)<br>(f yes) | lease s<br>excha<br>lease s<br>comp<br>on<br>excha<br>rre of<br>he su             | IIIN abover a specify ange a specify ange a specify ange a sp | e and i<br>gory<br>name o<br>bsidia  | e stock          | te your  | spons | n which             | the stor | ock is<br>brok is<br>brok exc | regulari<br>hange c | Dati   | ded)                          | stock i  | · · · · · · · · · · · · · · · · · · · | y traded)        |       |
| 1. Is "Entity" a tax resident of any     Cou     Cou     "     In case Tax Identification Number In case TIN or its functional equivale In case the Entity's Country of Inco Please refer to para 3(vii) Exemptio  Part A (to be filled by Fi      1. We are a,     Financial institution         or     Direct reporting NFE     (please tick as appropriate)     GIIN not available (please     If the entity is a financial institut Part B (please fill any or     1. Is the Entity a public     traded on an establ     2. Is the Entity a related         are regularly traded     3. 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| # If passive NFE, please provide below additional details for each contro   | lling person. (Please attach additional sheets if necessary.)  |   |
|---|--|---|
| Name/ PAN/ Any other Identification Number (PAN, Aadhar, Passport<br>Election ID, Govt. ID, Driving Licence NREGA Job Card, Others)<br>City of Birth - Country of Birth | Occupation Type: Service, Business, Others<br>Nationality:<br>Father's Name: Mandatory if PAN is not available | <b>DOB:</b> Date of Birth<br><b>Gender:</b> Male, Female, Other                               |
| 1.Name:   | Occupation Type:   | Date Of Birth:     D     D     M     Y     Y     Y       Gender     Male     Female     Other |
| 2.Name:   | Occupation Type:<br>Nationality:<br>Father's Name:   | Date Of Birth:     D     M     M     Y     Y     Y       Gender     Male     Female     Other |
| 3.Name:   | Occupation Type:   | Date Of Birth:     D     M     M     Y     Y     Y       Gender     Male     Female     Other |

<sup>#</sup>Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India.

\* To include US, where controlling person is a US citizen or green card holder

 $^{\rm \%}$ In case Tax Identification Number is not available, kindly provide functional equivalent

## DETAILS OF ULTIMATE BENEFICIAL OWNERS / ULTIMATE BENEFICIAL OWNERSHIP [UB0] DECLARATION [Mandatory] 11 (If the given space below is not adequate, please attach multiple declaration forms)

\*This declaration is not needed for Companies that are listed on any recognized stock exchange or is a Subsidiary of such Listed Company or is Controlled by such Listed Company. Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E.

| Name of UBO | Address<br>(Include State, Country,<br>PIN/ZIP Code & Contact Details) | Address Type                                 | PAN/Tax Payer<br>Identification No./<br>Equivalent ID No. <sup>%</sup> | Country of tax<br>Residency* | Controlling<br>Person Type <sup>1</sup><br>(Mandatory) | % of beneficial<br>interest |
|-------------|--|--|--|------------------------------|--|-----------------------------|
|             |  | Residential<br>Business<br>Registered Office | No.:<br>Type:  |                              |  |                             |
|             |  | Residential<br>Business<br>Registered Office | No.:<br>Type:  |                              |  |                             |
|             |  | Residential<br>Business<br>Registered Office | No.:<br>Type:  |                              |  |                             |

Attached documents should be self certified by the UBO and certified by the applicant or Authorised Signatory.

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In the event any of the above information is/are found to be false/incorrect and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Trustee/Mutual Fund shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

## 12 DECLARATION AND SIGNATURE

Having read and understood the contents of the Scheme Information Document of the Scheme(s), I/We hereby apply for the units of the scheme(s) and agree to abide by the terms, conditions, rules and regulation governing the scheme(s). I/We hereby declare that the amount invested in the scheme(s) is through legitimate Sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the income tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/We have understood the details of the scheme (s) & I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme (s), legally belong to me/us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme (s), in Favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Scheme of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs only : I/We confirm that I am/we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External/Non-Resident Ordinary/FCNR Account. I/We confirm that the details provided by me/us are true and correct. I declare that the information is to the best of my Knowledge, belief, accurate and complete. I agree to notify MOMF/AMC immediately in the event of information changes.

# FATCA / CRS Certification:

Declaration for Individual: I hereby confirm that the information provided hereinabove is true, correct, and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required any intermediary or by domestic or overseas regulators/ tax authorities

Declaration for Non-Individual: I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I /We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same.

|       | First / Sole Applicant /<br>Guardian | Second Applicant | Third Applicant | Power of Attorney Holder |
|-------|--------------------------------------|------------------|-----------------|--------------------------|
| Date: | Place:                               |                  |                 |                          |

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# INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM

## 1 General Information а

- Please read the terms of the Key Information Memorandum (KIM) and the Scheme Information Document (SID) and Statement of Additional Information carefully before filling the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment. The Application Form should be completed in ENGLSH and in BLOCK LETTERS only. Please tick in the appropriate box for
- b. The Application of the server applicable. Please on on overwrite Econd Econd and the Econd Econd and appropriate box more relevant options wherever applicable. Please on on overwrite Econd and the server applicable and a server applicable of the server applicable and the server applicable applicable and the server applicable and the server applicable applicable and the server applicable applicable and the server applicable applicab Points of Acceptance

Investors can also subscribe to the Units of all scheme of Motilal Oswal Mutual Fund through the mutual fund trading platforms Investors can also source to the one of an solenie of motian of warmout and the one of the motion of a solenie of a soleni demand draft. Applications incomplete in any respect are liable to be related to the Application of the Applications incomplete in any respect are liable to be related by the APC / Trustee related by the sole and absolute discretion to reject any application. Investments through distributors As per directions of Securities and Exchange Board of India (SEBI), the distributors, agents or any persons employed or any persons employeed or any persons employeed or any persons employeed o

## C.

For per directority or been percentities are backing before of minal occurring the directority and percentition of mutual fund products are required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination. Further, no agents/ distributors are entitled to sell units of mutual fund products are required with Association of Mutual Funds in India (AMFI).

- Mutual Funds in India (AMFI). Applications on behalf of minors should be made by natural guardian (i.e. father or mother) or legal guardian (court appointed) and signed by them. The name of the Guardian should be filled in the relevant space provided in the Application Form. No joint applicant/joint holder is permitted with the minor beneficiary **New cadre distributors**: SEBI has introduced a new cadre of distributors such as postal agents; retired government and semid.
- government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) as may be notified by AMFI/AMC from time to time. Such New Cadre distributor can sell only 'simple and performing' diversified equity schemes, index funds and fixed maturity plans. There is a pre-fix of "SD" before the ARN number of such distributors. They also hold an EUIN which must be

relating pairs. They also had an EON which makes the interference of social distributors. They also had an EON which make e quoted in the application form. Employee Unique Identification Number (EUIN) Further, SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote in the Application Form the EUIN obtained by him/her from AMFI. EUIN would assist in addressing any instance of products to quote in the Application round is Conversion and a specific to the Application Form Application Form and the Application Form. However, if your investments are routed through a distributor please ensure that the EUIN is correctly filled in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form.

### Overseas Distributors g.

Overseas Distributors are exempt from obtaining NISM certification and AMFI registration as per AMFI circular No. CIR/ ARN-4/41/2-13 dated July 13, 2012 and the EUIN requirement as per AMFI Circular No. 135/BP/33/2012-13 dated December 31, 2012. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEBI from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations

Types not make of white and also comply with the havs, notes and regulations of physicilians where they carry out their operations in the capacity of distributors. Direct Investors applying under Direct Plan must mention "Direct" in ARN No. column. In case Distributor code is mentioned in the Application will be processed under Direct Plan. In case of valid application will be processed will be ignored and the sophication will be processed under Direct Plan. In case of valid application will be processed under Direct Plan. In case of valid application will be processed under Direct Plan. In case of valid application will be processed under "Direct Plan" against the Scheme/Series name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plan". Plan.

- All Applicants are subject to detailed scrutiny and verification. Application Form incomplete in any respect or not accompanied by a Cheque /Demand Draft are liable to be rejected either at the collection centre itself or subsequently after detailed verification at the back office of the Registrars. If the Scheme name on the application form and on the payment instrument are different, the application will be processed and
- units will be allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).

### k. E-mail Communication

E-mail Communication If the investor has provided an email address, the same will be registered in our records for communication and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode /email. These documents shall be sent physically in case the Unit holder opts/request for the same. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly intimate the Fund about the same to enable the Fund to make the delivery through alternate means. It is deemed that the Unit holder is aware of all security risks including possible third party interception effect the decuments of the degrament becoming herein up to third party.

the delivery through alternate means. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties. The AMC / Trustee reserve the right to send any communication in physical mode. **Compliance and Prevention of Anti Money Laundering** Your attention is particularly drawn to the section on "Prevention of Money Laundering and Know Your Customer". All the applications with incomplete/incorrect information/not accompanied with the necessary documents, shall be trated as invalid and shall be liable to be rejected. Prevention of Money Laundering Act, the SEBI Circulars on Anti Money Laundering (AML) and the Client Identification implementation procedures prescribed by AMF1 inter alia require the AMC to verify the records of identify and address(es) of investors. It is mandatory for all applicants to submit necessary documents for compliance with Anti Money Laundering (guardian in case of minoy). The AMC reserves the right to region the submit on the submet of appropriate compliance with the AMC to verify the records of identify and address(es) of investors. It is mandatory for all applicants to submit necessary documents for compliance with Anti Money Laundering (puralian in the AMC to verify the records of appropriate compliance with the AMC to verify the records of identify and address(es) of investors. It is mandatory for all applicants to submit necessary documents for compliance with Anti Money Laundering (puralian in the AMC to verify the records of appropriate compliance with the AMC to verify the records of appropriate compliance with the AMC to verify the submit the AMC to verify the records of appropriate compliance with the AMC to verify the submit and the submit the AMC to verify the records of appropriate compliance with the AMC to verify the submit and address of appropriate compliance with the AMC to verify the submit and the submit appropriate compliance with the AM case of minor). The AMC reserves the right to reject subscription requests in the absence of appropriate compliance with the AML

### 3 Applicant's Personal Information

- Please furnish your name and complete postal address with the Pin Code (P.O. Box No. Not enough) and your Contact Nos. This would help us reach you faster.
- This would neip us reachyouraster. Please furnish the name of Contact Person in case of investments by Company/ Body Corporate / Trust / Society / Flls / Association of Person / Body of Individuals. KYC Compliance: KYC is mandatory for all investors (including joint holders, guardians of minors and NRIs) to enclose a copy b.
- C.
- of KYC compliance to the application for investing in mutual fund schemes. If you have not indicated your Tax Status in the Application Form, the same would be assumed to be others.

### 4 Bank Account Details

Bank Account Details An investor at the time of purchase of units must provide the details of his / her pay-out bank account (i.e. account into which redemption/dividend proceeds are to be paid). Bank account details provided in the application will be used for refunding rejected applications where DP ID is not matching. Investors

are requested to provide Bank Account Detail linked with their Demat Account. In case of discrepancies Bank Account Details as per depository records will prevail. Payment Details

## 5

ment Details Cheques should be crossed "A/c Payee only" and drawn in favour of Motilal Oswal MOSt Focused Long Term Fund, Motilal Oswal MOSt Focused Multicap 35 Fund, Motilal Oswal MOSt Focused Mickap 30 Fund, Motilal Oswal MOSt Focused 25 Fund, Motilal Oswal MOSt Focused Dynamic Equity Fund, Motilal Oswal MOSt Ultra Short Term Bond Fund. Application Form along with the Cheque/Demand Draft may be lodged with Official Collection Centres. If you are residing / located in a city / town where we do not have an Official Collection Centre, please draw a demand draft

- payable at your nearest city where we have such office. Payment by Cash, Stock invests, Outstation Cheques and Non-MICR Cheques will not be accepted. Post-dated cheques will not be accepted. d.

## 6 Restriction on acceptance of Third Party Payments for subscription of units

- striction on acceptance of Third Party Payments for subscription of units
  Where payment is made through instruments issued from an account other than that of the beneficiary investor, the same is
  referred to as Third-Party payment. In case of a payment from a piont bank account, the first holder of the mutual fund folio has to
  be one of the joint holders of the bank account from which payment is made. If this criterion is not fulfilled, then this is also
  construed to be a third party payment.
  Application with Third Party payments will not be accepted except in the following cases:
  (i) Payment by Parents/Grandparents/related persons on behalf of a minor in consideration of natural love and affection or as
  gift for a value not exceeding ₹ 50,000<sup>1-</sup>.
  (ii) Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.
  (iii) Custodian on behalf of an FII or a client.
  mat Account
- b.

## Demat Account

Dematocount Investors have an option to hold the Units in dematerialized form. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL)/ Central Depository Services Limited (CDSL). If PAN is not mentioned by applicants, the application is liable to be rejected. Investors may attach a copy of the Client Master Form /

DP statement showing active demat account details for verification. Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment. In case the demat details mentioned in the application are incomplete/incorrect or do not match with the depository data, the application shall be

demat details mentioned in the application are incomplete/incorrect of on ontimaticn with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid. All details such as address, bank details, nomination etc. will be applicable as available in the depositories' records. For effecting any subsequent changes to such information, Investors should approach their DP. Redemption requests for units held in demat mode must be submitted to DP or through Stock Exchange Platform, as applicable. Holding / transacting of units held in demat mode shall be in accordance with the procedures /requirements laid down by the Depositories, viz. NSDL/CDSL in accordance with the provisions under the Depositories Act, 1996 and the regulations thereunder.

8 NRIs/FIIs

- In case of NRI / Persons of Indian Origin, payment must be made either by cheque or demand draft by means of: (a) inward remittance through normal banking channels; or (b) out of funds held in the NRE / FCNR account, in the case of purchases on a repatriation basis or out of funds held in the NRE / FCNR / NRO account, in the case of purchases or an on-repatriation basis. (In case of Indian Rupee drafts purchased from abroad or payments from FCNR/NRE accounts, a certificate from the Bank issuing the draft confirming the debit and / or foreign inward remittance certificate (FIRC) issued by investor's banker should also be enclosed. The Mutual Fund reserves the right to hold redemption proceeds in case the requisite details are not submittent). submitted).
- Payment by the FII must be made either by inward remittance through normal banking channels or out of funds held in foreign h currency account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer in terms of paragraph 2 of Schedule 2 to the FEMARegulations.

## Nomination Details

Applicants applying for units singly / jointly can make a nomination at the time of initial investment or during subsequent investments / during the tenure of the investment.

using interfue or interinvestment. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent.

In case of units held in demat mode, the nomination details provided to the Depository Participant shall be applicable 10

- Declaration and Signatures
   Signatures can be in English or in any other Indian Language. Thumb impressions must be attested by a Magistrate or Notary Public or a Special Executive Magistrate under his/her official seal.
   Applications by minors must be signed on their behalf by their guardians.

If you are investing through your constituted attorney, please ensure that the PoA document is signed by you and your constituted Attorney. The signature in the Application Form, then needs to clearly indicate that the signature is on your behalf by the Constituted Attorney. C.

## **Transaction Charges**

In terms of SEBI circular, Cir/IMD/DF/13/2011 dated August 22, 2011, the distributors of mutual fund products are allowed to be paid Transaction charges for purchase transactions : @ 100/- per subscription of 10,000/- and above in respect of existing unitholders; and @ 150/- for subscription of 10,000/- and above in respect of first time investor in mutual funds. The transaction charge shall be deducted from the subscription amount and paid to the distributor; and the balance shall be invested

The transaction charge sinal be deduced inform the subscription announce and paid to the distinuition, and the datafield with the datafield sinal be invested in the Schemer. The transaction charges and the net investment amount and the number of units allotted will be clearly mentioned in the Account Statement issued by the Mutual Fund. **TRANSACTION CHARGES IN CASE OF INVESTMENTS THROUGH SIP:**Transaction Charges in case of investments through SIP are deductible only if the total commitment of investment (i.e. amount per SIP installment x No. of installments) amounts to ₹ 10,000 or more. In such cases, Transaction Charges shall be deducted in 3-4
installed and the subscription of the subscription

SIP installment X No. or installments) amounts to ₹ 10,000 or more. In such cases, fransaction Charges shall be deducted in 3-4 installments. Transaction Charges shall not be deducted: a. where the Distributor of the investor has not opted to receive any Transaction Charges b. for purchases / subscriptions / total commitment amount in case of SIP of an amount less than ₹10,000/-;

- for transactions other than purchases / subscriptions relating to new inflows i.e. through Switches / Systematic Transfers / Dividend Transfers/ Dividend Reinvestment, etc.; for purchases / subscriptions made directly with the Fund (i.e. not through any Distributor); and C.
- d.

 d. To puckases subscriptions nuade directly with the Pulk (i.e. hot budget any bishoutor), and

 for puckases / subscriptions nuade directly with the Pulk (i.e. hot budget any bishoutor), and
 for puckases / subscriptions nuade directly with the Pulk (i.e. hot budget any bishoutor), and

 Systematic Investment Plan (SIP)

 Monthly, Weekly, Fortnightly : Minimum ₹1,000 & 6 instalments

 Quarterly: Minimum ₹2,000 & 3 instalments

 The dates for the Auto Debit Facility for all schemes of Motijal Oswal Mutual Fund is 1<sup>st</sup>, 7<sup>th</sup>, 14<sup>th</sup>, 21<sup>st</sup> or 28<sup>th</sup> of every month. In case, the

 The dates for the Auto Debit Facility for all schemes of Motilal Oswal Mutual Fund is 1<sup>-</sup>, 7<sup>-</sup>, 1<sup>-</sup>, 7<sup>-</sup>, 21<sup>+</sup> or 28<sup>-</sup> of every month. In case, the date fixed happens to be a holiday / non-business day, the same shall be affected on the next business day. Incase if no date is selected, 7th would be the default SIP Date. No Post Dated cheques would be accepted for SIP. The default cycle date of SIP & STP Monthly/Quarterly will be 7th **Micro Systematic Investment Plan (SIP)** SEBI vide its circular dated 24th July 2012, conveyed that the investments aggregating ₹ 50,000 in a rolling 12 month period or in a financial year ie. April to March, in all the schemes of Mutual Funds are exempt from the PAN requirement. Where the aggregate of the Lumpsum Investment [Fresh Purchase & Additional Purchase] and Micro SIP installments by an investor based on the rolling 12 month period in a financial year ie. April to March does not exceed ₹ 50,000/-, it shall be exempt from the *requiremented* (PAM). (benedmicroffront the "Micro Purchase").

based on the rolling 12 month period in a financial year i.e. April to March does not exceed ₹ 50,000/-, it shall be exempt from the requirement of PAN, (hereafter referred to as "Micro Investments"). PAN requirement exemption will be available only to Micro Investments made by the individuals being Indian Citizens (Including NRs, Joint Holders', Minor acting through Guardian and Sole proprietory firms not having PAN). Person of Indian Origin, Hindu Undivided Family (HUP) Qualified Foreign Investor (QFI) and other categories of investors will not be eligible for this exemption. However the eligible investors are required to submit PAN exempt KYC issued by SEBI registered KRA (KYC Registration Authority). In case of joint holders, first holder must possess a PAN. (Application not complying with any of the above instruction/guidelines would be rejected.) 13 PAN DETALS It is mandatory for all investors to mude their Permanent Account Number (PAN) (excent SIP Investments) and submit certified conv of the tribust of the provident of the size of the siz

It is mandatory for all investors to quote their Permanent Account Number (PAN) (except SIP Investments) and submit certified copy of the It is mandatory for all investors to duck their Permanent Account Number (PAN) (except SIP investments) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN pord submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. Investment through Systematic Investment Plans (SIPs) up0 ₹ 50,000/; aggregate of installments in a rolling 12 months period or in a financial year i.e. April-March because of an extended be accessed from the maximum of £0AN. March) per year per investor shall be exempt from the requirement of PAN. 14 KYC REQUIREMENTS AND DETAILS

- C REQUIREMENTS AND DETAILS Basic KYC: Applicants are required to provide basic KYC details like photograph, proof of identity, proof of address, a KYC form and other details as per KYC form, to update their KYC with the fund and update on SEBI designated KYC Registration Authority (KRA) systems. If applicants have already compiled with basic KYC through some other intermediary, they may just provide a KYC acknowledgement of valid KYC status available through the KRA. Basic KYC is applicable for all applicants, Guardian and Power of Attorney Holders as well. Additional Detaits: Additional details like status, occupation details, gross annual income, net worth and other details as mentioned in the relevant sections of the application form are mandatory for all applicants as applicable, including joint holders. Details of net worth are mandatory for Non Individual applicants and optional for Individual applicants in lieu of gross annual income. While providing details of net worth, the same should be of a date which is within one vector the application. within one year of the application.
- d.
- within one year of the application. Non Individual applicatis, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed company or is controlled by such listed Company, are also required to submit a declaration of ultimate beneficial ownership in the mandated format as available on the website of the fund. Applications are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants, KYC acknowledgement is not enclosed or any of the additional details are not mentioned for any of the applicant. Investors should further note that KYC applicability for various investor categories may change anytime in future. Hence, investors are requested to apprice themselves about KYC applicability before submitting their application future transactions to avoid rejections. For any other further information related to KYC, applicants should mention
- future transactions to avoid rejections. For any other further information related to KYC, applicants should mention the same in a separate sheet, duly signed and attach to the application. **Ultimate Beneficiary Owners** Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, Investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))' in the separate format enclosed with this form & also available at www.mostshares.com. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Of Is at highing write source sources of each provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please contact the nearest Investor Service Centre (ISC) of Molial Oseal Mutual Fund or visit our website www.motilaloswalmf.com. 15 MODE OF PAYMENT

MODE OF PAYMENT
Payment may be made by cheque or bank draft drawn on any bank, which is situated at and is a member of the Bankers' Clearing House,
located at the place where the application is submitted. Bank charges for outstation demand drafts will be borne by the AMC and will be
limited to the bank charges stipulated by the State Bank of India. Outstation Demand Draft has been defined as a demand draft issued by
a bank where there is no ISC available for Investors.
The AMC will not accept any request for refund of demand draft charges, in such cases.
 FOREIGN ACCOUNT TAX COMPLIANCE (FATCA)

 Politically Exposed Persons (PEP) are defined as Individuals who are or have been entrusted with prominent public
functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior
government/judicial/military officials,
etc.

- Country of Tax Residence and Tax ID number: Tax Regulations require us to collect information about each investor's Country or tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly. If you are a US citizen or resident, please include United States in this related field along with your US TaxIdentification Number. 2.

| MOTILAL OSWA   | NACH/ EC                        | S/ Direct Debit Mai                                     | ndate Form  |   | Application No.<br>Form -2  |  |
|--|---------------------------------|---|---|---|---|--|
| Distributor ARN / RIA#   | Dis                             | tributor Name   | Sub-Distributor ARI   | N/RIA#  | Internal Sub-Broker/Employee Code   | EUIN   |
| ARN/RIA :  |                                 |   | ARN   |   |   |  |
| #By mentioning RIA code, I/We authorize you to<br>/We hereby confirm that the EUIN box has been in   |                                 |   |   | ilal Oswal Mutu   | ual Fund.   |  |
| by the employee/relationship manager/sales person of the providence of the providenc | son of the above distributor or | notwithstanding the advice of in-appropriateness        | s, if any, provided by the  | First Hold  | er Second Holder  | Third Holder   |
| 1 UNIT HOLDER INFORMAT   | ION                             |   |   |   | Mr Ms.  | . 🗌 M/s  |
| xisting Folio Number   |                                 | Mobile No.  |   | Ema   |   |  |
| lame F I   | R S T                           |   |   |   |   | A S T  |
| 2 SYSTEMATIC INVESTME  | NT PLAN DETAILS                 |   |   |   |   |  |
| Scheme Na  | mes                             | SIP Frequency   | and Date  |   | SIP Month / Year/<br>Perpetual  | SIP Amount Min.<br>₹ 1000/- (Weekly/Fortnightly<br>Monthly) & ₹ 2000/- (Qtrly) |
| Motilal Oswal MOSt Focused<br>Plan: Regular Direct*<br>Option: Growth* Div Pay   |                                 | Monthly 1 <sup>st</sup> 7 <sup>th*</sup>                | 1 <sup>st</sup> , 28 <sup>th</sup> )         7 <sup>th</sup> -21 <sup>st</sup> 14 <sup>th</sup> -28 <sup>th</sup> 14 <sup>th</sup> 21 <sup>st</sup> 28 <sup>th</sup> 14 <sup>th</sup> 21 <sup>st</sup> 28 <sup>th</sup> | M M (   | Y         Y         Y           to         Or         Perpetual SIF   | 2  |
| Motilal Oswal MOSt Focused<br>Plan: Regular Direct*<br>Option: Growth* Div Pay   | ·                               | $\begin{array}{c c c c c c c c c c c c c c c c c c c $  | $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$  | M M (   | Y         Y         Y           to         or         Perpetual SIF   | >  |
| Motilal Oswal MOSt Focused<br>Plan: Regular Direct*<br>Option: Growth* Div Pay   | ·                               | $\begin{array}{c c c c c c c c c c c c c c c c c c c $  | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$   | M M   | Y     Y     Y       to     or       Y     Y     Y   | >  |
| Motilal Oswal MOSt Focused<br>Plan: Regular Direct*<br>Option: Growth* Div Pay   | -                               | Monthly 1 <sup>st</sup> 7 <sup>th*</sup>                | 1 <sup>st</sup> , 28 <sup>st</sup> )       7 <sup>th</sup> -21 <sup>st</sup> 14 <sup>th</sup> 21 <sup>st</sup> 28 <sup>th</sup> 14 <sup>th</sup> 21 <sup>st</sup> 28 <sup>th</sup>                                      | M M<br>M M  | Y         Y         Y           to         or         Perpetual SIF           Y         Y         Y                     | >  |
| Motilal Oswal MOSt Focused  Plan: Regular Direct*  Option: Growth* Div Payou Div Reinvestment*   | ut 🗆 Quarterly 🗆 Annually       | Monthly 1 <sup>st</sup> 7 <sup>th*</sup>                | 7 <sup>th</sup> -21 <sup>st</sup> 14 <sup>th</sup> -28 <sup>th</sup><br>14 <sup>th</sup> 21 <sup>st</sup> 28 <sup>th</sup>  | M M   | Y         Y         Y         Y           to         or         Perpetual SIF           Y         Y         Y         Y | >  |
| Motilal Oswal MOSt Ultra Sho<br>Plan: Regular Direct*<br>Option: Growth* Div Pay   |                                 | Monthly 1 <sup>st</sup> 7 <sup>th*</sup>                | $\begin{array}{c c} I^{st}, 28^{th} \\ 7^{th}-21^{st} & 14^{th}-28^{th} \\ 14^{th} & 21^{st} & 28^{th} \\ 14^{th} & 21^{st} & 28^{th} \end{array}$  | M M   | Y         Y         Y         Y           to         or         Perpetual SIP           Y         Y         Y         Y | ,  |
| *Default  DECLARATION AND SIG  | NATURE (To be signed by         | ALL UNIT HOLDERS if mode of holding is 'joi             | int')   | 1   |   |  |
|  |                                 |   |   | mend this mai<br>H/ECS/Direct<br>ect Debit / Star<br>g this mandate |   | ase attach a cancelled cheque/cheque co  |
| First / Sole Applicant / Guardi<br>(To be signed by all holders if mode of operation of  |                                 |   | Second Applicant  |   |   | rd Applicant   |
| MOTILAL OSWAL  | NACH/ ECS/ Dire                 | ect Debit Mandate Form [Ap                              | plicable for Lumpsum Addi   | itional Purch   | nases as well as SIP Registrations]   |  |
| Tick (✓)   |                                 | For Official Use  |   |   | D   | Date D D M M Y Y Y   |
| Create Sponsor Bank  |                                 |   | ity Code  |   |   |  |
| Modify X I/We hereby auth  |                                 | Motilal Oswal Mutual Fund                               | To Debit (to tick   | ( √) [] SE  | 3CACCSB-NRE   | SB-NRO Other   |
| Cancel Bank a/c nu   |                                 | Name of customer bank                                   |   |   |   |  |
|  | Bank                            |   |   |   | Or MICR 0r MICR   |  |
| an amount of Rupees  | / Qtly H.                       | Yrly Vrly 🗸 As & when p                                 | presented   | ייד דייוססס   |   | Maximum Amount   |
| REQUENCY   |                                 |   |   | DEBIT TY  |   |  |
| Reference 2  |                                 |   |   | Mob. I<br>Email   |   |  |
|  | ocessing charges by th          | ne bank whom I am authorizing to d                      | lebit my account as per lat   |   |   |  |
| Period   |                                 | nature Primary account holder                           |   |   |   |  |
| From D D M M Y Y   |                                 |   | 2.  |   | 3.  |  |
| To         3         1         1         2         2         0           Or         Until cancelled  | agreed and sign                 | ned by me.<br>Nod that I am authorized to cancel/ amend | read, understood & made by me   |   | norizing the User entity/ Corporate to debit<br>g the cancellation/amendment request to                                 |  |
| ACKNOWLEDGMENT SLI   |                                 |   | Application No.   |   |   |  |
| Folio No.  |                                 | Investor Name   |   |   |   |  |
| Scheme Name  |                                 | Plan  |   | Optio   | n   |  |
| SIP Period From DDMM   | Y Y To D D I                    |   | Perpetual SIP   |   |   | Stamp & Signature  |

# **FATCA & CRS Instructions**

# FATCA Instructions & Definitions Individual

# FATCA & CRS TERMS & CONDITIONS

Note : The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form) Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Motilal Oswal Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information

# FATCA & CRS INSTRUCTIONS

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder please include United States in the foreign country information field along with your US Tax Identification Number It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet

available of has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

| FATCA & CRS Indicia observed (ticked)                   | Documentation required for Cure of FATCA/ CRS Indicia  |
|---|--|
| U.S. place of birth                                     | 1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;  |
|   | 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); and  |
|   | 3. Any one of the following documents:   |
|   | Certified Copy of "Certificate of Loss of Nationality  |
|   | or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;  |
|   | or Reason the customer did not obtain U.S. citizenship at birth  |
| Residence/mailing address in a country other than India | 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and                 |
|   | 2. Documentary evidence (refer list below)   |
| Telephone number in a country other than India          | If no Indian telephone number is provided:   |
|   | 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and                 |
|   | 2. Documentary evidence (refer list below)   |
|   | If Indian telephone number is provided along with a foreign country telephone number:  |
|   | 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR |
|   | 2. Documentary evidence (refer list below)   |
|   |  |

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes 1. Certificate of residence issued by an authorized government body\*

Valid identification issued by an authorized government body" (e.g. Passport, National identity card, etc.)

Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

# FATCA Instructions & Definitions Non-Individual

(Note: The Guidance Note/notification issued by the CBDT shall prevall in respect to interpretation of the terms specified in the form)

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and Indication formal our account holders. In relevant cases, information will have to be provided to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

St is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

(i) Financial Institution (F) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

(ii) Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.

- (iii) Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of:
- (I) The three financial years preceding the year in which determination is made; or
- (ii) The period during which the entity has been in existence, whichever is less.
- (iv) Investment entity is any entity:
  - (a) That primary conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or Individual and collective portfolio management; or
- (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
- (b) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance

- company, or an investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 contracting of an international of the second and the second and the second application of the s
- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence. The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04,05 and 06 and 07 refer point 2c.)
- (v) Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- (vi) FI not required to apply for GIIN: Refer Rule 114F(5) of income Tax Rules, 1962 for the conditions to be satisifed as "non-reporting financial institution and Guidance issued by CBDT in this regard.
  A. Reasons why FI not required to apply for GIIN:

| Code | Sub-category  |
|------|---|
| 01   | Governmental Entity, International Organization, or Central Bank  |
| 02   | Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement<br>Fund; or a Pension Fund of a Govermental Entity, International Organization or Central Bank |
| 03   | Non-public fund of the armed forces, an employees state insurance fund, a gratuity fund or provident fund   |
| 04   | Entity is an Indian FI soley because it is an investment enity  |
| 05   | Qualified credit card issuer  |
| 06   | Investment Advisors, Investment Managers & Executing Brokers  |
| 07   | Exempt collective investment vehicle  |
| 08   | Trust   |
|      | (Continued overle   |

# SYSTEMATIC INVESTMENT PLAN DETAILS

- The Mandate will be registered under the best suited mode i.e. NACH or ECS or SI at the discretion of its appointed payment
- Agregator timula timula timulation in a base of the second made for the SIP debit facility. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered for registration of the mandate failing which registration may not be accepted. The
- Unit holder(s) cheque/ bank account details are subject to third party verification. 3.
- Where the cancelled cheque or a copy of the cheque does not many any formation the bank account holder's name(s), Investor should provide self-attested bank pass book copy / bank statement / bank letter to substantiate that the first unit holder is one of the joint holder of the bank account. In case of a mismatch, it will be deemed to be a 3rd party payment and rejected exceptu nd er the following exceptional circumstances
  - Payment by parents / grand-parents / related person on behalf of a minor in consideration of natural love and affection or as gift provided the purchase value is less than or equal to` 50,000/- and KYC is completed for the registered Guardian and the person making the payment. However, single subscription value shall not exceed above` 50,000/- (including investment through each a) regular purchase or single SIP instalment), however, this restriction will not to be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio. Additional declaration in the prescribed format signed by the guardian and parents/grand - parents/ related person is also required along with the application form. Payment by an Employer on behalf of employee under Systematic Investment plans through, Payroll deductions provided KYC
  - b) is completed for the employee who is the beneficiary investor and the employer who is making the payment. Additional

# TERMS AND CONDITIONS FOR ECS (Debit Clearing)

- The cities/ banks/ branches in the list may be modified /updated / changed / removed at any time in future entirely at the discretion of Motilal Oswal Mutual Fund without assigning any reasons or prior notice. If any city / bank/ branch is removed, SIP instructions for investors in such city/bank/branch via (ECS) (Debit Clearing) Direct Debit route will be discontinued without prior notice. 2.
- Investors in sour ot pydanko trait trivia (ECs) (beni (Cearing)): List of Cities for SIP Auto Debli Taciiti yv ia ECS (Debli (Cearing)): Agra, Ahmedabad, Allahabad, Amritsar, Anand, Asansol, Aurangabad, Bangalore, Bardhaman, Baroda, Belgaum, Bhavnagar, Bhilwara, Bhopal, Bhubaneshwar, Bijapur, Bikaner, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Cuttack, Davangere, Dehradun, Delhi, Dhanbad, Durgapur, Erode, Gadag, Gangtok, Goa, Gorakhpur, Gulbarga, Guwahati, Gwalior, Haldia, Hasan, H u b I I, Hyderabad, Imphal, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Jodhpur, Kakinada, Kanpur, Kolhapur, Kolata, Kota, Luckrow, Ludhiana, Madurai, Mandya, Mangalore, Mumbal, Mysore, Nagpur, Nasik, Nellore, Patna, Ponoicherry, Pune, Raichur, Raipur, Rajkot, Ranchi, Salem, Shillong, Shimag, Shimoga, Sholapur, Siliguri, Surat, Tirunelveli, Tirupati, Tiruppur, Trichur,

# INSTRUCTIONS TO FILL THE NACH / ECS / SI MANDATE

- UMRN Code, Sponsor Code, and Utility Code are for official use only. Please do not write anything in these boxes/spaces. The following information has to be mandatorily filled in the Mandates. In case any of these fields are not filled, the mandate is liable for rejection
- tor rejection. a) Please tick the Appropriate Account Type and furnish the Bank Account Number from which the SIP Installment/s is/are to be debited. b) Please mention the Bank Name, 11 Digit IFSC code, 9 Digit MICR Code of your Bank in the appropriate boxes provided for the purpose. The MICR code is the number appearing next to the cheque number on the MICR band at the bottom of the cheque. In the absence of these information, Mandate registration is liable to be rejected.

- declaration in the prescribed format signed by employee and employer is also required along with the application form
- c) Custodian on behalf of an FII or a Client provided KVG is completed for the investor and custodian. Additional declaration in the prescribed format signed by Custodian and FII/ Client is also required along with the application form. leaf submitted, bank account number would be updated based on the cancelled cheque leaf provided the name(s) of the investor/applicant appears

in the cheque leaf.

AUTHORISATION BY BANK ACCOUNT HOLDER(S) a) Please indicate the name of the bank & branch, bank account number.

- (a) The control of operation of broadmetorian, built bank account holders would need to sign at the place marked. Applications incomplete in any respect are liable to be rejected. AMC/ Service Provider shall have absolute discretion to reject any such Application
- AMC or other service providers shall not be responsible and liable for any damages / compensation for any loss, damage etc. The investor assumes

The entire risk of using this facility and takes full responsibility. DECLARATION & SIGNATURES This section need to be signed by the applicant(s) / unit holder(s) at the places marked as per the mode of holding recorded with us (i.e. "Single", Aryone or Survivor" or "Joint").

# Trichy, Trivandrum, Tumkur, Udaipur, Udipi, Varanasi, Vijaywada, Vizag

List of Banks for SIP Direct Debit Facility-List of Banks for SIP Direct Debit Facility-Allahabad Bank, Axis Bank, Bank of Baroda, Bank of India, Citi Bank, Corporation Bank, Federal Bank, ICICI Bank, IDBI Bank, IndusInd Bank, Kotak Mahindra Bank, Punjab National Bank, South Indian Bank, State Bank of India, State Bank of Patiala, UCO Bank, Union Bank of India, United Bank of India

- Applications for SIP Auto Debit (ECS/ Direct Debit) Facility would be accepted only if the bank branch participates in local MICR/ECS clearing. In case the investor's bank chooses to cross verify the auto debit mandate with him/ her as the bank's customer, investor would need to promptly act on the same AMC//Service Provider will not be liable for any transaction failures due to rejection of the transaction by investor's bank/ branch or its refusal to register the SIP mandate or any charges that may be levied by the Bank/ Branch on investor / applicant.
- c) Please mention the maximum amount that can be debited using this mandate. The amount needs to be mentioned both in words as
- C) Prease mention the maximum announcing that can be deduced using this manuate. The announcing the mentioned built in words as well as numbers.
  d) Please mention your Mobile Number and Email Id on the mandate form.
  e) Please provide the Start and End date for the period which the Mandate should be active. If you do not wish to provide an End date, please tick the check box for 'Until Cancelled'. SIGNATURES
- The mandate needs to be signed by all the account holders in line with the mode of holding recorded with the investor's bank. The Account holder's names have to be mentioned as per their mode of holding in Account.

# **FATCA Instructions & Definitions Non-Individual**

(Note: The Guidance Note/notification issued by the CBDT shall prevall in respect to interpretation of the terms specified in the form)

| 09 | Non- registering local banks                                   |
|----|--|
| 10 | FFI with only Low-Value Accounts                               |
| 11 | Sponsored investment entity and controlled foreign corporation |
| 12 | Sponsored, Closely Held Investment Vehicle                     |

## Active Non-financial entity (NFE) - Foreign entity that is not a financial institution: (any one of the following): Refer Explanation (A) to 114F(6) of income Tax Reule, 1962 for details.

Code Sub-category Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for 01 the production of passive income; 02 The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market. 03 The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing; 04 Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; 05 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; 06 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; 07 The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution: 08 Any NFE that fulfills all of the following requirements: • It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from income tax in India: • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-

permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or noncharitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-

(i) an Investor Protection Fund referred to in clause (23EA):

(ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and

(iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act:

## 3. Other definitions:

## (i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control for this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in a neutrity.

## (ii) Passive NFE

The term passive NFE means

(i) any non-financial entity which is not an active non-financial entity; or

(ii) an investment entity defined in clause 1 (iv) (b) of these instructions

(iii) a withholding foreign partnership or withholding foreign trust;

# (iii) Passive income

The term passive income includes income by way of :

(1) Dividends.

(2) Interest,

(3) Income equivalent to interest,

(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE

(5) Annuities

(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,

(8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

## (iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under subrule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance Records) Rules, 2005.

In determining the beneficial owner, the procedure specified in the following circular as amended from time to time shall be applied, namely:-

 $(i) \, \mathsf{DBOD}, \mathsf{AML}, \mathsf{BC}, \mathsf{No.71}/14.01.001/2012-13, issued on the 18th January, 2013 \, by the Reserve Bank of India, or the test of te$ 

(ii) CIR/MIRSD/2/2013, issued on the 24th January, 2013 by the Securities and Exchange Board of India, or

(iii) IRDA/SOD/GDL/CIR/019/02/2013, issued on the 4th February, 2013 by the Insurance Regulatory and Development Authority.

In the case of trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, the said expression means the person in equivalent or similar position;(A) Controlling Person Tyne:

| Code | Sub-category:  |
|------|--|
| 01   | CP of legal person-ownership                           |
| 02   | CP of legal person-other means                         |
| 03   | CP of legal person-senior managing official            |
| 04   | CP of legal arrangement - trust-settlor                |
| 05   | CP of legal arrangement - trust-trustee                |
| 06   | CP of legal arrangement - trust-protector              |
| 07   | CP of legal arrangement - trust-beneficiary            |
| 08   | CP of legal arrangement - trust-other                  |
| 09   | CP of legal arrangement - Other-settlor equivalent     |
| 10   | CP of legal arrangement - Other-trustee equivalent     |
| 11   | CP of legal arrangement - Other-protector equivalent   |
| 12   | CP of legal arrangement - Other-beneficiary equivalent |
| 13   | CP of legal arrangement - Other-other equivalent       |
| 14   | Unknown  |

(v) Specified U.S. person - AU.S person other than the following:

(i) a corporation the stock of which is regularly traded on one or more established securities markets;

 (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);

(iii) the United States or any wholly owned agency or instrumentality thereof;

(iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;

(v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;

(vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;

(vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code:

(viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);

(ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;

- (x) any trust that is exempt from tax under section 664<sup>(C)</sup>) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;

(xii) a broker as defined in section 6045©) of the U.S. Internal Revenue Code; or

(xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code. (vi) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS. (viii) Exemption code for U.S. persons (Refer 114F(9) of Income Tax Rules, 1962 for details).

| Code | Sub-category  |
|------|---|
| Α    | An organization exempt from tax under section 501 (a) or any individual retirement plan as defined in section 7701(a)(37)   |
| В    | The United States or any of its agencies or instrumentalities   |
| С    | A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities   |
| D    | A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(C)(1)()   |
| E    | A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(I)   |
| F    | A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state |
| G    | A real estate investment trust  |
| Н    | A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940  |
| I    | A common trust fund as defined in section 584(a)  |
| J    | A bank as defined in section 581  |
| К    | Abroker   |
| L    | A trust exempt from tax under section 664 or described in section 4947(a)(1)  |
| Μ    | A tax exempt trust under a section 403(b) plan or section 457(g) plan   |

| MOTILAL OS<br>Mutual Fund  | (Please fill the form in English and in BLOCK Letters) Fields marked with '*' are mandatory fields   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Application Type*  | New Update KYC No.   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| KYC Type*  | Normal (PAN is mandatory) PAN Exempt Investors (Refer instruction K)   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1 Identity Details (Ple  | ase refer instruction A at the end)  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| PAN  | Please enclose a duly attested copy of your PAN Card   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Name* (same as ID Proof)   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Maiden Name (If any*)  | F     I     R     S     T     M     I     D     D     L     E     I     I     L     A     S     T  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Father / Spouse Name*  | F     I     R     S     T     I     D     D     L     E     I     L     A     S     T  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Mother Name*   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Date of Birth  | D       D       M       M       Y       Y       Y       Y       Gender*       Male       Female       Transgender       Marital Status*       Married       Unmarried       Other         OUT       Hit       *       Her       Female       Transgender       Marital Status*       Married       Unmarried       Other |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Citizenship* Indian Others – Country Country Name Country Code   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Residential Status*       Resident Individual       Non Resident Indian       Foreign National       Person of Indian Origin   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Occupation Type*       Service       Private Sector       Public Sector       Government Sector       Professional       Self Employed       Retired         Housewife       Student       Business       Not Categorised       Others       Self Employed       Self Employed |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2 Proof of Identity (Pol)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)<br>(Certified copy of any one of the following Proof of Identity [Pol] needs to be submitted)  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Passport Number  | Passport Expiry Date D D M M Y Y Y Y   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Voter ID Card  | Aadhaar Card   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Driving Licence  | Driving Licence Expiry Date         D         M         M         Y         Y         Y  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| NREGA Job Card   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Others (any document notified b  | by the central government)   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| <b>3</b> Proof of Address (P   | oA)* 3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Address  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| City / Town / Village*   | Distric* Pin Code*   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| State/UT*  | State/UT Code     Country*     Country Code  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Address Type* Resid  | as per Indian Motor Vehicle Act, 1988 as per ISO 3166 ential / Business Residential Business Registered Office Unspecified   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | e following Proof of Address [PoA] needs to be submitted)  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Proof of Address*  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Passport Number  | Passport Expiry Date D D M M Y Y Y Y   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Voter ID Card  | Aadhaar Card   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Driving Licence  | Driving Licence Expiry Date D M M Y Y Y Y  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| NREGA Job Card   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Others (any document notified b  | by the central government)   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 3.2 Correspondence   | e / Local Address Details* (Please see instruction E at the end)   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Same as Current / Permanent  | / Overseas Address details (In case of multiple correspondence / local addresses, please 'Annexure A1', Submit relevant documentary proof)   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| City / Town / Village*   | Distric*         Distric*         Pin Code*  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| State/UT*  | State/UT Code     Country*     Country Code       as per Indian Motor Vehicle Act, 1988     as per ISO 3166  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4 Contact Details (All   | communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Email ID   | Mobile Nobile  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Tel. (off)   | Tel. (Resi)         Tel. (Resi)  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

| 5 FATCA/CRS  | Informa   | tion  | (Tick                   | if Ap                    | plicab                     | ole)                    |                        | F                          | Resid                    | ence                    | for 1                  | Tax Pu                   | irpo                | ses in                       | Juri                 | isdict                    | ion(s) (                    | Outsio         | de Ind          | lia (P         | lease         | refe         | er inst        | tructi | on E | 8 at 1  | the e    | nd) |       |      |         |      |       |       |                  |       |       |    |
|--|---|---|-------------------------|--------------------------|----------------------------|-------------------------|------------------------|----------------------------|--------------------------|-------------------------|------------------------|--------------------------|---------------------|------------------------------|----------------------|---------------------------|-----------------------------|----------------|-----------------|----------------|---------------|--------------|----------------|--------|------|---------|----------|-----|-------|------|---------|------|-------|-------|------------------|-------|-------|----|
| Additional Details R   | equired* (I   | Vandato   | ory or                  | ly if a                  | above                      | e opt                   | on (                   | 5) is t                    | icke                     | d)                      |                        |                          |                     |                              |                      | _                         |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Country of Jurisdict   | ion of Resi   | dence*  |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      | (                         | Countr                      | у Со           | de of           | Juri           | sdict         | ion o        | of Re          | sider  | nce  |         |          |     | as    | per  | ISO 3   | 3166 | 6     |       |                  |       |       |    |
| Tax Identification Nu  | umber or e  | quivaler  | nt (lf i                | issue                    | d by j                     | juriso                  | dictio                 | on)*                       |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Place / City of Birth'   | *   |   |                         |                          |                            |                         |                        |                            | Cou                      | ntry                    | of Bi                  | rth*                     |                     |                              |                      |                           |                             |                |                 |                |               |              |                | Cour   | ntry | Cod     | le       |     |       | as   | per l   | S0 : | 3166  |       |                  |       |       |    |
| Address  |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         | _    |       | _     |                  | _     |       |    |
| City / Town / Village  | *   |   |                         |                          | +                          |                         |                        |                            |                          |                         |                        |                          |                     | -                            | Diet                 | trio*                     |                             |                |                 | +              |               |              |                |        |      |         |          |     | Dir   |      | do*     | -    |       | $\pm$ | _                | $\pm$ | _     | _  |
| , ,  | ;   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          | tote                |                              |                      | tric*                     |                             | 1              |                 |                |               |              |                |        |      |         |          | _   | PII   | ı Co |         |      |       |       |                  |       |       |    |
| State/UT*  |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     | e/UT C<br>r Indiai           |                      |                           | ehicle A                    | <br>\ct, 19    |                 | untry          |               |              |                |        |      |         |          |     |       |      |         |      |       |       | / Code<br>S0 316 |       |       |    |
| 6 Details of F   | Related P   | erson   | (Opti                   | onal)                    | (pleas                     | se re                   | ier in                 | struct                     | ion G                    | i at t                  | he er                  | nd) (in                  | cas                 | se of a                      | dditi                | ional                     | related                     | d pers         | sons,           | pleas          | se fill       | 'Anr         | iexur          | e B1'  | )    |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Related Per  | son   | De  | letior                  | n of R                   | Relate                     | d Pe                    | rson                   |                            |                          |                         |                        |                          |                     | KYC N                        | lum                  | nber (                    | of Rela                     | ated F         | Perso           | n (if          | avail         | able         | *)             | Т      |      |         | <b>—</b> | Τ   |       |      | Τ       |      |       | T     |                  | 1     |       |    |
| Related Person Typ   | e*  | Guar  | rdian                   | of Mi                    | inor                       |                         | Ass                    | signee                     |                          | A                       | utho                   | rized                    | Rep                 | oresen                       | tati                 | ve                        |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       | _     | _                |       |       |    |
| Name*  | R S   | Т   |                         |                          |                            |                         |                        | -                          |                          | _                       |                        |                          | M                   |                              | D                    | ) [                       | ) L                         | E              |                 |                |               |              |                |        |      |         |          |     |       |      |         |      | L     | -     | AS               | S     | Т     |    |
| (If KYC nu   | imber and   | name a  | re pr                   | ovide                    | d, be                      | low                     | letai                  | ils of s                   | section                  | on 6                    | are                    | optio                    | nal)                |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Proof of Ide   | ntity [Pol]   | of Relate   | ed Pe                   | rson*                    | * (Ple                     | ase s                   | ee i                   | nstruc                     | ction                    | (H) a                   | at the                 | e end                    | )                   |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| (Certified copy of an  | y one of th   | e followii  | ng Pr                   | oof o                    | f Iden                     | ntity[F                 | Pol] r                 | needs                      | to be                    | e sub                   | omitte                 | ed)                      |                     |                              |                      |                           |                             |                |                 |                |               |              | _              |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Passport Number  |   |   |                         |                          |                            |                         |                        |                            |                          | Pa                      | isspo                  | ort Ex                   | piry                | Date                         |                      | D                         | DN                          | /I IV          | /I Y            | Y              | Y             | Y            | r              |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Voter ID Card  |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              | Aad                  | haar                      | Card                        |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Pan Card   |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Driving Licence  |   |   |                         |                          |                            |                         |                        |                            | Dri                      | ving                    | Lice                   | nce E                    | Expi                | ry Dat                       | e                    | D                         | DN                          | /I IV          | /I Y            | Y              | Y             | Y            | r              |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| NREGA Job Card   |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Others (any docume   | nt notified l   | by the ce   | entral                  | gover                    | rnmer                      | nt)                     |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             | ldei           | ntifica         | ation          | No            |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| 7 Remarks (I   | f any)  |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| 8 Applicant D  | eclaratio   | n   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| <ul> <li>I hereby declare<br/>therein, immedia<br/>liable for it. I he<br/>legislation or any</li> <li>I hereby consent</li> </ul> | that the de<br>ately. In cas<br>reby decla<br>/ notificatio | tails furr<br>se any of<br>re that I<br>ons/direc | f the a<br>am<br>ctions | above<br>not m<br>s issu | e infoi<br>nakin<br>ied by | rmat<br>g thi:<br>/ any | ion is<br>s ap<br>gove | s foun<br>plicati<br>ernme | d to l<br>ion fe<br>ntal | be fa<br>or th<br>or st | lse o<br>e pu<br>atuto | r unti<br>rpose<br>ry au | rue<br>e of<br>thoi | or mis<br>contra<br>rity fro | leac<br>aver<br>m ti | ding o<br>ntion<br>ime to | or misi<br>of any<br>o time | repre<br>y Act | senti<br>, Rule | ng, I<br>es, R | am a<br>egula | war<br>atior | e tha<br>is or | tlm    | ay b | e he    | ld       |     |       | [Sig | natu    | re / | Thun  | ıb Ir | npres            | sion  | ]     |    |
| Date D D M   | MY  | Y Y   | Y                       | Pla                      | ace                        |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         | L        | S   | igna  | ture | / Th    | umb  | ) Imp | ress  | sion o           | App   | olica | nt |
| 9 Attestation  | / For Offi  | ce Use  | Onl                     | y                        |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Documents I  | Received  | Ce  | rtifie                  | d Co                     | pies                       |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
|  | KYC Ve  | rificatio   | on Ca                   | rried                    | Out                        | by (F                   | Refe                   | r Inst                     | ructi                    | on I                    | )                      |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        | In   | stitu   | ution    | De  | tails |      |         |      |       |       |                  |       |       |    |
| Date D D M   | MY  | ΥY  | Y                       | ]                        |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           | Name                        |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Emp. Name  |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           | Code                        |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |
| Emp. Code  |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           | Emp. I                      | Brand          | ch              |                |               |              |                |        |      |         |          | T   |       |      |         |      |       |       |                  |       |       |    |
| Emp. Designation   |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          | _   |       |      |         |      |       |       |                  |       |       |    |
| [Empl  | oyee Signa  | ature]  |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       | Inst | titutio | on S | tamp  | ]     |                  |       |       |    |
| In-  | Person Ve   | rificatio   | n (IP                   | V) Ca                    | arriec                     | d Out                   | by                     | (Refe                      | r Ins                    | truc                    | tion                   | J)                       |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        | In   | stitu   | ution    | De  | tails |      |         |      |       |       |                  |       |       |    |
| Date D D M   |   | Y Y   | Y                       | ]                        |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           | Name                        |                |                 |                |               |              |                |        |      |         |          | Τ   |       | Т    |         |      |       |       |                  | T     |       |    |
| Emp. Name  |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           | Code                        |                |                 | [              |               |              |                |        |      |         |          | +   |       |      |         | +    |       | +     |                  | $\pm$ |       |    |
| Emp. Code  |   |   |                         |                          |                            |                         |                        |                            |                          | -                       |                        |                          |                     |                              |                      |                           | Emp. I                      | Brand          | ch              | [              |               |              |                |        |      |         |          | +   |       |      |         | +    |       | ╡     | +                | $\pm$ |       |    |
| Emp. Designation   |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           | p. 1                        |                |                 |                |               |              |                |        |      | <br>_ [ |          |     |       |      |         |      |       |       |                  |       |       |    |
| [Empl  | oyee Signa  | ature]  |                         |                          | ]                          |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     | [     | Inst | titutio | on S | tamp  | ]     |                  |       |       |    |
|  |   |   |                         |                          |                            |                         |                        |                            |                          |                         |                        |                          |                     |                              |                      |                           |                             |                |                 |                |               |              |                |        |      |         |          |     |       |      |         |      |       |       |                  |       |       |    |

# **INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM**

## General Instructions:

- 1. Self-Certification of documents is mandatory.
- 2. KYC number of applicant is mandatory for update/change of KYC details
- 3. For particular section update, please tick (ii) in the box available before the section number and strike off the sections not required to be updated.
- 4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [].
- 5. If any proof of identity or address is in a foreign language, then translation into English is required.
- 6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
- 8. Sole proprietor must make the application in his individual name & capacity.
- 9 .For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
- 10. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.
- A. Clarification / Guidelines on filling 'Identity Details' section
- Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.
- B. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India
- Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)
- C. Clarification / Guidelines on filling 'Proof of Identity [Pol]' section, if PAN Card copy is not enclosed/For PAN exempt Investors
- 1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- 2. Mention identification / reference number if 'Z Others (any document notified by the central government)' is ticked.
- 3. Others Identity card with applicant's photograph issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.
- 4. Letter issued by a gazetted officer, with a duly attested photograph of the person
- ${\sf D. \ Clarification\,/\,Guidelines\,on\,filling\,`Proof\,of\,Address\,[PoA]\,section}$
- 1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- 2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 3. Others includes Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/

State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

- E. Clarification / Guidelines on filling 'Proof of Address [PoA] Correspondence / Local Address details' section
- To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- 2. In case of multiple correspondence / local addresses, Please fill 'Annexure A1'
- 3. Others includes Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.
- F. Clarification / Guidelines on filling 'Contact details' section
- 1. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-999999999).
- 2. Do not add '0' in the beginning of Mobile number.
- G. Clarification / Guidelines on filling 'Related Person details' section
- 1. Provide KYC number of related person if available
- H. Clarification / Guidelines on filling 'Related Person details Proof of Identity [Pol] of Related Person' section
- 1. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
- I. List of people authorized to attest the documents after verification with the originals:
- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
- 3. KYD compliant mutual fund distributors.
- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.
- 6. Government authorised officials who are empowered to issue Apostille Certificates.
- J. List of people authorized to perform In Person Verification (IPV):
- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
- 3. KYD compliant mutual fund distributors.
- Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (for investors investing directly).
- In case of NRI applicants, a person permitted to attest documents, may also conduct the In Person Verification and confirm this in the KYC Form.

# K. PAN Exempt Investor Category

- 1. Investments (including SIPs), in Mutual Fund schemes up to INR 50,000/- per investor per year per Mutual Fund.
- 2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
- 3. Investors residing in the state of Sikkim.
- 4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.

# List of Two-Digit state / U.T Codes as per Indian Motor Vehicle Act, 1988

| State / U.T            | Code | State / U.T      | Code | State / U.T   | Code |
|------------------------|------|------------------|------|---------------|------|
| Andaman & Nicobar      | AN   | Himachal Pradesh | HP   | Pondicherry   | PY   |
| Andhra Pradesh         | AP   | Jammu & Kashmir  | JK   | Punjab        | PB   |
| Arunachal Pradesh      | AR   | Jharkhand        | JS   | Rajasthan     | RJ   |
| Assam                  | AS   | Karnataka        | KA   | Sikkim        | SK   |
| Bihar                  | BR   | Kerala           | KL   | Tamil Nadu    | TN   |
| Chandigarh             | СН   | Lakshadweep      | LD   | Telangana     | TS   |
| Chhattisgarh           | CG   | Madhya Pradesh   | MP   | Tripura       | TR   |
| Dadra and Nagar Haveli | DN   | Maharashtra      | MH   | Uttar Pradesh | UP   |
| Daman & Diu            | DD   | Manipur          | MN   | Uttarakhand   | UK   |
| Delhi                  | DL   | Meghalaya        | ML   | West Bengal   | WB   |
| Goa                    | GA   | Mizoram          | MZ   | Other         | XX   |
| Gujarat                | GJ   | Nagaland         | NL   |               |      |
| Haryana                | HR   | Orissa           | OR   |               |      |

# List of ISO 3166 Two-Digit Country Code

| Country                               | Country<br>Code | Country                                | Country<br>Code | -   | ountry<br>Code |  | ountry<br>Code |
|---------------------------------------|-----------------|--|-----------------|---|----------------|--|----------------|
| Afghanistan                           | AF              | Dominican Republic                     | DO              | Libya                                       | LY             | Saint Pierre and Miguelon                    | PM             |
| Åland Islands                         | AX              | Ecuador                                | EC              | Liechtenstein                               | LI             | Saint Vincent and the Grenadines             | VC             |
| Albania                               | AL              | Egypt                                  | EG              | Lithuania                                   | LT             | Samoa  | WS             |
| Algeria                               | DZ              | El Salvador                            | SV              | Luxembourg                                  | LU             | San Marino                                   | SM             |
| American Samoa                        | AS              | Equatorial Guinea                      | GQ              | Macao                                       | MO             | Sao Tome and Principe                        | ST             |
| Andorra                               | AD              | Eritrea                                | ER              | Macedonia, the Former Yugoslav Republic of  | MK             | Saudi Arabia                                 | SA             |
| Angola                                | AO              | Estonia                                | EE              | Madagascar                                  | MG             | Senegal                                      | SN             |
| Anguilla                              | Al              | Ethiopia                               | ET              | Malawi                                      | MW             | Serbia                                       | RS             |
| Antarctica                            | AQ              | Falkland Islands (Malvinas)            | FK              | Malaysia                                    | MY             | Seychelles                                   | SC             |
| Antigua and Barbuda                   | AG              | Faroe Islands                          | FO              | Maldives                                    | MV             | Sierra Leone                                 | SL             |
| Argentina                             | AR              | Fiji                                   | FJ              | Mali  | ML             | Singapore                                    | SG             |
| Armenia                               | AM              | Finland                                | FI              | Malta                                       | MT             | Sint Maarten (Dutch part)                    | SX             |
| Aruba                                 | AW              | France                                 | FR              | Marshall Islands                            | MH             | Slovakia                                     | SK             |
| Australia                             | AU              | French Guiana                          | GF              | Martinique                                  | MQ             | Slovenia                                     | SI             |
| Austria                               | AT              | French Polynesia                       | PF              | Mauritania                                  | MR             | Solomon Islands                              | SB             |
| Azerbaijan                            | AZ              | French Southern Territories            | TF              | Mauritius                                   | MU             | Somalia                                      | SO             |
| Bahamas                               | BS              | Gabon                                  | GA              | Mayotte                                     | ΥT             | South Africa                                 | ZA             |
| Bahrain                               | BH              | Gambia                                 | GM              | Mexico                                      | MX             | South Georgia and the South Sandwich Islands | s GS           |
| Bangladesh                            | BD              | Georgia                                | GE              | Micronesia, Federated States of             | FM             | South Sudan                                  | SS             |
| Barbados                              | BB              | Germany                                | DE              | Moldova, Republic of                        | MD             | Spain  | ES             |
| Belarus                               | BY              | Ghana                                  | GH              | Monaco                                      | MC             | Sri Lanka                                    | LK             |
| Belgium                               | BE              | Gibraltar                              | GI              | Mongolia                                    | MN             | Sudan  | SD             |
| Belize                                | BZ              | Greece                                 | GR              | Montenegro                                  | ME             | Suriname                                     | SR             |
| Benin                                 | BJ              | Greenland                              | GL              | Montserrat                                  | MS             | Svalbard and Jan Mayen                       | SJ             |
| Bermuda                               | BM              | Grenada                                | GD              | Morocco                                     | MA             | Swaziland                                    | SZ             |
| Bhutan                                | BT              | Guadeloupe                             | GP              | Mozambique                                  | MZ             | Sweden                                       | SE             |
| Bolivia, Plurinational State of       | BO              | Guam                                   | GU              | Myanmar                                     | MM             | Switzerland                                  | CH             |
| Bonaire, Sint Eustatius and Saba      | BQ              | Guatemala                              | GT              | Namibia                                     | NA             | Syrian Arab Republic                         | SY             |
| Bosnia and Herzegovina                | BA              | Guernsey                               | GG              | Nauru                                       | NR             | Taiwan, Province of China                    | TW             |
| Botswana                              | BW              | Guinea                                 | GN              | Nepal                                       | NP             | Tajikistan                                   | TJ             |
| Bouvet Island                         | BV              | Guinea-Bissau                          | GW              | Netherlands                                 | NL             | Tanzania, United Republic of                 | TZ             |
| Brazil                                | BR              | Guyana                                 | GY              | New Caledonia                               | NC             | Thailand                                     | TH             |
| British Indian Ocean Territory        | 10              | Haiti                                  | HT              | New Zealand                                 | NZ             | Timor-Leste                                  | TL             |
| Brunei Darussalam                     | BN<br>BG        | Heard Island and McDonald Islands      | HM              | Nicaragua                                   | NI<br>NE       | Togo<br>Tokelau                              | TG<br>TK       |
| Bulgaria                              | BG              | Holy See (Vatican City State)          | VA<br>HN        | Niger                                       | NE             |  | TO             |
| Burkina Faso<br>Burundi               | BI              | Honduras                               | HK              | Nigeria<br>Niue                             | NU             | Tonga<br>Trinidad and Tobago                 | TT             |
| Cambodia                              | ы<br>КН         | Hong Kong<br>Hungary                   | HU              | Note Norfolk Island                         | NF             | Tunisia                                      | TN             |
| Cameroon                              | CM              | Iceland                                | IS              | Northern Mariana Islands                    | MP             | Turkey                                       | TR             |
| Canada                                | CA              | India                                  | IN              | Norway                                      | NO             | Turkmenistan                                 | TM             |
| Cape Verde                            | CV              | Indonesia                              | ID              | Oman  | OM             | Turks and Caicos Islands                     | TC             |
| Cayman Islands                        | KY              | Iran, Islamic Republic of              | IR              | Pakistan                                    | PK             | Tuvalu                                       | TV             |
| Central African Republic              | CF              | Iraq                                   | IQ              | Palau                                       | PW             | Uganda                                       | UG             |
| Chad                                  | TD              | Ireland                                | IE              | Palestine, State of                         | PS             | Ukraine                                      | UA             |
| Chile                                 | CL              | Isle of Man                            | IM              | Panama                                      | PA             | United Arab Emirates                         | AE             |
| China                                 | CN              | Israel                                 | IL              | Papua New Guinea                            | PG             | United Kingdom                               | GB             |
| Christmas Island                      | CX              | Italy                                  | IT              | Paraguay                                    | PY             | United States                                | US             |
| Cocos (Keeling) Islands               | CC              | Jamaica                                | JM              | Peru  | PE             | United States Minor Outlying Islands         | UM             |
| Colombia                              | CO              | Japan                                  | JP              | Philippines                                 | PH             | Uruguay                                      | UY             |
| Comoros                               | KM              | Jersey                                 | JE              | Pitcairn                                    | PN             | Uzbekistan                                   | UZ             |
| Congo                                 | CG              | Jordan                                 | JO              | Poland                                      | PL             | Vanuatu                                      | VU             |
| Congo, the Democratic Republic of the |                 | Kazakhstan                             | KZ              | Portugal                                    | PT             | Venezuela, Bolivarian Republic of            | VE             |
| Cook Islands                          | СК              | Kenya                                  | KE              | Puerto Rico                                 | PR             | Viet Nam                                     | VN             |
| Costa Rica                            | CR              | Kiribati                               | KI              | Qatar                                       | QA             | Virgin Islands, British                      | VG             |
| Côte d'Ivoire                         | CI              | Korea, Democratic People's Republic of |                 | Réunion                                     | RE             | Virgin Islands, U.S.                         | VI             |
| Croatia                               | HR              | Korea, Republic of                     | KR              | Romania                                     | RO             | Wallis and Futuna                            | WF             |
| Cuba                                  | CU              | Kuwait                                 | KW              | Russian Federation                          | RU             | Western Sahara                               | EH             |
| Curaçao                               | CW              | Kyrgyzstan                             | KG              | Rwanda                                      | RW             | Yemen  | YE             |
| Cyprus                                | CY              | Lao People's Democratic Republic       | LA              | Saint Barthélemy                            | BL             | Zambia                                       | ZM             |
| Czech Republic                        | CZ              | Latvia                                 | LV              | Saint Helena, Ascension and Tristan da Cunh |                | Zimbabwe                                     | ZW             |
| Denmark                               | DK              | Lebanon                                | LB              | Saint Kitts and Nevis                       | KN             |  |                |
| Djibouti                              | DJ              | Lesotho                                | LS              | Saint Lucia                                 | LC             |  |                |
| Dominica                              |                 | Liberia                                | LR              | Saint Martin (French part)                  | MF             |  |                |

| MOTILAL O  | SWAL   |                                     | (Please f  |                                   |  | lient (                           | plem<br>KYC) A<br>nd in BLC                       | pplica                                     | tion F                                      | Form                                    | (For                           | Indivi                             |          |                     |                | ields   |        |        |        |                   |        |           |         |   |
|--|--|-------------------------------------|--|-----------------------------------|--|-----------------------------------|---|--|---|---|--------------------------------|------------------------------------|----------|---------------------|----------------|---------|--------|--------|--------|-------------------|--------|-----------|---------|---|
| KYC Type*  | Norr   | nal (PAN i                          | s mandat   | ory)                              | PAN                                    | Exempt                            | Investors   |  |   |   |                                |                                    |          |                     |                |         |        |        |        |                   |        |           |         |   |
| 1 Identity Details (PI   | ease refer in  | struction                           | A at the e   | nd)                               |  |                                   |   |  |   |   |                                |                                    |          |                     |                |         |        |        |        |                   |        |           |         |   |
| PAN  |  |                                     | Ple  | ase enclo                         | se a duly                              | attested                          | copy of yo  | ur PAN C                                   | ard   |   |                                |                                    |          |                     |                |         |        |        |        |                   |        |           |         |   |
| Name* (same as ID Proof)                                       | FI   | R S                                 | Т  |                                   |  |                                   | MI  | D  | D   | L                                       | E                              |                                    |          |                     |                |         |        |        |        |                   | L      | A S       | Т       |   |
| Maiden Name (If any*)  | FI   | R S                                 | Т  |                                   |  |                                   | MI  | D  | D   | L                                       | E                              |                                    |          |                     |                |         |        |        |        |                   | L      | A S       | Т       |   |
| Mother Name*   | FI   | R S                                 | Т  |                                   |  |                                   | MI  | D  | D   | L                                       | E                              |                                    |          |                     |                |         |        |        |        |                   | L      | A S       | Т       |   |
|  | Residentia   | Status*                             |  | Resider                           | nt Individ                             | ual                               | Non Re  | sident Ir                                  | ndian                                       |   | Foreign                        | Nationa                            |          | Pers                | on of Ir       | ndian O | rigin  |        |        |                   |        |           |         |   |
|  | Occupatior   | і Туре*                             |  | Service                           | Pr                                     | ivate Sec                         | tor   | Public                                     | Sector                                      |   | Govern                         | ment Se                            | ctor     |                     | Others         |         | Profes | sional |        | Self Em           | ployec | i 🗌       | Retired | d |
|  |  |                                     |  | Housewi                           | fe                                     | Student                           |   | Busine                                     | ess   |   | Not Ca                         | tegorise                           | d        |                     |                |         |        |        |        |                   |        |           |         |   |
| 2 FATCA/CRS inform   | <mark>ation</mark> (Tick   | if Applica                          | ble)   | Res                               | idence fo                              | r Tax Pui                         | poses in  | Jurisdic                                   | tion(s) (                                   | Dutsid                                  | e India (                      | Please r                           | efer in  | structio            | on <b>B</b> at | the er  | nd)    |        |        |                   |        |           |         |   |
| Additional Details Required*                                   | Г  | only if abo                         | ove option   | is ticke                          | d)                                     |                                   |   |  | 0   |   |                                |                                    | . ,      | D                   |                |         |        |        | 10.0   | 0400              |        |           |         |   |
| Country of Jurisdiction of Re<br>Tax Identification Number or  |  | f issued h                          | v iurisdic   | tion)*                            |  |                                   |   |  | Cour  | itry C                                  | ode of J                       |                                    |          | Reside              | ence           |         |        | as pe  | er ISO | 3166              |        |           |         |   |
| Place / City of Birth*   | equivalent (i  | 1 100000 1                          |  | ·                                 | Country of                             | of Birth*                         |   |  |   |   |                                |                                    |          |                     | intry (        | obo     |        | 20     | nor IS | 0 316             | 6      |           |         |   |
| Address  |  |                                     |  |                                   | Jounnay                                | JI DITUT                          |   |  |   |   |                                |                                    |          |                     | intry (        |         |        | 45     | porio  | 0 0 10            | 0      |           |         |   |
|  |  |                                     |  |                                   |  |                                   |   |  |   |   |                                |                                    |          |                     |                |         |        |        |        |                   |        |           |         |   |
| City / Town / Village*   |  |                                     |  |                                   |  |                                   |   | Distri                                     | c*  |   |                                |                                    |          |                     |                |         |        | Pin Co | de*    |                   |        |           |         |   |
| State/UT*  |  |                                     |  |                                   |  |                                   | Country   |  |   |   |                                |                                    |          | Country<br>as per l |                |         |        |        |        | T Code<br>dian Mo |        | nicle Act | , 1988  |   |
| 3 Details of Related   | Person   | (Optiona                            | I) (please I                                       | refer inst                        | ruction G                              | at the e                          | nd) (in cas                                       | se of ad                                   | ditional                                    | relate                                  | d persoi                       | ns, pleas                          | e II 'Ai | nnexure             | e B1')         |         |        |        |        |                   |        |           |         |   |
| Related Person   | Deleti   | on of Rela                          | ited Perso   | n                                 |  |                                   | KYC   | Numbe                                      | er of Re                                    | elated                                  | Person                         | (if avail                          | able*)   |                     |                |         |        |        |        |                   |        |           |         |   |
| Related Person Type*   | Guardia  | n of Mino                           | r 🗌 A  | ssignee                           | A                                      | uthorized                         | Represe   | entative                                   |   |   |                                |                                    |          |                     |                |         |        |        |        |                   |        |           |         |   |
| Name* F I R S<br>(If KYC number an                             |  | rovided                             | helow det  | aile of e                         | action 6                               | are onti                          |   | D  | D   | L                                       | E                              |                                    |          |                     |                |         |        |        |        |                   | L      | A S       | Т       |   |
| Proof of Identity [Pol   |  |                                     |  |                                   |  |                                   | ,   |  |   |   |                                |                                    |          |                     |                |         |        |        |        |                   |        |           |         |   |
| (Certified copy of any one of t                                |  | ,                                   |  |                                   | . ,                                    |                                   |   |  |   |   |                                |                                    |          |                     |                |         |        |        |        |                   |        |           |         |   |
| Passport Number  |  |                                     |  |                                   | Pa                                     | ssport E                          | xpiry Dat   | e D  | D   | M                                       | M Y                            | Y Y                                | Y        | ]                   |                |         |        |        |        |                   |        |           |         |   |
| Voter ID Card  |  |                                     |  |                                   |  |                                   |   | Aadha                                      | aar Caro                                    | d                                       |                                |                                    |          |                     |                |         |        |        |        | ]                 |        |           |         |   |
| Pan Card   |  |                                     |  |                                   |  |                                   |   |  |   |   |                                |                                    |          | 1                   |                |         |        |        |        |                   |        |           |         |   |
|  |  |                                     |  |                                   | Driving                                | licence                           | Evpiry D  |  |   |   |                                |                                    | - V      |                     |                |         |        |        |        |                   |        |           |         |   |
| Driving Licence  |  |                                     |  |                                   |  |                                   |   | ate  | D   | М                                       | MY                             | Y Y                                | T        |                     |                |         |        |        |        |                   |        |           |         |   |
| NREGA Job Card   |  |                                     |  |                                   |  |                                   |   |  | D   |   |                                | ion No                             |          |                     |                |         |        |        |        |                   |        |           |         |   |
|  | d by the centra  | al governn                          | nent)  |                                   |  |                                   |   |  | D   |   | M Y                            | ion No                             | T        |                     |                |         |        |        |        |                   |        |           |         |   |
| NREGA Job Card   | d by the centra  | al governn                          | nent)  |                                   |  |                                   |   |  | D   |   |                                | ion No                             | Ţ        |                     |                |         |        |        |        |                   |        |           |         |   |
| NREGA Job Card   | I by the centra  | al governn                          | nent)  |                                   |  |                                   |   |  |   |   |                                | ion No                             |          |                     |                |         |        |        |        |                   |        |           |         |   |
| NREGA Job Card   |  | al governm                          | nent)  |                                   |  |                                   |   |  |   |   |                                | ion No                             |          |                     |                |         |        |        |        |                   |        |           |         |   |
| NREGA Job Card Others (any document notified  Remarks (If any) | ion<br>letails furnish<br>ase any of the<br>lare that I an<br>tions/directio | ed above<br>e above in<br>1 not mak | are true a<br>formation<br>ing this a<br>by any go | is found<br>pplication<br>vernmer | to be fal<br>on for the<br>ital or sta | pest of m<br>se or un<br>e purpos | y knowle<br>true or m<br>e of coni<br>uthority fi | dge and<br>isleadir<br>traventi<br>rom tim | d belief<br>ng or mi<br>on of a<br>e to tim | Ide<br>and I I<br>isrepr<br>ny Ac<br>e. | underta<br>esentin<br>t, Rules | ke to inf<br>g, I am a<br>, Regula | ations   | that I n<br>or any  | iay be         | held    |        | [Sig   | nature | 3 / Thu           | mb Im  | npressi   |         |   |

# Instructions/Guidelines for filling Individual KYC Application Form

## General Instructions:

- 1. Self-Certification of documents is mandatory.
- 2. KYC number of applicant is mandatory for update/change of KYC details.
- 3. For particular section update, please tick (ii) in the box available before the section number and strike off the sections not required to be updated.
- 4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [I].
- 5. If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
- 8. Sole proprietor must make the application in his individual name & capacity.
- 9. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
- 10. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.
- 12. Note supplementary form is mandatory for existing investor who is KYC compliant.

# A. Clarification / Guidelines on filling 'Identity Details' section

- 1. Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.
- B. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India
- Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)Instructions/Guidelines for filling Individual KYC Application Form Page

MOTILAL OSWAL

# Scheme details

|   | MOSt Focused 25   | MOSt Focused<br>Midcap 30  | MOSt Focused<br>Multicap 35  | MOSt Focused<br>Dynamic equity   | MOSt Focused<br>Long Term   |
|---|---|--|--|--|---|
| Type of Scheme:                         | An open ended equity scheme   | An open ended equity scheme  | An open ended diversified equity scheme  | An open ended<br>equity scheme   | An open ended equity<br>linked saving scheme<br>with a 3 year lock-in   |
| Investment<br>Objective:                | The investment objective<br>of the Scheme is to<br>achieve long term capital<br>appreciation by investing<br>in upto 25 companies with<br>long term sustainable<br>competitive advantage<br>and growth potential.   | The investment objective of<br>the Scheme is to achieve<br>I ong term capital<br>appreciation by investing in<br>a maximum of 30 quality<br>mid-cap companies having<br>Iong-term competitive<br>advantages and potential<br>for growth. | The investment objective<br>of the Scheme is to<br>achieve long term capital<br>appreciation by primarily<br>investing in a maximum of<br>35 equity & equity related<br>instruments across<br>sectors and market<br>Capitalization levels. | The investment objective<br>is to generate long term<br>capital appreciation by<br>investing in equity and<br>equity related instruments<br>in cluding equity<br>derivatives as well as debt<br>instruments. | To generate long term<br>capital appreciation from a<br>diversified portfolio of<br>predominantly equity and<br>equity related instruments.   |
|   | However, there can be no as   | ssurance or guarantee that the   | urance or guarantee that the investment objective of the Scheme would be achieved.   |  |   |
| Benchmark:                              | Nifty 50 Index  | Nifty Free Float<br>Midcap 100 Index   | Nifty 500 Index  | CRISIL Balanced<br>Fund Index  | Nifty 500 Index   |
| Entry & Exit load:                      | Nil   |  |  |  |   |
| Plans:                                  | Regular Plan and Direct Plan  |  |  |  |   |
| Options<br>(Under each plan):           | Dividend (Payout and Reinvestment) and Growth   |  |  | Dividend (Payout)<br>and Growth  |   |
| Application<br>Amount:                  | Minimum Rs. 5,000/- and in multiples of Re. 1/- thereafter<br>Additional Rs. 1,000/- and in multiples of Re. 1/-thereafter  |  |  |  | Rs. 500/- and in multiples of Rs. 500/- thereafter  |
| Minimum<br>Redemption<br>Amount:        | Rs. 1,000/- and in multiples of Re. 1/- thereafter or account balance, whichever is lower.  |  |  |  | Rs. 500/- and in multiples of<br>Re.1/- thereafter or the<br>balance in the unit holder's<br>folio, whichever is lower.   |
| Systematic<br>Investment Plan<br>(SIP): | Minimum installment amount - Rs. 1,000/-(weekly / fortnightly/monthly) and Rs.<br>2,000/- (quarterly) and in multiples of Re. 1/- thereafter with minimum of 6<br>installments for weekly /fortnightly/monthly frequency and minimum 3 installments for<br>quarterly frequency. |  |  |  | Minimum installment<br>amount - Rs. 500 - and in<br>multiples of Rs. 500 /-<br>thereafter (weekly /<br>fortnightly / monthly/<br>quarterly frequency).<br>Minimum twelve<br>installments. |
| SIP Dates:                              | The dates for Auto Debit Facility shall be on the 1st, 7th, 14th, 21st or 28th of every month.  |  |  |  |   |

| Name of the scheme   | This product is suitable for investors who are seeking*  |   |
|--|--|---|
| Motilal Oswal MOSt Focused 25 Fund<br>(MOSt Focused 25): An Open Ended<br>Equity Scheme  | <ul> <li>Return by investing in upto 25 companies with long term sustainable competitive advantage and growth potential</li> <li>Investment in Equity and equity related instruments subject to overall limit of 25 companies</li> </ul> | Riskometer  |
| Motilal Oswal MOSt Focused Midcap 30<br>Fund (MOSt Focused Midcap 30): An Open<br>Ended Equity Scheme  | <ul> <li>Long-term capital growth</li> <li>Investment in equity and equity related instruments in a maximum of<br/>30 quality mid-cap companies having long-term competitive<br/>advantages and potential for growth</li> </ul>          | Notester Mogality Moderate Mogality                                       |
| Motilal Oswal MOSt Focused Multicap 35<br>Fund (MOSt Focused Multicap 35): An Open<br>Ended Diversified Equity Scheme                        | <ul> <li>Long-term capital growth</li> <li>Investment in a maximum of 35 equity and equity related instruments across sectors and market-capitalization levels</li> </ul>  | Moy   |
| Motilal Oswal MOSt Focused Long Term<br>Fund (MOSt Focused Long Term): An open<br>ended equity linked saving scheme with a 3<br>year lock-in | <ul> <li>Long-term capital growth</li> <li>Investment predominantly in equity and equity related instruments;</li> </ul>   | Low High  |
| Motilal Oswal MOSt Focused Dynamic<br>Equity Fund (MOSt Focused Dynamic<br>Equity): An open ended equity scheme                              | <ul> <li>Long term capital appreciation</li> <li>investment in equity, derivatives and debt instruments</li> </ul>   | Investors understand that their principal will be at Moderately High risk |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

# **BUY RIGHT : SIT TIGHT**

Buying quality companies and riding their growth cycle



We are one of the fastest growing asset management companies in India where wealth creation through equity investing is our sole focus. We inherit our expertise of investing in equities from our sponsor, Motilal Oswal Securities Ltd. which has 30 years of equity market experience as India's foremost research based broking company.

All our equity products are propelled by our unique investment philosophy, "Buy Right : Sit Tight". 'Buy Right: Sit Tight'. 'Buy Right' means buying quality companies at a reasonable price and 'Sit Tight' means staying invested in them for a longer time to realise the full growth potential of the stocks.

# 'Buy Right' Stocks Characteristics

# QGLP

- 'Q'uality quality of the business and management
- 'G'rowth growth in earnings and sustained Return on Equity
- 'L'ongevity longevity of the competitive advantage or economic moat of the business
- 'P'rice our approach of buying a good business for a fair price rather than buying a fair business for a good price

# Sit Tight Approach

- Buy and Hold: We are strictly buy and hold investors and believe that picking the right business needs skill and holding onto these businesses to enable our investors to benefit from the entire growth cycle, needs even more skill.
- Focus: Our portfolios are high conviction portfolios with 20 to 25 stocks being our ideal number. We believe in adequate diversification but overdiversification results in diluting returns for our investors and adding market risk.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully